

Subject: Pending Responses on Rejection Cases

From: Vijay-A Sawant [REDACTED]

Date: Mon, 08 Oct 2018 08:27:09 -0400

To: Bradley Gillin [REDACTED]
Richard Iarossi [REDACTED]
Stewart Oldfield <[REDACTED]>

Cc: Vinita Advani [REDACTED]
Mayur Rathod [REDACTED]
Daphne Cales [REDACTED]

Hello Brad/Rich/Stewart,

We are awaiting responses on the following KYC rejections on high risk & overdue accts, which we would appreciate if you can provide responses at the earliest, if possible. Last emails attached for each account for reference.

GCIS Customer Number

KYC ID

Full Legal Name

Case Manager

RAG

Status

Next Step by



JCP IV QP, LLC

Vijay Sawant

Overdue

Quality Rejection

with Client



Zorro Management, LLC

Mayur Rathod

Overdue

Quality Rejection



Gratitude America Ltd

Mayur Rathod

Overdue

Quality Rejection

with RM



Southern Trust Company, Inc.

Mayur Rathod

Overdue

Quality Rejection



Epstein, Jeffrey E.

Mayur Rathod

Overdue

Quality Rejection



Cerberus Capital Management, L.P.

Vijay Sawant

Overdue

Quality Rejection

with RM



Prime Overseas Investments and Enterprises Limited

Vijay Sawant

Overdue

Quality Rejection

with Client



Techview Investments Ltd.

Vijay Sawant

Due soon

Quality Rejection

with RM

Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

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