

Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]
From: Vijay-A Sawant <vijay-a.sawant@db.com>
Date: Mon, 24 Sep 2018 10:03:22 -0400
To: Richard Iarossi <richard.iarossi@db.com>, Bradley Gillin <bradley.gillin@db.com>

Hello Rich,

Thank you for the latest docs on this account. During review, we noticed the trust deed which indicates that Irene Savvides is holding shares for the benefit of eventual UBO – Panagiotis C. Laskaridis. Could we get similar trust deed which reflects that Anna Yiannakou holds remaining 50% of shares for the benefit of Athanosios Laskaridis, please?

Finally the only other pending item for this account is the COGS for the account holder, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. +91(22)6181-1485
Mobile +91 9833729812

vijay-a.sawant@db.com

From: Richard Iarossi
Sent: Friday, September 21, 2018 6:52 PM
To: Vijay-A Sawant <vijay-a.sawant@db.com>
Subject: FW: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Classification: For internal use only

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
+1 212 454-4577
richard.iarossi@db.com

Securities offered through Deutsche Bank Securities Inc.

From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Thursday, September 20, 2018 3:10 PM
To: Richard Iarossi <richard.iarossi@db.com>
Cc: Bradley Gillin <bradley.gillin@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

Thank you for online access document. We will complete it and send it over next week.

For Prime Overseas please find attached the following documents for the KYC requirements :

- Articles of Incorporation,

EFTA01417082

- Cert of Directors,
- Cert of Continuation,
- Cert of Shareholders,
- Share Cert,
- Trust Deed for P.C.L.
- Trust Deed for A.C.L.

We have requested a recent Good standing from Cyprus which we will provide upon receipt. We trust that we have provided all necessary information related with the registered shares of the company.

Best regards,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Wednesday, September 19, 2018 8:32 PM
To: Marinia Protopapa <mariniaprotogmail.com>
Cc: Bradley Gillin <bradley.gillin@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marinia,

Thank you very much for getting the FinCEN document executed, we will not need the original.

As for the online access, please see the attached form which will need to be signed off by an authorized party for each person.

While the form does not request it, we will need Mother's maiden names for Joseph Trencher and Marinia Protopapa to set up their profiles. Philip Schlackman already has a profile with us.

Lastly, I want to send a reminder to Brad's initial email about the annual KYC remediation and a few more documents that we will need:

- Certificate of Good Standing (dated within 6 months)
- Per our last review, we received confirmation that when Prime Overseas re-domiciled from Panama to the Republic of Cyprus, the entity went from a bearer share corporation to a registered corporation. Do you have any documentation to show Prime as a registered corporation (I need to prove that there are no longer bearer shares).

Please let me know if you have any questions.

Thank You,

Rich

Richard Iarossi
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richard.iarossi@db.com

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From: Marinia Protopapa [mailto:mariniaproto@gmail.com]
Sent: Wednesday, September 19, 2018 9:14 AM
To: Richard Iarossi <richard.iarossi@db.com>

Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

Please find attached the Certification regarding the Beneficial Owners completed as discussed and duly signed.

Please let me know if you need the original.

As discussed on the phone, we would like to request to send us the necessary documentation for the ebanking / online / ONLY VIEW process of the Prime Overseas account. Please note that the people that would like to have the Online View Access would be :

- Joseph Trencher : Joseph.Trencher@psqcapital.com
- Philip Schlakman : Philip.Schlakman@psqcapital.com
- Marinia Protopapa : Mariniproto@gmail.com

Thank you,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Thursday, September 13, 2018 8:04 PM
To: Marinia Protopapa <mariniaproto@gmail.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual

EFTA01417085

Review and FinCEN form [I]

Great, have a nice night!

Richard Iarossi
Assistant Vice President

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richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Thursday, September 13, 2018 1:03 PM
To: Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

The number here is +30 210 62 84 272.

Let's talk tomorrow.

Best,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Thursday, September 13, 2018 7:59 PM
To: Marina Protopapa <mariniaprot@gmail.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marina,

Apologies, was out of the office for a few hours.

Let's connect tomorrow, can you please provide a number I can reach you?

Thank You!

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
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+1 212 454-4577
richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaprot@gmail.com]
Sent: Thursday, September 13, 2018 11:07 AM
To: Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

I was out of the office yesterday.

I am available any time today for the next two hours.

Or tomorrow at your opening time.

Thank you,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Wednesday, September 12, 2018 4:38 PM
To: Marinia Protopapa <mariniaprote@gmail.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marinia,

Please let me know if you have a couple of minutes for one last discussion on this FinCEN.

Thank You!

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
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New York, NY 10154

+1 212 454-4577
richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Tuesday, September 11, 2018 9:32 AM
To: Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

Attached is the draft version plus copy of the passports.

Best regards,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Tuesday, September 11, 2018 3:46 PM
To: Marina Protopapa <mariniaproto@gmail.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marina,

It was nice speaking with you yesterday. If possible, would you please send the draft version of the form again prior to having it signed? We would like to make sure we are only requesting the form to be signed once.

Thank You!

Rich

Richard Iarossi
Assistant Vice President

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+1 212 454-4577
richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaprotogmail.com]
Sent: Monday, September 10, 2018 9:07 AM
To: Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

I am calling you right away.

Thank you,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Monday, September 10, 2018 4:06 PM
To: Marina Protopapa <mariniaprotogmail.com>
Cc: 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marina,

You can reach me at the below number for our call.

Thank You,

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
+1 212 454-4577
richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Friday, September 07, 2018 9:29 AM
To: Richard Iarossi <richard.iarossi@db.com>
Cc: 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Perfect.

Thank you.

From: Richard Iarossi <richard.iarossi@db.com>

Sent: Friday, September 7, 2018 4:29 PM
To: Marina Protopapa <mariniaproto@gmail.com>
Cc: 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marina,

Sure, not a problem. Does 9:30am EST / 4:30pm your time work?

Have a great weekend,

Rich

Richard Iarossi
Assistant Vice President

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
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+1 212 454-4577
richard.iarossi@db.com

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From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Friday, September 07, 2018 9:25 AM
To: Richard Iarossi <richard.iarossi@db.com>
Cc: 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Rich,

Can we make the call on Monday any time for us here in Greece afternoon for you in NY morning.

Thank you for your understanding,

Marinia

From: Richard Iarossi <richard.iarossi@db.com>
Sent: Friday, September 7, 2018 4:17 PM
To: Marinia Protopapa <mariniaprotogmail.com>
Cc: 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Hi Marinia,

I would like to introduce myself, I am a new member of Terri's team.

I would like to discuss the FinCEN form that was sent over when you have a few minutes.

Please let me know when a good time to call would be.

Thank You,

Rich

Richard Iarossi
Assistant Vice President

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345 Park Avenue, 24th Floor
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+1 212 454-4577
richard.iarossi@db.com

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From: Terri Sohrab
Sent: Wednesday, September 05, 2018 12:07 PM
To: Marina Protopapa <mariniaproto@gmail.com>; 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Cc: 'Joseph Trencher' <joseph.trencher@psqcapital.com>; Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Thank you. Will review and revert.

TS

Terri Sohrab
Managing Director

Deutsche Bank Wealth Management
345 Park Avenue, 24th Floor
New York, NY 10154
+1 212 454-7885
terri.sohrab@db.com

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From: Marina Protopapa [mailto:mariniaproto@gmail.com]
Sent: Wednesday, September 05, 2018 12:00 PM
To: Terri Sohrab <terri.sohrab@db.com>; 'Chryssoula Giannakaki' <c-gianak@otenet.gr>
Cc: 'Joseph Trencher' <joseph.trencher@psqcapital.com>; Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual

Review and FinCEN form [I]

Dear Terri,

Please find attached document requested / completed for your review before the execution.

Thank you,

Marinia Protopapa

From: Terri Sohrab <terri.sohrab@db.com>
Sent: Tuesday, September 4, 2018 5:20 PM
To: Chryssoula Giannakaki <c-gianak@otenet.gr>
Cc: mariniaproto@gmail.com; Joseph Trencher <joseph.trencher@psqcapital.com>; Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Welcome back. Please call me with any questions. Also, before you execute the form, you can send me a copy so I could have it reviewed. Thank you,

TS

Terri Sohrab
Managing Director

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345 Park Avenue, 24th Floor
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EFTA01417095

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terri.sohrab@db.com

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From: Chryssoula Giannakaki [mailto:c-gianak@otenet.gr]
Sent: Tuesday, September 04, 2018 10:08 AM
To: Terri Sohrab <terri.sohrab@db.com>
Cc: mariniaprotogmail.com
Subject: FW: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

Dear Terri,

Please note that we were also on holidays and came back this week, therefore, we are going to complete the requested information by the end of this week.

Thank you.

Best regards,

Chryssoula Giannakaki

From: Marinia Protopapa [mailto:mariniaprotogmail.com]
Sent: Tuesday, September 04, 2018 4:31 PM
To: Chryssoula Giannakaki
Subject: Fwd: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

----- Forwarded message -----

From: Terri Sohrab <terri.sohrab@db.com>

Date: Τρί, 4 Σεπ 2018 at 16:28

Subject: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [I]

To: mariniaproteo@gmail.com <mariniaproteo@gmail.com>

CC: Joseph Trencher <joseph.trencher@psqcapital.com>, Richard Iarossi <richard.iarossi@db.com>

Dear Marina,

I hope this e-mail finds you well. Since Brad is on vacation, I would like to follow-up on the completion of the attached form. Joe can also put some light on it, if you have any questions.

Regards,

Terri

Terri Sohrab
Managing Director

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New York, NY 10154
+1 212 454-7885
terri.sohrab@db.com

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From: Bradley Gillin
Sent: Wednesday, August 22, 2018 11:00 AM
To: 'Marinia Protopapa' <mariniaproteo@gmail.com>

Cc: Vijay-A Sawant <vijay-a.sawant@db.com>; Terri Sohrab <terri.sohrab@db.com>; Richard Iarossi <richard.iarossi@db.com>
Subject: RE: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [C]

Classification: Confidential

Hi Marinia,

I just wanted to follow up on below. Please feel free to call with any questions. Thank you.

Regards,
Brad

From: Bradley Gillin
Sent: Tuesday, August 14, 2018 10:48 AM
To: 'Marinia Protopapa' <mariniaproto@gmail.com>
Cc: Vijay-A Sawant <vijay-a.sawant@db.com>
Subject: Prime Overseas Investments and Enterprises Limited - Annual Review and FinCEN form [C]

Classification: Confidential

Hi Maria,

I hope you are having a fantastic summer!

Please find the attached FinCEN form - details regarding the new Regulation are below. Please complete and have executed by an authorized account signer.

Certification Regarding Beneficial Owners:

This year, the United States Department of Treasury enacted a new regulation, the Customer Due Diligence Requirements for Financial Institutions ("CDD Rule"), which requires Deutsche Bank Wealth Management to obtain, verify, and record information about our legal entity customers. In order to remain compliant with our obligations to combat financial crime, Deutsche Bank has enhanced its KYC policies. As we update your KYC file, we must also obtain the attached Certification Regarding Beneficial Owner of Legal Entity Customers ("Certification") from you.

Furthermore, we are performing our annual KYC review on this entity. Please provide the following information:

- Certificate of Good Standing (dated within 6 months)
- Per our last review, we received confirmation that when Prime Overseas re-domiciled from Panama to the Republic of Cyprus, the entity went from a bearer share corporation to a registered corporation. Do you have any documentation to show Prime as a registered corporation (I need to prove that there are no longer bearer shares).

Please feel free to call with any questions. Thank you.

Regards,
Brad

{cid:image001.gif@01D43A07.3630B1D0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
Tel. (212) 454-1130
Fax (646) 736-0721
Email bradley.gillin@db.com

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