

Subject: Re: Gratitude  
From: Richard Kahn <[REDACTED]>  
Date: Thu, 25 Oct 2018 15:20:21 -0400  
To: Stewart Oldfield <[REDACTED]>  
Cc: Bradley Gillin <[REDACTED]>  
Richard Iarossi <[REDACTED]>

ok please call when free tomorrow also what is status of butterfly brokerage?  
please advise  
thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]

On Oct 25, 2018, at 12:38 PM, Stewart Oldfield <[REDACTED]>  
wrote:

Rich,

I'm compiling a list of remaining items that we need to finish all of the annual KYC reviews for your accounts other than Gratitude. We should be able to resolve those few things with a quick call tmw.

For Gratitude, we need to make a decision next week whether to KYC the BV70 entity or plan to close the account. Let's discuss that tmw as well if possible.

Thanks

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Friday, September 28, 2018 4:05 PM  
To: Stewart Oldfield <[REDACTED]>  
Subject: Re: Gratitude

ok  
thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

On Sep 27, 2018, at 3:02 PM, Stewart Oldfield <[REDACTED]>  
wrote:

I'm out next week. Let's connect and talk about timing when I'm back.  
Thanks

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Wednesday, September 26, 2018 8:58 AM  
To: Stewart Oldfield <[REDACTED]>  
Subject: Re: Gratitude

mr epstein said that he would not be able to give guidance on how to proceed  
until October

please advise if ok..

thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

[REDACTED]

On Sep 25, 2018, at 12:52 PM, Stewart Oldfield <[REDACTED]>  
wrote:

Did you come to any conclusions on this one? Thanks

---

<image001.png>

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154

[REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

---

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

---

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

---

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information

contained in this communication should not be regarded as such.