

Subject: Re: Southern Financial - 2018 revenues [C]
From: Stewart Oldfield <[REDACTED]>
Date: Thu, 23 May 2019 20:38:50 -0400
To: Leslie Roth [REDACTED]
Cc: Robert Frohlich [REDACTED]

Southern never traded CDs. They did have deposits though.

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
[REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On May 23, 2019, at 8:03 PM, Leslie Roth [REDACTED] wrote:

> Classification: Confidential

Hi Stewart,

There are a few 2018 CD accounts which have not been recoded away from you.
We'll get those recoded in the next GMIS update which is in August.

Leslie Roth

Deutsche Bank AG, Filiale New York

Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
[REDACTED]

[REDACTED]

From: Robert Frohlich
Sent: Thursday, 23 May, 2019 5:26 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Leslie Roth [REDACTED]
Subject: Southern Financial - 2018 revenues [C]

Classification: Confidential

Hi Stewart,

I think what happened is that only part of the revenues were moved to a House accounts in 2018 and EUR 592k still remained assigned to your name last year. Is this in line with your expectations as I wasn't involved in the discussions back then?

CC'in Leslie who I believe is closer to the topic and GMIS.

Sum of EUR

Year

relationship reference*

1.CRM

Investment Platform Name

2,018

2,019

Grand Total

SOUTHERN FINANCIAL

Recon UBR_Diff. (US Onshore)

Capital Markets

179,815

147,738

327,553

Deposits

229,748

171,971

401,719

Other Products

1,529

0

1,529

Recon UBR_Diff. (US Onshore) Total

411,092

319,709

730,800

Stewart Oldfield

Deposits

592,400

592,400

Stewart Oldfield Total

592,400

592,400

SOUTHERN FINANCIAL Total

1,003,491

319,709

1,323,200

Grand Total

1,003,491

319,709

1,323,200

Kind regards,
Rob Frohlich

<image001.gif>

Robert Frohlich

Deutsche Bank AG, Filiale New York

Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA