

Subject: RE: trading capabilities [I]  
From: Audie Apple [REDACTED]  
Date: Thu, 11 May 2017 16:26:21 -0400  
To: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Thanks.

From: Stewart Oldfield  
Sent: Thursday, May 11, 2017 3:58 PM  
To: Audie Apple; Vahe Stepanian  
Subject: FW: trading capabilities [I]

Classification: For internal use only

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Thursday, May 11, 2017 3:45 PM  
To: Stewart Oldfield  
Subject: Re: trading capabilities

i would like to wait until we finalize bringing someone onboard so they can attend

should be 1-2 weeks more

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On May 10, 2017, at 3:34 PM, Stewart Oldfield <[REDACTED]>  
wrote:

Let me know when you would like to reconnect on this. Thanks

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Wednesday, May 03, 2017 4:47 PM  
To: Stewart Oldfield  
Subject: Re: trading capabilities

hope to know timing by friday

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On May 3, 2017, at 10:20 AM, Stewart Oldfield <[REDACTED]>  
wrote:

I've prepped people internally for this conversation. Let's discuss next week when we get together. Let me know what times work for you Wednesday and Thursday. Thanks

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Thursday, April 27, 2017 9:24 AM  
To: Stewart Oldfield  
Subject: Re: trading capabilities

are you free to talk this am

free now or after 10:30am

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Apr 26, 2017, at 5:12 PM, Stewart Oldfield <[REDACTED]> wrote:

The reality is that most of that went away when we closed all of the IB trading relationships. And it isn't really possible to do anything credit bearing OTC without the markets accounts and ISDA.

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Wednesday, April 26, 2017 5:07 PM  
To: Stewart Oldfield  
Cc: Vahe Stepanian  
Subject: Re: trading capabilities

mr epstein has been asking and would like to give him feedback this week on trading capabilities

regarding next week - wednesday is ok to come by

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022

tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Apr 26, 2017, at 4:53 PM, Stewart Oldfield <[REDACTED]>  
wrote:

Generally we are talking about purchase of fx options I think. Let's add  
this to the discussion agenda for next week. See you soon

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Tuesday, April 25, 2017 5:49 PM  
To: Vahe Stepanian  
Cc: Stewart Oldfield  
Subject: Re: trading capabilities

we are potentially looking to increase derivatives trading and would like to  
better understand what long form confirmation will allow us to do

please advise

thank you

Richard Kahn  
HBRK Associates Inc.

575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cel [REDACTED]

On Apr 25, 2017, at 4:14 PM, Vahe Stepanian <[REDACTED]> wrote:

Generally agree with Stewart. Is there anything specific you are looking at?

Thanks,

Vahe

From: Stewart Oldfield  
Sent: Tuesday, April 25, 2017 4:02 PM  
To: Richard Kahn <[REDACTED]>  
Cc: Vahe Stepanian <[REDACTED]>  
Subject: RE: trading capabilities

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [mailto: [REDACTED]]  
Sent: Tuesday, April 25, 2017 3:48 PM  
To: Stewart Oldfield  
Subject: trading capabilities

are we able to do any of the following trades in our accounts

Trade fx forwards and options  
CDS  
Equity derivatives

If so would this be out of your Wealth Management group or Investment Bank?

please advise

thank you

Richard Kahn  
HBRK Associates Inc.  
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cell [REDACTED]

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