

Subject: Re: trading capabilities  
From: Richard Kahn <[REDACTED]>  
Date: Tue, 25 Apr 2017 17:48:40 -0400  
To: Vahe Stepanian <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>

we are potentially looking to increase derivatives trading and would like to better understand what long form confirmation will allow us to do please advise  
thank you

Richard Kahn  
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cel [REDACTED]

On Apr 25, 2017, at 4:14 PM, Vahe Stepanian <[REDACTED]> wrote:

> Generally agree with Stewart. Is there anything specific you are looking at?

Thanks,

Vahe

From: Stewart Oldfield  
Sent: Tuesday, April 25, 2017 4:02 PM  
To: Richard Kahn <[REDACTED]>  
Cc: Vahe Stepanian <[REDACTED]>  
Subject: RE: trading capabilities

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [mailto: ]  
Sent: Tuesday, April 25, 2017 3:48 PM  
To: Stewart Oldfield  
Subject: trading capabilities

are we able to do any of the following trades in our accounts

Trade fx forwards and options  
CDS  
Equity derivatives

If so would this be out of your Wealth Management group or Investment Bank?

please advise

thank you

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