

Subject: April - BRC Committee - Slide [I]

From: Melisa Venegas [REDACTED]

Date: Mon, 04 May 2015 13:09:17 -0400

To: Yoonsun Chung [REDACTED]

Janice Franklin [REDACTED]

Cc: Armen Brash [REDACTED]

Wayne Salit [REDACTED]

Classification: For internal use only

Janice, Yoonsun,

When you get a chance, can you please send the BRC #s ?

Ø Total # of PCS Low & Moderate KYCs approved (in Apr)

Ø Total # of PCS High Risk KYCs approved (in Apr)

Ø Total number of High Risk accounts within PCS as of Apr 30th

Ø Total number of High Risk clients within the Private Bank as of Apr 30th

Also, anything noteworthy for inclusion as a narrative.

>>Please also find attached the list of ACUs that prompted a material change and that we think should be closed.

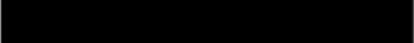
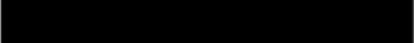
More than 50% are pending your review, we would like to close as many as possible and reduce the numbers before the BRC meeting

Thank you.

Regards,

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