

Subject: FW: Canyon requests - AIS - KCP [I]
From: Stewart Oldfield <[REDACTED]>
Date: Tue, 22 Nov 2016 10:30:08 -0500
To: Daniel Sabba <[REDACTED]>,
Darlene Zong <[REDACTED]>

Classification: For internal use only

Can we send these today? Thanks

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 5:44 PM
To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

Classification: For internal use only

Stew,

Just tell Daniel/Darlene to submit AIS requests for Canyon for Epstein/-
Southern Financial and TFMG.

Please keep in mind that TFMG is a COD account. You won't be able to book
Canyon there unless you have a new account (cash).

Thank you

{cid:image003.png@01CFFD0A.6F882F50}

Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

Key Client Partners - Americas

EFTA01419312

Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
Tel. [REDACTED]
Email: [REDACTED]

All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

This email may contain confidential and/or privileged information. If you are not the intended recipient (or have received this email in error), please notify the sender immediately and delete the email from your computer. Any unauthorized copying, disclosure or distribution of the material in this email is prohibited.

From: Stewart Oldfield
Sent: Tuesday, November 15, 2016 5:21 PM
To: Zbynek Kozelsky
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

Classification: For internal use only

Then please tell me specifically what I need to do and for which clients. Are we only talking about Southern Financial and Tisch?

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 3:14 PM
To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

Classification: For internal use only

I am afraid we may be in violation of KCP KOPs if we do it your way...

Thank you

Ziggy

{cid:image003.png@01CFFD0A.6F882F50}

Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

Key Client Partners - Americas
Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
Tel. + [REDACTED]
Email: [REDACTED]

All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

This email may contain confidential and/or privileged information. If you are not the intended recipient (or have received this email in error), please notify the sender immediately and delete the email from your computer. Any unauthorized copying, disclosure or distribution of the material in this email is prohibited.

From: Stewart Oldfield
Sent: Tuesday, November 15, 2016 3:11 PM
To: Zbynek Kozelsky
Cc: Mathew Negus; Todd Stevens; Joseph Dursi
Subject: RE: Canyon requests - AIS - KCP [I]

Classification: For internal use only

One team one dream. Seems silly to have to go multiple places for the same thing. Let me know which names Andrew submitted that you think KCP should submit. Then have the relevant KCP coverage team submit for me.

Thanks

From: Zbynek Kozelsky
Sent: Tuesday, November 15, 2016 3:08 PM
To: Stewart Oldfield
Cc: Mathew Negus; Todd Stevens
Subject: Canyon requests - AIS - KCP [I]

Classification: For internal use only

Hi Stew,

Regarding any Canyon AIS requests please work directly with your KCP coverage team (for KCP covered accounts).

ISG may not enter such request(s) and act on behalf of KCP.

Thank you

Ziggy

{cid:image003.png@01CFFD0A.6F882F50}

Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

Key Client Partners - Americas
Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
Tel. [REDACTED]
Email: [REDACTED]

All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

This email may contain confidential and/or privileged information. If you are not the intended recipient (or have received this email in error), please notify the sender immediately and delete the email from your computer. Any unauthorized copying, disclosure or distribution of the

material in this email is prohibited.