

Sheet1

Account # : [REDACTED]
Account Short Name: EPSTEIN

Base CCY: USD

Transaction Type : All Transactions Duration : 1 Week

DATE	TRADE DATE	STLMNT DATE	SEC.	DESCRIPTION	SYMBOL	BUY/SELL	QUANTITY
(TRANS. CCY)	COMM.	SALES CREDIT	FEE	ACTIVITY	TRANS. CCY		
12/15/2017	12/15/2017	12/18/2017		CALL 100 BROADCOM LTD SHS			ISIN#SG99990
14823	EXP 02-16-18@250.000	OPTION ROOT= AVGO		AVGO 02162018 C 250	SELL	-20	18.435 3680
3.14	60	0	6.86	Sell -20.00000	Cs of AVGO 02162018 C 250 at 18.435000000		USD
12/15/2017	12/15/2017	12/18/2017		CALL 100 BROADCOM LTD SHS			ISIN#SG99990
14823	EXP 01-19-18@260.000	OPTION ROOT= AVGO		AVGO 01192018 C 260	SELL	-20	10.5965 2112
6.51	60	0	6.49	Sell -20.00000	Cs of AVGO 01192018 C 260 at 10.596500000		USD
12/13/2017	12/13/2017	12/15/2017		AMERICAN AXLE & MFG HLDGS INC COM	AXL	SELL	
-1700	17.25	29218.32	100	0	6.68	Sell -1700.00000	share(s) of AXL at 17.25000
0000	USD						
12/15/2017	12/15/2017	12/18/2017		PUT 100 GILEAD SCIENCES INC			EXP 01-19-18
@75.000	OPTION ROOT= GILD	GILD 01192018 P 75		SELL	-125	1.71	20993.50 375
0	6.50	Sell -125.00000		Ps of GILD 01192018 P 75 at 1.710000000			USD
12/11/2017	12/11/2017	12/11/2017		DEUTSCHE GOVERNMENT CASH INSTITUTIONAL		0	0
866.04	0	0	0	MONEY MARKET FUND			
12/13/2017	12/13/2017	12/13/2017		U.S.DOLLARS CURRENCY		0	0
0	0	0	0	MONEY FUND REDEMPTION			516860.04
12/11/2017	12/11/2017	12/11/2017		U.S.DOLLARS CURRENCY		0	0
0	0	0	0	MONEY FUND REDEMPTION			1801978.53
12/12/2017	12/12/2017	12/12/2017		U.S.DOLLARS CURRENCY		0	0
0	0	0	0	MONEY FUND PURCHASE			-1477727.63
12/12/2017	12/12/2017	12/13/2017		SOUTH AFRICAN RAND CURRENCY		BUY	7074755.98
13.687953	-516860.04	0	0	0	FOREIGN CURRENCY EXCHANGE		USD
12/15/2017	N/A	12/15/2017		GENERAL ELEC CO GTD FXD-TO-FLTG RT NON-CUMULATIVE PERPETUAL			
PREFERRED 4.000%	12/03/65 REG DTD	12/03/15 CLB		CLB 06/15/22 @100.000	GE4317670		0 0
49380	0	0	0	CASH DIVIDEND RECEIVED			USD
12/11/2017	12/11/2017	12/12/2017		CALL 100 SPDR S&P 500 ETF TR TR UNIT			EXP 03-16-18
@270.000	OPTION ROOT= SPY	SPY 03162018 C 270		BUY	900	2.89	-262806 2700 0
6	Buy 900.00000	Cs of SPY 03162018 C 270 at 2.890000000					USD
12/15/2017	12/15/2017	12/18/2017		CALL 100 BROADCOM LTD SHS			ISIN#SG99990
14823	EXP 12-15-17@260.000	OPTION ROOT= AVGO		AVGO 12152017 C 260	BUY	20	5.365 -107
96	60	0	6	Buy 20.00000	Cs of AVGO 12152017 C 260 at 5.365000000		USD
12/15/2017	12/15/2017	12/18/2017		CALL 100 BROADCOM LTD SHS			ISIN#SG99990
14823	EXP 12-15-17@250.000	OPTION ROOT= AVGO		AVGO 12152017 C 250	BUY	20	12.11 -242
86	60	0	6	Buy 20.00000	Cs of AVGO 12152017 C 250 at 12.110000000		USD
12/13/2017	12/12/2017	12/15/2017		AMERICAN AXLE & MFG HLDGS INC COM	AXL	BUY	
1700	17	-28900	0	85	0	Buy 1700.00000	share(s) of AXL at 17.000000000
12/12/2017	12/12/2017	12/15/2017		NASPERS LIMITED LTD			ISIN#ZAE0000
15889	NAPRF BUY 2000	3527.8399		-7074755.98	1365	0	17711.18 Buy
2000.00000	of NAPRF at 3527.839900000	ZAR					
USD	SUMIF(\$N\$7:\$N\$21, I22, \$J\$7:\$J\$21)=3415			SUMIF(\$N\$7:\$N\$21, I22, \$K\$7:\$K\$21)=85			
ZAR	SUMIF(\$N\$7:\$N\$21, I23, \$J\$7:\$J\$21)=1365			SUMIF(\$N\$7:\$N\$21, I23, \$K\$7:\$K\$21)=0			
USD	Equiv Subtotals J22+(J23/13.65)=3515			K22+(K23/13.65)=85			
Total	SUM(J24:K24)=3600						

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