

Subject: RE: Epstein/Southern Financial
From: Cynthia Rodriguez <[REDACTED]>
Date: Thu, 02 Mar 2017 09:25:39 -0500
To: Vahe Stepanian <[REDACTED]>,
Stewart Oldfield <[REDACTED]>

Hi Vahe,

As an update, they indeed opened the DB AG accounts with us and funded two accounts so far. They will reach out to transfer funds from the brokerage account soon. I will touch base with them today from a timing perspective and get back to you. Thanks for following up!

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
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From: Vahe Stepanian
Sent: Thursday, March 02, 2017 9:22 AM
To: Stewart Oldfield
Cc: Cynthia Rodriguez
Subject: RE: Epstein/Southern Financial

Stew – following up on the below. What ended up happening with Epstein and the new deposit accounts?

Last time we spoke to Rich together about the matter he committed to moving the deposits to DB NY – but it looks like the cash is still in brokerage accounts.

Let me know if I can be helpful on this front.

Thank you,

Vahe

From: Stewart Oldfield
Sent: Thursday, January 05, 2017 12:36 PM
To: Charlie Burrows <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Cynthia Rodriguez
<[REDACTED]>; Vahe Stepanian <[REDACTED]>
Subject: Epstein/Southern Financial

Let's discuss what to show these guys to get them to move cash to the most efficient place for them and us

Thanks

From: Cynthia Rodriguez
Sent: Thursday, January 05, 2017 12:19 PM

To: Stewart Oldfield

Subject: RE: can you tell me what interest rate we are paying on JE's accounts? [I]

Attached is the spreadsheet of all accounts including account type, current (cash only) balances as of today, and interest rates. I've added a column for notes to distinguish the cash within the brokerage accounts. Let me know if you need anything else J

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez
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From: Stewart Oldfield

Sent: Wednesday, January 04, 2017 2:02 PM

To: Cynthia Rodriguez

Subject: can you tell me what interest rate we are paying on JE's accounts?
[I]

Classification: For internal use only

Let's look at cash balances by account and rate for each. Not sure whether he gets individual or business rates. Can you also see how much cash he has in his brokerage?

Thanks

Stewart Oldfield, CFA, CAIA
Director

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