

Subject: RE: CIB Offboard [C]
From: Ana Hunter [REDACTED]
Date: Mon, 15 Apr 2019 12:33:32 -0400
To: Liam Osullivan <[REDACTED]>
Stewart Oldfield [REDACTED]
Cc: Monica Gilkinson [REDACTED]

Thank you, apologies for the short turnaround.

We just got a list of over 500 from CIB to be actioned today.

Kind regards,

{cid:image001.png@01D4331E.58044FA0}

Ana Hunter

Deutsche Bank Wealth Management

5022 Gate Parkway, Suite 400, Jacksonville, FL 32256 - USA

Tel.: + [REDACTED]

Email: [REDACTED]

From: Liam Osullivan
Sent: Monday, April 15, 2019 12:16 PM
To: Stewart Oldfield <[REDACTED]>; Ana Hunter
[REDACTED]

Cc: Monica Gilkinson [REDACTED] Armen Brash

Subject: RE: CIB Offboard [C]

Classification: Confidential

There are no open trades. Thanks

From: Stewart Oldfield

Sent: Monday, April 15, 2019 12:01 PM

To: Ana Hunter [REDACTED]; Liam Osullivan [REDACTED]

Cc: Monica Gilkinson [REDACTED] Armen Brash

Subject: Re: CIB Offboard

I think we closed out the last CIB trade they had, in which case we can off board.

Liam - please confirm.

Thanks

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Apr 15, 2019, at 11:59 AM, Ana Hunter [REDACTED] wrote:

Hi – the below client ended up on the CIB offboard list. Client will be offboarded today unless you confirm we need to retain.

Please confirm:

SOUTHERN FINANCIAL, LLC

Kind regards,

<image001.png>

Ana Hunter

Deutsche Bank Wealth Management

5022 Gate Parkway, Suite 400, Jacksonville, FL 32256 - USA

Tel.: [REDACTED]

Email: [REDACTED]