

Subject: FW: Urgent Request [C]  
From: Donna Kerrigan <[REDACTED]>  
Date: Tue, 16 Jul 2019 15:25:18 -0400  
To: Leslie Roth <[REDACTED]>  
Cc: Robert Frohlich <[REDACTED]>  
Stewart Oldfield <[REDACTED]>

Classification: Confidential

Leslie – Please can you address this request as a matter of urgency and also confirm if this account was impacted by missing Brokerage assets last year.

Thanks

From: Stewart Oldfield  
Sent: Tuesday, July 16, 2019 3:12 PM  
To: Robert Frohlich <[REDACTED]> Donna Kerrigan  
[REDACTED]  
Subject: RE: Urgent Request [C]

Classification: Confidential

Rob,

Could you break out brokerage vs. non-brokerage assets? I don't think we had such a substantial volume drop in July '18, and wonder if that's a brokerage data issue.

Thanks

From: Robert Frohlich  
Sent: Tuesday, July 16, 2019 2:45 PM  
To: Donna Kerrigan <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: RE: Urgent Request [C]

Classification: Confidential

Here you go – also attaching the underlying data from GMIS which includes the product type and client names as well as USD.

Sum of EUR

Categorie

relationship reference\*

YearMonth

Revenues

Volume

SOUTHERN FINANCIAL

201801

118,719

177,123,487

201802

92,880

192,177,294

201803

101,339

183,554,497

201804

97,754

184,758,913

201805

116,122

198,312,507

201806

95,070

187,089,834

201807

66,734

57,351,765

201808

66,126

54,371,862

201809

107,091

45,601,540

201810

49,525

35,139,451

201811

48,090

32,853,375

201812

44,107

29,557,911

201901

53,541

33,911,566

201902

111,403

42,167,771

201903

48,774

8,262,610

201904

9,379

2,004,182

201905

799

30

201906

-

4

30

Kind regards,  
Rob Frohlich

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{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Robert Frohlich

Deutsche Bank AG, Filiale New York

Wealth Management  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

From: Donna Kerrigan  
Sent: 16 July 2019 13:34  
To: Robert Frohlich [REDACTED]  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: Urgent Request [C]

Classification: Confidential

Hi Rob - Please can you pull Southern Financial Revenues and CBV by Product and Month beginning January 2018.

Thanks,

Donna