

Subject: Re: [REDACTED], Neptune LLC & Butterfly Trust - GCIS #
489505, 487264 & 486426, KYC # 2075620 [I]
From: Stewart Oldfield <[REDACTED]>
Date: Tue, 16 Apr 2019 08:25:45 -0400
To: Vijay-A Sawant <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>
Bradley Gillin <[REDACTED]>
Natalie Barak <[REDACTED]>
Vinita Advani <[REDACTED]>

All closing. Thanks.

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Apr 16, 2019, at 5:56 AM, Vijay-A Sawant <[REDACTED]> wrote:

> Classification: For internal use only

Hello Stewart,

Thank you for the confirmation. Just for our records, could you confirm that the following accounts (due for remediation later this year) from the SOUTHERN FINANCIAL RELATIONSHIP are closing as well? We will update our records accordingly, thanks in advance again.

GCIS Customer Number

KYC ID

Full Legal Name

483289

Epstein, Jeffrey E.

483882

Southern Financial LLC

483883

Southern Trust Company, Inc.

486423

Jeepers, Inc

486426

2075620

Butterfly Trust

486427

J. Epstein Virgin Islands Foundation, Inc

486428

The Haze Trust

487216

JEGE, LLC

487219

Plan D, LLC

487225

Hyperion Air, LLC

487264

2075620

Neptune, LLC

487265

NES, LLC

489505

2075620

499733

LSJE, LLC

550331

Zorro Management, LLC

550868

Prytanee, LLC

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

Tel. + [REDACTED]

Mobile [REDACTED]

From: Stewart Oldfield

Sent: Monday, April 15, 2019 8:58 PM

To: Mayur Rathod <[REDACTED]>

Cc: Vijay-A Sawant <[REDACTED]>; Bradley Gillin

<[REDACTED]>; Natalie Barak <[REDACTED]>; Vinita Advani

Subject: Re: [REDACTED], Neptune LLC & Butterfly Trust - GCIS #
489505, 487264 & 486426, KYC # 2075620 [I]

These accounts (and all accounts under the southern financial relationship) are being exited. No need to do any of this work. Thanks.

EFTA01420944

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Apr 15, 2019, at 9:46 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: For internal use only

Hi Stew,

Good Morning!!

I am completing the 2019 HR Periodic Review for the subject client.

*** After reviewing all the available documents & previous KYC below is the drill down for all the three account holders. Please confirm if it is correct.

[REDACTED] - 489505

Joint Account Holder & Auth Signor

Karyna Shuliak

Joint Account Holder & Auth Signor

Neptune LLC - 487264

Account Holder

Jeffrey E. Epstein

UBO, Sole Member of Neptune LLC & Auth Signor

Harry Beller

Auth Signor

Darren K. Indyke

Auth Signor

Richard D. Kahn

Manager of Neptune LLC

Butterfly Trust - 486426

Account Holder

Jeffrey E. Epstein

Grantor of Butterfly Trust

Harry Beller

Trustee & Auth Signor

Erika Kellerhals

Trustee & Auth Signor

[REDACTED]

Beneficiary of Butterfly Trust

Darren K. Indyke

Beneficiary of Butterfly Trust

Karyna Shuliak

Beneficiary of Butterfly Trust

Richard D. Kahn

Beneficiary of Butterfly Trust


Beneficiary of Butterfly Trust

*** Below are the details required to complete the 2019 Periodic Review :-

· Please confirm if there are any material changes for all the three account holders KYC – will need client person name you confirmed this with and date.

· Could you please provide SOW verification (SOW description) for Karyna Shuliak. Also please confirm if she has dual citizenship – She has US Driver's License (attached) and we found an old Employment Authorization Card (also attached) which shows her Country of Birth as Belarus.

· Primary Decision Maker for all the three account holders.

I've already launched the case (2075620) and will raise research once we get the no material changes confirmation.

Please note depending on your responses, we may require additional details/-

documents.

Also would like to inform you that in coming days I'll be sending review email for other SOUTHERN FINANCIAL RELATIONSHIP accounts.

Kindly assist with the above.

Thanks in advance.

Kind Regards,

<image001.gif>

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management

Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai
400063, India
Tel.: [REDACTED]
Email: [REDACTED]

<Karyna Shuliak ID.pdf>

<Karyna Shuliak_Latest ID.pdf>