

Subject: RE: trading meeting [C]
From: Vahe Stepanian <[REDACTED]>
Date: Mon, 28 Aug 2017 13:28:29 -0400
To: Richard Kahn <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>,
Liam Osullivan <[REDACTED]>

Classification: Confidential

Thank you J

No problem, will send if ready otherwise will make sure a member of the team gets those over to you.

Best,

Vahe

From: Richard Kahn [mailto:[REDACTED]]
Sent: Monday, August 28, 2017 1:02 PM
To: Vahe Stepanian <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Liam Osullivan
[REDACTED]
Subject: Re: trading meeting [C]

ok

enjoy your time off

can you also send aug 31 statements before you leave if ready ..

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor

New York, NY 10022

On Aug 28, 2017, at 12:55 PM, Vahe Stepanian <[REDACTED]> wrote:

Classification: Confidential

Sounds good. I'm going to be out of office Sept. 5-17, so please make sure to include Stew and Liam in case you have questions.

Best,

Vahe

From: Richard Kahn [mailto:[REDACTED]]
Sent: Monday, August 28, 2017 12:52 PM
To: Vahe Stepanian <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Liam Osullivan
[REDACTED]
Subject: Re: trading meeting [C]

received and will send back middle of September once our consultant begins

EFTA01421081

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022



On Aug 25, 2017, at 3:04 PM, Vahe Stepanian < > wrote:

Classification: Confidential

Rich – please find attached the paperwork to grant your new hire trading authorization on the existing brokerage accounts. For all of the accounts other than the JEE personal account – the “Trading Authorization Limited” form should be completed.

For only the JEE personal account – the POA should be completed, and we suggest checking off as “limited” power of attorney if only granting trading authority (vs. full POA, which would allow him to also transfer assets out of the account). Both forms have sections for name, legal address, DOB, and

SSN. Our compliance mandates that the forms should be completed using your new hire's personal address on the forms (i.e. not his office address). In addition, we will need a government issued (non-expired) photo ID.

I'm CC'ing my colleague Liam here who will help on the documentation side. Please let us know if you have questions.

Have a great weekend.

Best,

Vahe

From: Stewart Oldfield
Sent: Friday, August 25, 2017 11:18 AM
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>
Subject: RE: trading meeting

Vahe - Can you help Rich with those docs?

Rich – will look at week of the 18th and be back

Thanks

From: Richard Kahn [mailto:██]
Sent: Friday, August 25, 2017 11:07 AM
To: Stewart Oldfield <██>
Subject: Re: trading meeting

i just found out that our new hire will be out that week...drama continues...

can we target the week of september 18th?

also can you send us trading authority docs on all brokerage accounts so that we can add our new hire

thank you

On Aug 25, 2017, at 10:34 AM, Stewart Oldfield <[REDACTED]> wrote:

Any luck with 9/14 or 9/15? Thanks

From: Stewart Oldfield
Sent: Tuesday, August 22, 2017 5:24 PM
To: 'Richard Kahn' <[REDACTED]>
Subject: RE: trading meeting

Sorry, forgot that we have a global offsite in London the week of the 5th that a lot of people will be at. Then I'm out the 11th-13th at the DB Technology conference (let me know if you want to join in Las Vegas . . .) Can we try for the 14th or 15th? Thanks

From: Richard Kahn [mailto:██]
Sent: Tuesday, August 22, 2017 3:59 PM
To: Stewart Oldfield <██>
Subject: Re: trading meeting

hope your summer has been going well

would love to chat and catch up..

looking at sep 5 / 6 / 7 for trading meeting

might any of those dates work for your team?

please advise

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 24, 2017, at 2:48 PM, Stewart Oldfield <[REDACTED]>
wrote:

8/10 at 10am at our office

Dan Kaiser – US head of Key Client Partners

Xavier Avila – Latam head of Key Client Partners (will add to any discussion about EM trading)

Martin Zeman – KCP head of trading

I will be there as well, along with either Matt Glassman or Vahe on the KCP coverage side.

From: Richard Kahn [mailto:████████████████████]
Sent: Monday, July 24, 2017 1:17 PM
To: Stewart Oldfield <████████████████████>
Subject: Re: trading meeting

please confirm when date is firm along with individuals we will be meeting

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 20, 2017, at 2:35 PM, Stewart Oldfield <[REDACTED]>
wrote:

I think 8/10 at 10am works for us

From: Richard Kahn [mailto: [REDACTED]]
Sent: Wednesday, July 19, 2017 11:23 AM
To: Stewart Oldfield < [REDACTED] >
Subject: Re: trading meeting

can we move apt to 8/9 or 8/10

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 13, 2017, at 10:17 AM, Stewart Oldfield <[REDACTED]>
wrote:

Confirmed for the morning of 8/8. Aiming for 10am in our office. You'll
meet the whole gang.

In other news, I went running this morning. It was brutal outside.

Thanks!

From: Richard Kahn [mailto: [REDACTED]]
Sent: Wednesday, July 12, 2017 9:09 PM
To: Stewart Oldfield < [REDACTED] >
Subject: Re: trading meeting

9 or 10am

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 12, 2017, at 6:34 PM, Stewart Oldfield <[REDACTED]>
wrote:

Lemme check and come back to you tmw. Any time preference?

From: Richard Kahn [mailto:██]
Sent: Wednesday, July 12, 2017 6:21 PM
To: Stewart Oldfield <██>
Subject: Re: trading meeting

how is 8/8?

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 12, 2017, at 4:50 PM, Stewart Oldfield <[REDACTED]>
wrote:

8/3 doesn't work on our side unfortunately. What's the next date 8/7 or
later that works for you?

Thanks

From: Richard Kahn [mailto: [REDACTED]]
Sent: Wednesday, July 12, 2017 4:48 PM
To: Stewart Oldfield < [REDACTED] >
Subject: Re: trading meeting

8/3 is best for us

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 11, 2017, at 2:25 PM, Stewart Oldfield <[REDACTED]>
wrote:

Turns out our new head of trading starts on July 31. Makes sense to wait and include him I think. Can we do morning of 7/31 or 8/1 or any time the following week? Thanks

From: Richard Kahn [mailto:████████████████████]
Sent: Tuesday, July 11, 2017 1:17 PM
To: Stewart Oldfield <████████████████████>
Subject: Re: trading meeting

9am

Richard Kahn

EFTA01421101

HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 11, 2017, at 12:56 PM, Stewart Oldfield <[REDACTED]>
wrote:

Will check. How much earlier on the 25th?

From: Richard Kahn [mailto:██]
Sent: Tuesday, July 11, 2017 11:57 AM
To: Stewart Oldfield <██>
Subject: Re: trading meeting

can we do same time on the 24th or earlier on the 25th?

please advise

thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 10, 2017, at 4:03 PM, Stewart Oldfield <[REDACTED]>
wrote:

That works. Can confirm details later next week. Thanks

From: Richard Kahn [mailto:████████████████████]
Sent: Friday, July 07, 2017 6:20 PM
To: Stewart Oldfield <████████████████████>
Subject: Re: trading meeting

Tuesday 25th 11am works.

Thanks

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel 212-971-1306
fax 212-320-0381
cell 917-414-7584

On Jul 7, 2017, at 12:04 PM, Stewart Oldfield <[REDACTED]>
wrote:

Rich,

Looks like we're generally open the week of the 24th to meet with you and your new trader. Let me know whether you have any time/date constraints and I will set it up.

Thanks

<image001.png>

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. 212 454-2881
Mobile 917 209-0686 blackberry
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

This communication may contain confidential and/or privileged information.
If you are not the intended recipient (or have received this communication

in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information.

If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and

regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the

material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

<WM168335_Trading_Authorization_Limited_Form_112516_Interactive_FINAL.PDF><WM167648_POA_DBSI_US_031616_Fields_Final.pdf>

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and

regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.