

Subject: Re: Boods to sell [C]  
From: Richard Kahn <[REDACTED]>  
Date: Mon, 11 Feb 2019 15:22:23 -0500  
To: Liam Osullivan <[REDACTED]>  
Cc: Darren Indyke <[REDACTED]>,  
Jeanne Brennan <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>,  
Martin Zeman <[REDACTED]>  
Davide-A Sferrazza <[REDACTED]>

thank you  
Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
[REDACTED]

On Feb 11, 2019, at 2:39 PM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

Darren and Rich,

The remaining 2 positions have been sold. I have also included the 2 small FX trades.

TRADE DATE  
STLMNT DATE  
BUY/SELL  
QUANTITY  
SEC. DESCRIPTION  
CUSIP  
PRICE  
PRINCIPAL  
INTEREST  
FEES  
TRANS. CCY  
NET AMT.  
(TRANS. CCY)

2/11/2019  
2/13/2019  
BUY

49.0000  
JAPANESE YEN CURRENCY  
JPY999993  
110.34950000  
-0.44  
0.00  
0.00  
USD  
-0.44

2/11/2019  
2/13/2019  
BUY  
42.5700  
EURO CURRENCY  
EUR999995  
1.12830000  
-48.03  
0.00  
0.00  
USD  
-48.03

2/11/2019  
2/13/2019  
SELL  
-2,469,000.0000  
GENERAL ELEC CO GTD FXD-T0-FLTG RT NON-CUMULATIVE PERPETUAL PREFERRED  
4.000% 12/03/65 REG DTD 12/03/15 CLB  
369604BM4  
68.50000000  
1,691,265.00  
-15,911.33  
6.00  
USD  
1,707,170.33

2/11/2019  
2/13/2019  
SELL  
-3,000,000.0000  
SOUTHERN CALIF EDISON CO PREF SER E 6.250% 01/17/62 B/E DTD 01/17/12 CLB  
842400FU2  
93.50000000  
2,805,000.00  
-6,250.00  
6.00  
USD  
2,811,244.00

Prepared on 02/11/2019 Source: Pershing NetX 360

Unofficial trade confirmations use information from sources that the firm believes to be reliable, but Deutsche Bank Securities Inc. cannot guarantee the accuracy of this information, or the reliability of these sources. Please refer to your official account statements and confirmations for all trade information

Thank you,

Liam

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<image001.gif>

Liam O'Sullivan  
Investments & Trading | Institutional Wealth Partners

DB Securities Inc  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154-0004, USA

Email [REDACTED]

Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Liam Osullivan  
Sent: Monday, February 11, 2019 2:11 PM  
To: 'Richard Kahn' <[REDACTED]>; Darren Indyke ([REDACTED])  
<[REDACTED]>  
Cc: 'Jeanne Brennan' <[REDACTED]>; Stewart Oldfield  
<[REDACTED]>; Martin Zeman <[REDACTED]> Davide-A  
Sferrazza <[REDACTED]>  
Subject: RE: Boods to sell [C]

Classification: Confidential

Darren and Rich,

So far we have sold 3 of the bonds. We are still working on the remaining 2 positions.

TRADE DATE  
STLMNT DATE  
BUY/SELL  
QUANTITY  
SEC. DESCRIPTION  
CUSIP  
PRICE  
PRINCIPAL  
INTEREST  
FEES  
TRANS. CCY  
NET AMT.  
(TRANS. CCY)

2/11/2019  
2/13/2019  
SELL  
-1,000,000.0000  
DEUTSCHE BANK AG NTS ISIN# [REDACTED] 4.296% 05/24/28 B/E DTD 05/24/13 CLB  
[REDACTED]  
87.84800000  
878,480.00  
-9,427.33  
6.00  
USD  
887,901.33

2/11/2019

2/13/2019  
SELL  
-1,000,000.0000  
DEUTSCHE BK AG N Y BRH VAR ISIN# [REDACTED] 4.875% 12/01/32 B/E DTD  
12/01/17 CLB  
[REDACTED]  
81.35000000  
813,500.00  
-9,750.00  
6.00  
USD  
823,244.00

TRADE DATE  
STLMNT DATE  
BUY/SELL  
QUANTITY  
SEC. DESCRIPTION  
CUSIP  
PRICE  
PRINCIPAL  
INTEREST  
FEES  
TRANS. CCY  
NET AMT.  
(TRANS. CCY)

2/11/2019  
2/13/2019  
SELL  
-1,000,000.0000  
BUCKEYE PARTNERS L P FIXED TO FLTG RATE NT 6.375% 01/22/78 B/E DTD 01/22/18  
CLB  
[REDACTED]  
85.75000000  
857,500.00  
-3,718.75  
6.00  
USD  
861,212.75

Prepared on 02/11/2019 Source: Pershing NetX 360  
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Also, the following positions were transferred from the SFLLC account to TD

Ameritrade today:

5,000 BABA  
3,000 GOOG  
1,000 AMZN  
2,095 BIIB  
25,000 CVNA  
25,000 KPTI  
15,000 UTX

Thank you,

Liam

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<image001.gif>

Liam O'Sullivan  
Investments & Trading | Institutional Wealth Partners

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Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154-0004, USA

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From: Liam Osullivan  
Sent: Monday, February 11, 2019 11:02 AM  
To: 'Richard Kahn' <[REDACTED]>  
Cc: Jeanne Brennan <[REDACTED]>; Stewart Oldfield <[REDACTED]>; Martin Zeman <[REDACTED]>; Davide-A Sferrazza <[REDACTED]>  
Subject: RE: Boods to sell [C]

Classification: Confidential

Rich,

The indicative bids are:

BPL 6 $\frac{3}{8}$ 01/22/78	118230AS0	1mm	85.75
DB 4 $\frac{7}{8}$ 12/01/32	251526BN8	1mm	81.35
DB 4.296 05/24/28	251525AM3	1mm	86.70
GE 4 PERP	369604BM4	2,469m	68.50
EIX 6 $\frac{1}{4}$ PERP	842400FU2	3mm	93.50

Thank you,

Liam

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<image001.gif>

Liam O'Sullivan  
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345 Park Avenue, New York, NY 10154-0004, USA

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From: Richard Kahn [mailto: ]  
Sent: Monday, February 11, 2019 9:43 AM  
To: Liam Osullivan <Liam.osullivan@db.com>  
Cc: Jeanne Brennan < >; Stewart Oldfield  
< >  
Subject: Boods to sell

can you please obtain pricing to sell these positions..  
thank you

Southern Trust Co. - Fixed Income (DB)

Buckeye Partners Fixed to Float 6.375% Callable 1/22/23

1,000,000

Southern Financial LLC - Fixed Income (DB)

Deutsche Bank AG 4.875% exp 12/1/2032

1,000,000

Deutsche Bank AG 4.296% exp 5/24/2028

1,000,000

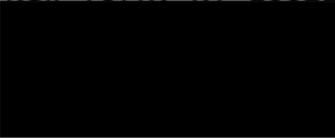
General Electric Co GTD Fixed to Float 4.0% Dec 15 2065

2,469,000

Southern Cal Edison 6.25% Dec 31 2049

3,000,000

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022



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