

Subject: RE: Caterpillar KYC [I] [C]
From: Liam Osullivan [REDACTED]
Date: Thu, 01 Nov 2018 17:43:05 -0400
To: Stewart Oldfield <[REDACTED]>,
Richard Iarossi [REDACTED]
Cc: Bradley Gillin [REDACTED]

Classification: Confidential

Thanks.

From: Stewart Oldfield
Sent: Thursday, November 01, 2018 5:40 PM
To: Richard Iarossi [REDACTED] Liam Osullivan
[REDACTED]
Cc: Bradley Gillin [REDACTED]
Subject: RE: Caterpillar KYC [I]

This is just to memorialize that this will happen at some point. Probably closer to end of year. Nothing to do now. Thanks

From: Richard Iarossi
Sent: Thursday, November 01, 2018 5:15 PM
To: Liam Osullivan [REDACTED]
Cc: Bradley Gillin [REDACTED]; Stewart Oldfield
<[REDACTED]>
Subject: Caterpillar KYC [I]

Classification: For internal use only

Hi Liam,

Please see the attached KYC for 2017 Caterpillar Trust which will be looking to open a new brokerage account.

Thanks,

Rich

Richard Iarossi
Assistant Vice President

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