

Subject: Fw: GBSA [I]  
From: Stewart Oldfield <[REDACTED]>  
Date: Tue, 27 Jun 2017 01:37:06 -0400  
To: Bradley Gillin <[REDACTED]>,  
Cynthia Rodriguez <[REDACTED]>

Classification: For Internal Use Only

Please let me know what I need to do. Thanks

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154  
Tel: [REDACTED]  
Mobile: [REDACTED] blackberry  
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Securities offered through Deutsche Bank Securities Inc.

From: Michele Hurley  
Sent: Monday, June 26, 2017 09:02 PM  
To: Stewart Oldfield  
Subject: FW: GBSA [I]

Classification: For internal use only

Hi:

As mentioned in the below email, attached please find your Customer List along with the GBSA attestation.

Please review the spreadsheet again, noting any additional determinations that have been made in the last 1.5 weeks and then complete the attestation and return to Tanya Johnson-Coomes by close of business Tuesday, 6/27. As previously communicated, for any customers that were determined to be AIFs or for which a determination could not be made, overdrafts will not be permitted until such time as we are able to make a determination. This applies to all customers where the Exempt Yes / No column says anything other than "Yes".

Many of you have already provided confirmation already in email form. All confirmations that were provided were noted in the far right column of the Customer List.

Michèle Hurley

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

From: Charles Hoffarth

Sent: Monday, June 26, 2017 9:19 PM

To: Abraham Telechanski <[REDACTED]>; Andrea Nicoletti <[REDACTED]>; Anh H Parisi <[REDACTED]>; Armando Estua-Belaunzaran <[REDACTED]>; Bernadette Schmidinger-Brown <[REDACTED]>; Billy Obregon <[REDACTED]>; Brandi Goldenberg <[REDACTED]>; Brenda Guerrier <[REDACTED]>; Brian P Convey <[REDACTED]>; Brian J Harrington <[REDACTED]>; Carlos Luna <[REDACTED]>; Carolyn Magliaro <[REDACTED]>; Carsten Wellemsen <[REDACTED]>; Catherine M Oconnor <[REDACTED]>; Christopher Quartararo <[REDACTED]>; Chuck Walker <[REDACTED]>; Dan Gray <[REDACTED]>; Dan Peterson <[REDACTED]>; Daniel Kay <[REDACTED]>; Daphne Cales <[REDACTED]>; Debjani Bagchi <[REDACTED]>; Derek Javarone <[REDACTED]>; Dessy Arteaga <[REDACTED]>; Diane Minarczyk <[REDACTED]>; Douglas Robertson <[REDACTED]>; Ed Lok <[REDACTED]>; Edie Murphy <[REDACTED]>; Eduardo Pieta <[REDACTED]>; Edward Golden <[REDACTED]>; Eva Pace <[REDACTED]>; Foster J McCoy <[REDACTED]>; Gabriel Micheletti <[REDACTED]>; Gina Manzino <[REDACTED]>; Heather Kirby <[REDACTED]>; Hildegarde Berrios <[REDACTED]>; Hsiao-li Chou <[REDACTED]>; Israel Velasquez <[REDACTED]>; Jake Block <[REDACTED]>; James Donofrio <[REDACTED]>; Janet A McGrath <[REDACTED]>; Janice M Smith <[REDACTED]>; Joanne Jensen <[REDACTED]>; John Marson <[REDACTED]>; Joseph Cothron <[REDACTED]>; Joseph Sabbagh <[REDACTED]>; Joseph Stafford <[REDACTED]>; Joshua Frank <[REDACTED]>; Joshua Shoshan <[REDACTED]>; Josie Alonso <[REDACTED]>; Katherine O-Connor <[REDACTED]>; Leanna Rivera <[REDACTED]>; Lee Hutter <[REDACTED]>; Lindsay Sanders <[REDACTED]>; Malcolm Kimble <[REDACTED]>; Mark Gonzalez <[REDACTED]>; Mark LaRoe <[REDACTED]>; Mark R Westhoff <[REDACTED]>; Mary C Keaveney <[REDACTED]>; Mauricio Pretelt <[REDACTED]>; Michael King

< [REDACTED] >; Michael Lantz < [REDACTED] >; Michael Mullin  
< [REDACTED] >; Michael S Jacoby < [REDACTED] >; Michele-  
M Quattrocchi < [REDACTED] >; Michelle Pecorino  
< [REDACTED] >; Nora Heaton < [REDACTED] >; Oti Roberts  
< [REDACTED] >; Paul Bartilucci < [REDACTED] >; Paul E Betts  
< [REDACTED] >; Rachel Maglicic < [REDACTED] >; Robert  
Harper < [REDACTED] >; Roberto Mendoza < [REDACTED] >; Ron  
Colonna < [REDACTED] >; Rosemary Vrablic < [REDACTED] >;  
Russell Daulton < [REDACTED] >; Ryan Barclay  
< [REDACTED] >; Salvatore Moore < [REDACTED] >; Sean Jules  
< [REDACTED] >; Sean Magee < [REDACTED] >; Sequana Beale  
< [REDACTED] >; Shahin Ahdieh < [REDACTED] >; Stephan  
Wessels < [REDACTED] >; Stephen-M Johnson < [REDACTED] >;  
[REDACTED] >; Stewart Oldfield < [REDACTED] >; Susan Moffat  
< [REDACTED] >; Susan Rodriguez < [REDACTED] >; Suzy Cozzi  
< [REDACTED] >; Tazia Smith < [REDACTED] >; Terrence F Tangney  
< [REDACTED] >; Terri Sohrab < [REDACTED] >; Theresa  
Romano < [REDACTED] >; Thomas-B Mayer < [REDACTED] >;  
Timothy MacGuire < [REDACTED] >; Timothy Donahoe  
< [REDACTED] >; Trevor Spinney < [REDACTED] >; Vincent  
Commisso < [REDACTED] >; Xavier Santos < [REDACTED] >;  
Sylvia Junghardt < [REDACTED] >; Janet Sible  
< [REDACTED] >; Karina Bustamante < [REDACTED] >  
Cc: Patrick Campion < [REDACTED] >; Patrick Harris  
< [REDACTED] >; Sheffali Welch < [REDACTED] >; Andrew  
Gallivan < [REDACTED] >; Kimberly Hart < [REDACTED] >;  
Julie Cataudella < [REDACTED] >; Michele Hurley  
< [REDACTED] >  
Subject: GBSA

Thanks go out to all of you for the time and attention you've given to the GBSA review effort. As you know, the regulation goes into effect on July 1st. As a documentation requirement, we need you to confirm in writing that you agree, to the best of your knowledge, that the determination for the customers for which you are the owner are correct. In order to meet the tight deadline for system updates, we require that you return the completed attestation by close of business Tuesday, 6/27. Hopefully this will not be too burdensome since you have already reviewed and confirmed most of the determinations for your customers.

Michele Hurley will once again be forwarding your lists with the attestation document.

I thank you in advance for your prompt attention to this request.

Charlie