

GBSA CLIENT REPRESENTATIVE ATTESTATION FOR THE LEGACY REVIEW

This document is designed to assist Deutsche Bank AG in its plan of conformance with the German Bank Separation Act.

Attached is a list of customers ("Customer List") for which the undersigned is the assigned Client Representative.

Before providing the confirmation below, the following factors should be carefully considered:

1. Was any information in the Customer List contradicted by, or inconsistent with, information previously provided by the Account Holder/UBO to Deutsche Bank?

2. Is the Client Representative aware of any recent change to the structure of the Account Holder (e.g. new investors, side letters creating investment policies, amendments to organizational documents, etc.) without supporting documentation to verify the change having been provided to Deutsche Bank?

If, after considering the factors above (or any other factors or information of which the Client Representative is aware), you have reason to believe the factual and/or conclusions identified in the Customer List, which are the basis for the conclusion whether the client listed is subject to the German Bank Separation Act, you should not provide the confirmation below for such Customer List and should instead raise the matter with your manager.

Client Representative Affirmation

I confirm that to the best of my knowledge, the factual information corresponding to each of the customers provided in the Customer List, is accurate and the information contained therein is correct and, I am not aware of any information that would lead me to conclude that any of the factual information in the Customer List and/or the KYC information from which it was derived is incorrect or inaccurate.

I understand and acknowledge that if I become aware of any client changes that would impact the conclusions drawn from the facts identified for any of the customers, I will promptly escalate the matter to my supervisor.

I also acknowledge that for any customers contained in the Customer List whereby a determination was not able to be made due to insufficient documentation/information, no overdrafts will be permitted to those customers until such time as Wealth Management is able to make a determination as to status.

Signature of Client  
Representative:

Name of Client  
Representative:

Title:  
Date:

