

Subject: Trade Report 7/13/2017 [C]
From: Vahe Stepanian <[REDACTED]>
Date: Thu, 13 Jul 2017 11:19:00 -0400
To: Richard Kahn <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>,
Darren Indyke <[REDACTED]>,
Jeanne Brennan <[REDACTED]>

Classification: Confidential

Darren - today you executed the following per our conversation in SFLLC:

Sold to open 200 AMD Aug 13 Puts @ \$0.759

Thank you,
Vahe

-----Original Message-----

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, July 13, 2017 11:16 AM
To: Vahe Stepanian <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Darren Indyke <[REDACTED]>; Jeanne Brennan <[REDACTED]>
Subject: Re: [C]

yes
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

> On Jul 13, 2017, at 11:11 AM, Vahe Stepanian <[REDACTED]> wrote:

> Classification: Confidential

> Darren,

> To clarify - I will call you to confirm the following order for SFLLC:

> Sell 200 AMD Aug 13 Puts @ \$0.70

> Thank you,
> Vahe

> -----Original Message-----

> From: Richard Kahn [mailto:[REDACTED]]
> Sent: Thursday, July 13, 2017 11:09 AM
> To: Vahe Stepanian <[REDACTED]>
> Cc: Stewart Oldfield <[REDACTED]>; Darren Indyke <[REDACTED]>; Jeanne Brennan <[REDACTED]>
> Subject: Re: [C]

> AMD
> thank you

> Richard Kahn
> HBRK Associates Inc.
> 575 Lexington Avenue 4th Floor
> New York, NY 10022
> tel [REDACTED]
> fax [REDACTED]
> cell [REDACTED]

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>> On Jul 13, 2017, at 11:07 AM, Vahe Stepanian <[REDACTED]> wrote:
>>
>> Classification: Confidential
>>
>> What is ticker?
>>
>> -----Original Message-----
>> From: Richard Kahn [mailto:[REDACTED]]
>> Sent: Thursday, July 13, 2017 11:06 AM
>> To: Vahe Stepanian <[REDACTED]>
>> Cc: Stewart Oldfield <[REDACTED]>; Darren Indyke <[REDACTED]>; Jeanne Brennan <[REDACTED]>
>> Subject: Re: [C]
>>
>>
>> we would like to sell 200 contracts of the August 13.00 strike puts at 70c or better in SFL please confirm with darren thank you
>>
>>
>> Richard Kahn
>> HBRK Associates Inc.
>> 575 Lexington Avenue 4th Floor
>> New York, NY 10022
>> tel [REDACTED]
>> fax [REDACTED]
>> cell [REDACTED]
>>
>>
>>
>>
>>
>>
>> On Jul 13, 2017, at 10:57 AM, Vahe Stepanian <[REDACTED]> wrote:
>>>
>>> Classification: Confidential
>>>
>>> Hi Rich - Southern Financial can trade listed options, including sold puts.
>>>
>>> On the FX question - currently SFLLC cannot buy OTC options. There is Dodd Frank related paperwork that we would need on file before trading OTC options.
>>>
>>> Thank you,
>>> Vahe
>>>
>>> -----Original Message-----
>>> From: Richard Kahn [mailto:[REDACTED]]
>>> Sent: Thursday, July 13, 2017 10:27 AM
>>> To: Vahe Stepanian <[REDACTED]>
>>> Cc: Stewart Oldfield <[REDACTED]>
>>> Subject:
>>>
>>> are we equip to sell puts?
>>>
>>> Richard Kahn
>>> HBRK Associates Inc.
>>> 575 Lexington Avenue 4th Floor
>>> New York, NY 10022
>>> tel [REDACTED]
>>> fax [REDACTED]
>>> cell [REDACTED]
>>>
>>>
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>>> ---
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