

Subject: FW: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert No. 83817 - DUE April 11, 2018 [I]
From: Bradley Gillin <[REDACTED]>
Date: Tue, 10 Apr 2018 11:11:21 -0400
To: Amlcompliance Inquiries <[REDACTED]>, Michele-M Quattrocchi <[REDACTED]>, Rob Wang <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>, Hildegard Berrios <[REDACTED]>

Classification: For internal use only

Hi,

The purpose of both wires was to send money, for educational/school purposes, to two old friends. Thanks.

Regards,
Brad

{cid:image001.gif@01D3D0BC.A6726420}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th [REDACTED]
New York, NY 10154
[REDACTED]
[REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

Begin forwarded message:

From: Amlcompliance Inquiries <[REDACTED]>
Date: April 5, 2018 at 11:04:26 AM EDT
To: Hildegard Berrios <[REDACTED]>, Michele-M Quattrocchi

<[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>, Amlcompliance Inquiries
<[REDACTED]>, Rob Wang <[REDACTED]>
Subject: RE: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert
No. 83817 - DUE April 11, 2018 [I]

Classification: For internal use only

Hi Hildegarde, Michele,

Please assist as Cynthia is no longer at the firm.

Thanks,

Robert

From: Rob Wang On Behalf Of Amlcompliance Inquiries
Sent: Thursday, April 05, 2018 11:01 AM
To: Cynthia Rodriguez <cynthia.rodriguez@db.com>; Stewart Oldfield
<[REDACTED]>
Cc: Amlcompliance Inquiries <[REDACTED]>; Rob Wang
<[REDACTED]>
Subject: Inquiry Regarding Account [REDACTED], Client: LSJE, LLC; Alert No.
83817 - DUE April 11, 2018 [I]
Importance: High

Classification: For internal use only

Good Morning:

The above referenced account has had the following activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. Your complete response must be received within 5 business days.

Outgoing Wires:

2/7/2018 , \$3,000 for [REDACTED] at SBERBANK (Russia)

2/9/2018 , \$5,000 for [REDACTED] at SWEDBANK AB (LITHUANIA)

1. Please explain the purpose of this Outgoing Wire.
2. Please explain the relationship between the Client and Counterparty.
3. Please explain the business activities of the Counterparty.

Please provide any additional pertinent information.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836
[REDACTED]
[REDACTED]

PLEASE NOTE: YOU ARE BEING CONTACTED BECAUSE YOU ARE LISTED AS THE PRIMARY OFFICER FOR THIS ACCOUNT ON GCIS. IF YOU ARE NOT THE CURRENT PRIMARY OFFICER, PLEASE LET ME KNOW IMMEDIATELY, SO THAT I MAY FORWARD THIS EMAIL TO THE APPROPRIATE PARTY.

Do not forward this e-mail or any attachment directly to the client.

