

«Date»

«Client_Name»
«Client_Address_1»
«Client_Address_2»
«Client_Address_3»

Re: Your account(s)

Dear «Client_Name»,

This year, the United States Department of Treasury enacted a new regulation, the Customer Due Diligence Requirements for Financial Institutions ("CDD Rule"), which requires Deutsche Bank Wealth Management ("Deutsche Bank") to obtain, verify, and record information about our legal entity customers.

In order to remain compliant with our obligations to combat financial crime, Deutsche Bank has enhanced its Know Your Customer ("KYC") policies. To this end, your Relationship Manager will be contacting you over the course of the year to update our records on your account(s). As we update your KYC file, we must also obtain the enclosed Certification Regarding Beneficial Owner of Legal Entity Customers ("Certification") from you.

We kindly ask you to return the completed and signed Certification at your earliest convenience. You may return the completed and signed Certification to your Relationship Manager via e-mail, fax or regular mail using the enclosed stamped envelope. However, please be aware that pursuant to the guidance issued with the CDD Rule, the individual that returns the Certification must be the same person that completes and signs the Certification.

Once we have reviewed your completed Certification, your Relationship Manager will contact you if additional information is required.

If you have any questions regarding the Certification form, you may contact «Relationship Manager_Name» at «Phone Number»

We thank you in advance for your timely cooperation with this matter, and for your business over the years.

Yours sincerely,

Patrick Champion
Head of Deutsche Bank Wealth Management Americas

"Deutsche Bank" means Deutsche Bank AG and its affiliated companies. Deutsche Bank Wealth Management refers to the wealth management activities for high-net-worth clients around the world conducted by Deutsche Bank AG or its subsidiaries. Brokerage services are offered through Deutsche Bank Securities Inc., a broker-dealer and registered investment adviser, which conducts investment banking and securities activities in the United States. Deutsche Bank Securities Inc. is a member of FINRA, NYSE and SIPC. Lending and banking services are offered through Deutsche Bank Trust Company Americas, member FDIC, and other members of the Deutsche Bank Group. ©2018 Deutsche Bank AG. All rights reserved. 027154 053018