

Summary

YTD Brokerage Revs 2018

SFLLC SFLLC!I154=37803.15

HAZE Haze!I34=3141.2818710460733

STC STC!I15=1250

JEVIF JEVIF!I23=114

USD-Equivalent Total SUM(C3:C6)=42308.43187104607

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SFLLC
Account # : N4G023804
Account Short Name: EPSTEIN
Base CCY: USD
Transaction Type : All Transactions Duration : Year To Date
DATE BUY/SELL QUANTITY SYMBOL PRICE SEC. DESCRIPTION ISIN PRINCIPAL
COMM. SALES CREDIT FEES NET AMT.
(BASE CCY) TRANS. CCY TRADE DATE STLMNT DATE ACTIVITY FX
RATE LOCAL
PRICE NET AMT.
(TRANS. CCY)

TODAY	0	C4276150	103.85	CITIGROUP	INC	FXD	RT	SR	NT	PERP	DEP	RECPT	5.950%	08/15/88	B
/E DTD	08/12/15	CLB	US172967JZ57	0	0	0	0	0	0	0	89250	USD		2/20/2018	
2/20/2018			CASH DIVIDEND RECEIVED	N/A	N/A			89250							
TODAY	-2	EWY 02162018	C 79	0.02	CALL	100	ISHARES	INC	MSCI	SOUTH	KOREA	ETF	EXP	02-16-	
18@79.000		OPTION	ROOT= EWY	0	0	0	0	0	0	0	USD		2/20/2018	2/20	
/2018		YOUR	OPTION EXPIRED	N/A	N/A	0									
TODAY	125	GILD 02162018	P 75	0.02	PUT	100	GILEAD	SCIENCES	INC	EXP	02-16-18@75.000	OPTI			
ON ROOT=	GILD	0	0	0	0	0	USD	2/20/2018	2/20/2018			YOUR			
OPTION	EXPIRED	N/A	N/A	0											
TODAY	125	GILD 02162018	C 82.5	0.01	CALL	100	GILEAD	SCIENCES	INC	EXP	02-16-18@82.500	OPT			
ION ROOT=	GILD	0	0	0	0	0	USD	2/20/2018	2/20/2018			YOUR			
OPTION	EXPIRED	N/A	N/A	0											
TODAY	0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	0	0	1044951.85	USD			
2/20/2018	2/20/2018		MONEY FUND	REDEMPTION	N/A	N/A					1044951.85				
TODAY	0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	0	0	-28371.32	USD			
2/20/2018	2/20/2018		MARK TO	MARKET	N/A	N/A					-28371.32				
TODAY	0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	0	0	28371.32	USD			
2/20/2018	2/20/2018		MARK TO	MARKET	N/A	N/A					28371.32				
2/16/2018		SELL	-125	GILD 03162018	P 77.5	0.94944	PUT	100	GILEAD	SCIENCES	INC				
EXP	03-16-18@77.500	OPTION	ROOT=	GILD	11868	125	0	6.28	11736.72	USD					
2/16/2018	2/20/2018	Sell	-125.00000	Ps of GILD 03162018	P 77.5	at	0.949440000			N/A					
N/A			11736.72												
2/16/2018		SELL	-125	GILD 03162018	C 82.5	1.36128	CALL	100	GILEAD	SCIENCES	INC				
EXP	03-16-18@82.500	OPTION	ROOT=	GILD	17016	375	0	6.40	16634.60	USD					
2/16/2018	2/20/2018	Sell	-125.00000	Cs of GILD 03162018	C 82.5	at	1.361280000			N/A					
N/A			16634.60												
2/16/2018		BUY	6600	DM	25.55	DOMINION	ENERGY	MIDSTREAM	PRTNRS	LP	COM	UNIT	REP		
STG	LTD	PARTNERSHIP	INT	US2574541080	-168630	0	660	0	-168630	USD		2/16/2018			
2/21/2018		Buy	6600.00000	share(s)	of DM	at	25.550000000	N/A	N/A		-168630				
2/16/2018		SELL	-6600	DM	26.01522	DOMINION	ENERGY	MIDSTREAM	PRTNRS	LP	COM				
UNIT	REPSTG	LTD	PARTNERSHIP	INT	US2574541080	171700.45	264	0	9.97	171426.48					
USD	2/16/2018		2/21/2018	Sell	-6600.00000	share(s)	of DM	at	26.015220000	N/A	N/A				
171426.48															
2/16/2018		BUY	4800	DFBHU	10	DFB	HEALTHCARE	ACQUISITIONS	CORP	UNIT	1	COM	&		
1/3	WTSEXP	2023	US23291E2081	-48000	0	576	0	-48000	USD	2/16/2018					
2/21/2018		Buy	4800.00000	share(s)	of DFBHU	at	10.000000000	N/A	N/A		-48000				
2/16/2018		SELL	-4800	DFBHU	10.01	DFB	HEALTHCARE	ACQUISITIONS	CORP	UNIT	1	COM	&		
1/3	WTSEXP	2023	US23291E2081	48048	192	0	7.11	47848.89	USD	2/16					
/2018	2/21/2018		Sell	-4800.00000	share(s)	of DFBHU	at	10.010000000	N/A	N/A	4784				
8.89															
2/16/2018		BUY	3	BRK A	1	BERKSHIRE	HATHAWAY	INC	DEL	CL	A	US0846701086			
-920980	100	0	6	-921086	USD	2/16/2018	2/21/2018	Buy	3.00000	share(s)					
of BRK	A	at	1.000000000	N/A	N/A	-921086									
2/16/2018		0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	76000	USD				
2/16/2018	2/16/2018		FEDERAL	FUNDS	RECEIVED	N/A	N/A	76000							
2/15/2018		0	ALLY	PRA	0	GMAC	CAP	TR	I	GTD	TRPFD	SECS	SER	2	CPN-
QRTLY	MATY-02/15/2040	CALL	ANYTIME @25	W/30	DAYS	BEGINS	02/15/16	US3618602086	0	0					
0	0	36804.40	USD	N/A	2/15/2018	BOND	INTEREST	RECEIVED	N/A	N/A					
36804.40															
2/15/2018		0	AAPL	0	APPLE	INC	COM	US0378331005	0	0	0				
0	134820	USD	N/A	2/15/2018	CASH	DIVIDEND	RECEIVED	N/A	N/A	134820					
2/14/2018		0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	-110059.42					
USD	N/A	2/14/2018	MARK	TO	MARKET	N/A	N/A	-110059.42							
2/14/2018		0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	110059.42					
USD	N/A	2/14/2018	MARK	TO	MARKET	N/A	N/A	110059.42							
2/14/2018		0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	24000	USD				
2/14/2018	2/14/2018		FEDERAL	FUNDS	RECEIVED	N/A	N/A	24000							
2/14/2018		0	0	U.S.DOLLARS	CURRENCY	0	0	0	0	128000	USD				
2/14/2018	2/14/2018		FEDERAL	FUNDS	RECEIVED	N/A	N/A	128000							
2/13/2018		SELL	-100	SPY 04202018	P 240	2.617	PUT	100	SPDR	S&P	500ETF	TR	TR	UNIT	
EXP	04-20-18@240.000	OPTION	ROOT=	SPY	26170	300	0	6.61	25863.39	USD					
2/13/2018	2/14/2018	Sell	-100.00000	Ps of SPY 04202018	P 240	at	2.617000000	N/A	N/A						
25863.39															
2/13/2018		SELL	-40	AVGO 03162018	P 230	5.24125	PUT	100	BROADCOM	LTD	SHS				
ISIN#SG9999014823	EXP	03-16-18@230.000	OPTION	ROOT=	AVGO	20965	120	0	6.49	2083					
8.51	USD	2/13/2018	2/14/2018	Sell	-40.00000	Ps of AVGO 03162018	P 230	at	5.241250						
000	N/A	N/A	20838.51												
2/13/2018		SELL	-40	AVGO 03162018	C 240	11.90	CALL	100	BROADCOM	LTD	SHS				

ISIN#SG9999014823	EXP 03-16-18@240.000	OPTION ROOT= AVGO	47600	120	0	7.10	4747		
2.90 USD	2/13/2018	2/14/2018	Sell -40.00000	Cs of AVGO 03162018 C 240	at 11.90000				
0000 N/A	N/A	47472.90							
2/13/2018	SELL	-100	SPY 03162018 P 245	1.6191	PUT 100 SPDR S&P 500ETF TR TR UNIT				
EXP 03-16-18@245.000	OPTION ROOT= SPY	16191	300	0	6.38	15884.62	USD		
2/13/2018	2/14/2018	Sell -100.00000	Ps of SPY 03162018 P 245	at 1.619100000	N/A	N/A	N/A		
15884.62									
2/13/2018	BUY	100	SPY 04202018 C 276	2.6547	CALL 100 SPDR S&P 500 ETF TR TR UN				
IT EXP 04-20-18@276.000	OPTION ROOT= SPY	-26547	300	0	6	-26853	USD	2/13	
/2018	2/14/2018	Buy 100.00000	Cs of SPY 04202018 C 276	at 2.654700000	N/A	N/A	N/A	-268	
53									
2/13/2018	BUY	100	SPY 03162018 C 274	1.8187	CALL 100 SPDR S&P 500 ETF TR TR UN				
IT EXP 03-16-18@274.000	OPTION ROOT= SPY	-18187	300	0	6	-18493	USD	2/13	
/2018	2/14/2018	Buy 100.00000	Cs of SPY 03162018 C 274	at 1.818700000	N/A	N/A	N/A	-184	
93									
2/13/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-2417.77		
USD	2/13/2018	2/13/2018	ACTIVITY WITHIN YOUR ACCT	N/A	N/A	N/A	-2417.77		
2/13/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	2417.77	USD	
2/13/2018	2/13/2018		ACTIVITY WITHIN YOUR ACCT	N/A	N/A	2417.77			
2/13/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-1541.40		
USD	2/13/2018	2/13/2018	MONEY FUND PURCHASE	N/A	N/A	-1541.40			
2/9/2018	BUY	3000	MGEN 5.50	MIRAGEN THERAPEUTICSINC	COM	US60463E1038	-165		
00	0	594	0	-16500	USD	2/9/2018	Buy 3000.00000	share	
(s) of MGEN at 5.500000000	N/A	N/A	-16500						
2/9/2018	SELL	-3000	MGEN 5.25	MIRAGEN THERAPEUTICSINC	COM	US60463E1038	1575		
0	120	0	6.37	15623.63	USD	2/9/2018	2/13/2018	Sell -3000.0	
0000 share(s) of MGEN at 5.250000000	N/A	N/A	15623.63						
2/8/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	51580.27		
USD	2/8/2018	2/8/2018	MONEY FUND REDEMPTION	N/A	N/A	51580.27			
2/7/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	1397601.73		
USD	2/7/2018	2/7/2018	MONEY FUND REDEMPTION	N/A	N/A	1397601.73			
2/6/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-31651.80		
USD	2/6/2018	2/6/2018	MONEY FUND PURCHASE	N/A	N/A	-31651.80			
2/5/2018	BUY	10000	NTDOY 51.75	NINTENDO LTD ADR	US6544453037	-517500	400		
0	6	-517906	USD	2/5/2018	2/7/2018	Buy 10000.00000	share(s) of NTDOY at		
51.750000000	N/A	N/A	-517906						
2/5/2018	BUY	3	BRK A 1	BERKSHIRE HATHAWAY INC	DEL CL A	US0846701086			
-931170	100	0	6	-931276	USD	2/5/2018	2/7/2018	Buy 3.00000	share(s)
of BRK A at 1.000000000	N/A	N/A	-931276						
2/5/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-135630.39		
USD	2/5/2018	2/5/2018	MONEY FUND PURCHASE	N/A	N/A	-135630.39			
2/2/2018	SELL	-525	XLI 06152018 C 80	2.3517	CALL 100 SELECT	SECTOR SPDR TR I			
NDL EXP 06-15-18@80.000	OPTION ROOT= XLI	123464.25	1575	0	8.86	121880.39			
USD	2/2/2018	2/5/2018	Sell -525.00000	Cs of XLI 06152018 C 80	at 2.351700000	N/A			
N/A	121880.39								
2/2/2018	0	0	EIX.AA 0	SOUTHERN CALIF EDISON CO	PREF SER E	6.250% 01/17/62 B/E DTD			
01/17/12 CLB	CLB 02/01/22 @100.000	US842400FU26	0	0	0	93750	USD		
N/A	2/2/2018		CASH DIVIDEND RECEIVED	N/A	N/A	93750			
2/2/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-51556.27		
USD	2/2/2018	2/2/2018	MONEY FUND PURCHASE	N/A	N/A	-51556.27			
2/1/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-65038.48		
USD	2/1/2018	2/1/2018	ACTIVITY WITHIN YOUR ACCT	N/A	N/A	-65038.48			
2/1/2018	BUY	4000	VICI 20	VICI PPTYS INC	COM	US9256521090	-80000	0	
2640	0	-80000	USD	2/1/2018	2/5/2018	Buy 4000.00000	share(s) of VICI at 2		
0.000000000	N/A	N/A	-80000						
2/1/2018	SELL	-4000	VICI 20.85	VICI PPTYS INC	COM	US9256521090	83400	160	
0	7.93	83232.07	USD	2/1/2018	2/5/2018	Sell -4000.00000	share(s) of		
VICI at 20.850000000	N/A	N/A	83232.07						
2/1/2018	0	0	ZHUZF 0	ZHUZHOU CRRC TIMES	ELECTRIC CO LTD SHS -H-				
ISIN#CNE1000004X4	CNE1000004X4	0	0	0	-2	USD	N/A	2/1/	
2018 FOREIGN CUSTODY FEE	N/A	N/A	-2						
2/1/2018	0	0	WEICF 0	WEICHAI POWER CO	LTD SHS H		ISIN#CNE1000004L9		
CNE1000004L9	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	0	DNGFF 0	DONGFANG ELECTRIC	CORPORATION LTD	SHS H			
ISIN#CNE100000304	CNE100000304	0	0	0	-2	USD	N/A	2/1/	
2018 FOREIGN CUSTODY FEE	N/A	N/A	-2						
2/1/2018	0	0	CCCGF 0	CHINA COMMUNICATIONS	CONSTRUCTION COMPANY LTD	SHS H			
ISIN#CNE1000002F5	CNE1000002F5	0	0	0	-2	USD	N/A	2/1/	
2018 FOREIGN CUSTODY FEE	N/A	N/A	-2						
2/1/2018	0	0	BACHF 0	BANK OF CHINA LTD	BEIJING SHS H		ISIN#CNE1000001Z5		
CNE1000001Z5	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	0	AHCHF 0	ANHUI CONCH CEMENT	CO LTD SHS H		ISIN#CNE1000001W2		
CNE1000001W2	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	0	ZTCOF 0	ZTE CORP SHS H		ISIN#CNE1000004Y2	CNE1000004Y2	0	
0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUSTODY FEE	N/A	N/A
-2									

2/1/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	65038.48	
USD	2/1/2018	2/1/2018	ACTIVITY WITHIN YOUR ACCT			N/A	N/A	65038.48	
2/1/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-1367401.33	
USD	2/1/2018	2/1/2018	MONEY FUND PURCHASE			N/A	N/A	-1367401.33	
2/1/2018	0	NAPRF	0	NASPERS LIMITED	LTD			ISIN#ZAE000015889	
ZAE000015889	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	NCTKF	0	NABTESCO CORP TOKYO SHS	LTD			ISIN#JP3651210001	
JP3651210001	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	NDGPF	0	NINE DRAGONS PAPER HOLDINGS	LTD SHS			ISIN#BMG653181005	
BMG653181005	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	LONKF	0	LONKING HOLDINGS LTDSHS				ISIN#KYG5636C1078	
KYG5636C1078	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
2/1/2018	0	HBCYF	0	HSBC HOLDINGS PLC	ORD SHS			ISIN#GB0005405286	
GB0005405286	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST	
ODY FEE N/A	N/A	-2							
1/31/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-1156.25	
USD	1/31/2018	1/31/2018	MONEY FUND PURCHASE			N/A	N/A	-1156.25	
1/31/2018	SELL	-900	SPY 03162018 C 270	14.5011	CALL 100	SPDR S&P	500	ETF TR TR UN	
IT EXP 03-16-18	270.000	OPTION ROOT=	SPY	1305099	2700	0	36.15	1302362.85	USD
1/31/2018	2/1/2018	Sell	-900.00000	Cs of SPY 03162018 C 270	at 14.501100000				N/A
N/A	1302362.85								
1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	
-1087.53	USD	1/31/2018	1/31/2018	MONEY MARKET FUND				REINVESTMENT	N/A
N/A	-1087.53								
1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	
1087.53	USD	1/31/2018	1/31/2018	MONEY MARKET FUND				INCOME RECEIVED	N/A
N/A	1087.53								
1/30/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-1754210.71	
USD	1/30/2018	1/30/2018	ACTIVITY WITHIN YOUR ACCT			N/A	N/A	-1754210.71	
1/30/2018	1130.453	JPHSX	0	JPMORGAN FLOATING	RATE INCOME FUND			CLASS I US48	
121L5104	0	0	0	-10682.78	USD	N/A	1/30/2018	REIN	
VEST CASH INCOME	N/A	N/A	-10682.78						
1/30/2018	0	JPHSX	0	JPMORGAN FLOATING	RATE INCOME FUND			CLASS I US48121L5104	
0	0	0	10682.78	USD	N/A	1/30/2018		CASH DIVIDEND RECEIV	
ED	N/A	N/A	10682.78						
1/30/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	1754210.71	
USD	1/30/2018	1/30/2018	ACTIVITY WITHIN YOUR ACCT			N/A	N/A	1754210.71	
1/30/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-1789041.70	
USD	1/30/2018	1/30/2018	MONEY FUND PURCHASE			N/A	N/A	-1789041.70	
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	19602	USD
N/A	1/29/2018	MARK TO MARKET	N/A	N/A	19602				
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-19602	USD
N/A	1/29/2018	MARK TO MARKET	N/A	N/A	-19602				
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-1786642.85	
USD	1/29/2018	1/29/2018	ACTIVITY WITHIN YOUR ACCT			N/A	N/A	-1786642.85	
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	1786642.85	
USD	1/29/2018	1/29/2018	ACTIVITY WITHIN YOUR ACCT			N/A	N/A	1786642.85	
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-2936251.75	
USD	1/29/2018	1/29/2018	MONEY FUND PURCHASE			N/A	N/A	-2936251.75	
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-100000	USD
N/A	1/29/2018	FEDERAL FUNDS SENT	N/A	N/A	-100000				
1/26/2018	SELL	-30000	DXJ	61.3021	WISDOMTREE TR JAPAN HEDGED EQUITY FD			US97717W8516	
1839063	1200	0	48.49	1837814.51	USD	1/26/2018	1/30/2018	Sell -30000.	
00000 share(s) of DXJ at	61.3021	00000	N/A	N/A	1837814.51				
1/26/2018	BUY	3100	AGS	16	PLAYAGS INC			COM US72814N1046	-49600 0
1785.60	0	-49600	USD	1/26/2018	1/30/2018	Buy	3100.00000	share(s) of AGS at 16	
.00000000	N/A	N/A	-49600						
1/26/2018	SELL	-3100	AGS	17.60032	PLAYAGS INC			COM US72814N1046	5456
0.99	124	0	7.27	54429.72	USD	1/26/2018	1/30/2018	Sell -3100.0	
0000 share(s) of AGS at	17.60032	0000	N/A	N/A	54429.72				
1/26/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	485065.08	
USD	1/26/2018	1/26/2018	MONEY FUND REDEMPTION			N/A	N/A	485065.08	
1/26/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-261492.70	
USD	N/A	1/26/2018	FEDERAL FUNDS SENT	N/A	N/A	-261492.70			
1/26/2018	BUY	-5900	PAGS	21.50	PAGSEGURO DIGITAL	LTD REGISTERED SHS	-A-		
ISIN#KYG687071012			KYG687071012	126850	0	-2663.85	0	126850	USD
1/24/2018	1/26/2018	Cancel Buy	-5900.00000	share(s) of PAGS at 21.500000000				N/A	N/A
126850									
1/26/2018	0	2689:HK	0	NINE DRAGONS PAPER HOLDINGS	LTD SHS			ISIN#BMG653181005	
BMG653181005	5473.06	0	0	5473.06	HKD	12/21/2017	1/26/2018	FORE	
IGN SECURITY DIVIDEND RECEIVED	N/A	N/A	42782.40						
1/26/2018	SELL	-1600	GATES	18.79498	GATES INDUSTRIAL CORPORATION PLC			REGI	
STERED SHS	ISIN#GB00BD9G2S12		GB00BD9G2S12	30071.97	64	0	6.70	3000	
1.27	USD	1/26/2018	1/30/2018	Sell -1600.00000	share(s) of GATES at 18.794980000				
N/A	N/A	30001.27							

1/25/2018	BUY	20	AVGO 02162018 C 260	3.06	CALL 100 BROADCOM LTD SHS				
	ISIN#SG9999014823	EXP 02-16-18@260.000	OPTION ROOT= AVGO	-6120	60	0	6	-618	
6	USD	1/25/2018	1/26/2018	Buy 20.00000	Cs of AVGO 02162018 C 260	at 3.06000000			
0	N/A	N/A						-6186	
1/25/2018	BUY	20	AVGO 02162018 C 250	6.675	CALL 100 BROADCOM LTD SHS				
	ISIN#SG9999014823	EXP 02-16-18@250.000	OPTION ROOT= AVGO	-13350	60	0	6	-134	
16	USD	1/25/2018	1/26/2018	Buy 20.00000	Cs of AVGO 02162018 C 250	at 6.67500000			
0	N/A	N/A						-13416	
1/25/2018	SELL	-30000	DXJ 61.38882		WISDOMTREE TR JAPAN HEDGED EQUITY FD			US97	
717W8516	1841664.60	1200	0	48.55	1840416.05	USD	1/25/2018	1/29	
/2018	Sell -30000.00000	share(s) of DXJ at 61.388820000			N/A	N/A	1840416.05		
1/25/2018	0	WAMU3515294	0	WASHINGTON MUT MTG SECS CORP 2004-RA2 MTG PASSTHRU C					
TF CL C-B-1 VAR RATE	6.999%	07/25/33 B/E DTD 06/01/04 N/C	US939336S201	0	0	0	0		
0	27129.33	USD	N/A	1/25/2018	RETURN OF PRINCIPAL	RECEIVED		N/A	
N/A	27129.33								
1/25/2018	0	WAMU3515294	0	WASHINGTON MUT MTG SECS CORP 2004-RA2 MTG PASSTHRU C					
TF CL C-B-1 VAR RATE	6.999%	07/25/33 B/E DTD 06/01/04 N/C	US939336S201	0	0	0	0		
0	2998.29	USD	N/A	1/25/2018	BOND INTEREST RECEIVED	N/A	2998.29		
1/25/2018	SELL	-1000000	FTR3684517	96.158	FRONTIER COMMUNICATIONS CORP SR NT F				
ULLY EXCHANGED FROM CUSIP	8.500%	04/15/20 B/E DTD 04/12/10 N/C	US35906AAH14	961580	0	1250			
6	986129.56	USD	1/25/2018	1/29/2018	Sell -1000000.00000	parValue of FTR3			
684517 at 96.158000000	N/A	N/A	986129.56						
1/25/2018	BUY	2300	ATNX 15.25	ATHENEX INC	COM US04685N1037			-35075	0
1262.70	0	-35075	USD	1/25/2018	1/29/2018	Buy 2300.00000	share(s) of ATNX at 1		
5.250000000	N/A	N/A	-35075						
1/25/2018	SELL	-2300	ATNX 14.90	ATHENEX INC	COM US04685N1037			34270	92
0	6.80	34171.2	USD	1/25/2018	1/29/2018	Sell -2300.00000	share(s) of ATNX at		
14.900000000	N/A	N/A	34171.2						
1/25/2018	BUY	5900	PAGS 21.50	PAGSEGURO DIGITAL LTD REGISTERED SHS				-A-	
	ISIN#KYG687071012	KYG687071012	-126850	0	2663.85	0	-126850	USD	1/24
/2018	1/26/2018	Buy 5900.00000	share(s) of PAGS at 21.500000000	N/A	N/A		-126850		
1/25/2018	BUY	5900	PAGS 21.50	PAGSEGURO DIGITAL LTD REGISTERED SHS				-A-	
	ISIN#KYG687071012	KYG687071012	-126850	0	2663.85	0	-126850	USD	1/24
/2018	1/26/2018	Buy 5900.00000	share(s) of PAGS at 21.500000000	N/A	N/A		-126850		
1/25/2018	BUY	1600	GTES 19	GATES INDUSTRIAL CORPORATION PLC REGISTERED S					
HS	ISIN#GB00BD9G2S12	GB00BD9G2S12	-30400	0	912	0	-30400	USD	1/25
/2018	1/29/2018	Buy 1600.00000	share(s) of GTES at 19.000000000	N/A	N/A		-30400		
1/24/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-228380.80	
USD	1/24/2018	1/24/2018	MONEY FUND PURCHASE	N/A	N/A		-228380.80		
1/24/2018	SELL	-5900	PAGS 28.50492	PAGSEGURO DIGITAL LTD REGISTERED SHS				-A-	
	ISIN#KYG687071012	KYG687071012	168179.03	236	0	9.89	1679		
33.14	USD	1/24/2018	1/26/2018	Sell -5900.00000	share(s) of PAGS at 28.504920000				
N/A	N/A	167933.14							
1/23/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-14740.33		
USD	1/23/2018	1/23/2018	ACTIVITY WITHIN YOUR ACCT	N/A	N/A		-14740.33		
1/23/2018	BUY	2	EWY 02162018 C 79	0.62	CALL 100 ISHARES INCMSCI SOUTH KOREA				
ETFEXP 02-16-18@79.000	OPTION ROOT= EWY	-124	2	0	6	-132	USD	1/23	
/2018	1/24/2018	Buy 2.00000	Cs of EWY 02162018 C 79 at 0.620000000	N/A	N/A		-132		
1/23/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	14740.33		
USD	1/23/2018	1/23/2018	ACTIVITY WITHIN YOUR ACCT	N/A	N/A		14740.33		
1/23/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	248147.07		
USD	1/23/2018	1/23/2018	MONEY FUND REDEMPTION	N/A	N/A		248147.07		
1/22/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	-14740.33		
USD	N/A	1/22/2018	MARK TO MARKET	N/A	N/A		-14740.33		
1/22/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	14740.33		
USD	N/A	1/22/2018	MARK TO MARKET	N/A	N/A		14740.33		
1/22/2018	-10	TXW 01192018 C 100	0	CALL 100 TIME WARNERINC NEW COM NEW				EXP	
01-19-18@100.000	OPTION ROOT= TXW	0	0	0	0	USD	N/A	1/22	
/2018	YOUR OPTION EXPIRED	N/A	N/A	0					
1/22/2018	SELL	-10000	ADT 11.43241	ADT INC DEL	COM US00090Q1031		1143		
24.10	400	0	2.65	113921.45	USD	1/22/2018	1/24/2018	Sell -10000.	
00000	share(s) of ADT at 11.432410000	N/A	N/A	113921.45					
1/22/2018	SELL	-10000	ADT 11.50	ADT INC DEL	COM US00090Q1031		115000	400	
0	8.65	114591.35	USD	1/22/2018	1/24/2018	Sell -10000.00000	share(s) o		
f ADT at 11.500000000	N/A	N/A	114591.35						
1/19/2018	SELL	-20	AVGO 02162018 C 260	12.775	CALL 100 BROADCOM LTD SHS				
	ISIN#SG9999014823	EXP 02-16-18@260.000	OPTION ROOT= AVGO	25550	60	0	6.60	2548	
3.40	USD	1/19/2018	1/22/2018	Sell -20.00000	Cs of AVGO 02162018 C 260	at 12.77500			
0000	N/A	N/A	25483.40						
1/19/2018	SELL	-250	GE 03162018 P 18	1.852	PUT 100 GENERAL ELECTRIC CO COM				
EXP 03-16-18@18.000	OPTION ROOT= GE 46300	750	0	7.07	45542.93	USD	1/19		
/2018	1/22/2018	Sell -250.00000	Ps of GE 03162018 P 18 at 1.852000000	N/A	N/A		4554		
2.93									
1/19/2018	BUY	20	AVGO 01192018 C 260	8.375	CALL 100 BROADCOM LTD SHS				
	ISIN#SG9999014823	EXP 01-19-18@260.000	OPTION ROOT= AVGO	-16750	60	0	6	-168	
16	USD	1/19/2018	1/22/2018	Buy 20.00000	Cs of AVGO 01192018 C 260	at 8.37500000			
0	N/A	N/A						-16816	
1/19/2018	BUY	250	GE 01192018 P 18	1.54856	PUT 100 GENERAL ELECTRIC CO COM				
EXP 01-19-18@18.000	OPTION ROOT= GE -38714	750	0	6	-39470	USD	1/19/2018		

1/22/2018	Buy	250.00000	Ps of GE 01192018 P 18 at 1.548560000	N/A	N/A	-39470		
1/19/2018	SELL	-3400	BBG 5.075 BARRETT BILL CORP COM US06846N1046	17255	136			
0	6.40	17112.60	USD 1/19/2018 1/23/2018	Sell	-3400.00000	share(s) of		
	BBG at 5.075000000	N/A	N/A 17112.60					
1/19/2018	BUY	20000	ADT 14 ADT INC DEL COM US00090Q1031	-280000	0			
6300	0	-280000	USD 1/19/2018 1/23/2018	Buy	20000.00000	share(s) of ADT at 1		
4.000000000	N/A	N/A	-280000					
1/18/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-53561.21				
USD	1/18/2018	1/18/2018	ACTIVITY WITHIN YOUR ACCT N/A N/A	-53561.21				
1/18/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	94561.21				
USD	1/18/2018	1/18/2018	MONEY FUND REDEMPTION N/A N/A	94561.21				
1/18/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-41000	USD			
N/A	1/18/2018	FEDERAL FUNDS SENT N/A N/A	-41000					
1/18/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	53561.21				
USD	1/18/2018	1/18/2018	ACTIVITY WITHIN YOUR ACCT N/A N/A	53561.21				
1/17/2018	BUY	2400	EEM 06152018 C 55 0.43 CALL 100 ISHARES TR MSCI EMERGING MK					
TS ETF			EXP 06-15-18@55.000 OPTION ROOT= EEM -103200 2400 0 6 -105					
606	USD	1/17/2018	1/18/2018	Buy	2400.00000	Cs of EEM 06152018 C 55 at 0.43000000		
0	N/A	N/A	-105606					
1/17/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-49666.67				
USD	1/17/2018	1/17/2018	MONEY FUND PURCHASE N/A N/A	-49666.67				
1/16/2018	0	0	EXLI4514119 0 EXELA INTER LLC / EXELA FIN INC GTD FIRST PRIORITY S					
R SECD NT 144A 10.000%	07/15/23 B/E DTD 07/12/17 CLB CLB 07/15/20 @105.000	US30162RAA95	0					
0	0	0	101666.67 USD N/A 1/16/2018	BOND INTEREST RECEIVED	N/A			
N/A	101666.67							
1/16/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-49090.86				
USD	1/16/2018	1/16/2018	MONEY FUND PURCHASE N/A N/A	-49090.86				
1/16/2018	BUY	5200	EAGLU 10 PLATINUM EAGLE ACQUISITION CORP UNIT 1 CL A					
& 1/3 RED WT EXP 2025	ISIN#KYG7126L1260	KYG7126L1260	-52000 0 520 0 -520					
00	USD	1/12/2018	1/17/2018	Buy	5200.00000	share(s) of EAGLU at 10.000000000		
N/A	N/A	-52000						
1/12/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	49000	USD			
1/12/2018	1/12/2018	MONEY FUND REDEMPTION N/A N/A	49000					
1/12/2018	SELL	-5200	EAGLU 10.05 PLATINUM EAGLE ACQUISITION CORP UNIT 1 CL A					
& 1/3 RED WT EXP 2025	ISIN#KYG7126L1260	KYG7126L1260	52260 208 0 7.21 5204					
4.79	USD	1/12/2018	1/17/2018	Sell	-5200.00000	share(s) of EAGLU at 10.050000000		
N/A	N/A	52044.79						
1/11/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-30247.17				
USD	1/11/2018	1/11/2018	MONEY FUND PURCHASE N/A N/A	-30247.17				
1/11/2018	SELL	-4900	NEBUU 10.06 NEBULA ACQUISITION CORP UNIT 1 CL A & 1/3 WT EXP 1					
2/31/24 US6290762094	49294 196 0 7.14 49090.86	USD	1/11/2018 1/16					
/2018	Sell	-4900.00000	share(s) of NEBUU at 10.060000000	N/A	N/A	49090.86		
1/10/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-30247.17				
USD	N/A	1/10/2018	MARK TO MARKET N/A N/A	-30247.17				
1/10/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	30247.17				
USD	N/A	1/10/2018	MARK TO MARKET N/A N/A	30247.17				
1/10/2018	BUY	4900	NEBUU 10 NEBULA ACQUISITION CORP UNIT 1 CL A & 1/3 WT EXP 1					
2/31/24 US6290762094	-49000 0 490 0 -49000	USD	1/10/2018 1/12/2018					
Buy	4900.00000	share(s) of NEBUU at 10.000000000	N/A N/A -49000					
1/10/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	101269	USD			
1/10/2018	1/10/2018	MONEY FUND REDEMPTION N/A N/A	101269					
1/9/2018	SELL	-125	GILD 02162018 C 82.5 1.24776 CALL 100 GILEAD SCIENCES INC					
EXP 02-16-18@82.500	OPTION ROOT= GILD 15597 250 0 6.37 15340.63	USD						
1/9/2018	1/10/2018	Sell	-125.00000	Cs of GILD 02162018 C 82.5 at 1.247760000	N/A			
N/A	15340.63							
1/9/2018	SELL	-125	GILD 02162018 P 75 1.58488 PUT 100 GILEAD SCIENCES INC					
EXP 02-16-18@75.000	OPTION ROOT= GILD 19811 250 0 6.46 19554.54	USD						
1/9/2018	1/10/2018	Sell	-125.00000	Ps of GILD 02162018 P 75 at 1.584880000	N/A	N/A		
19554.54								
1/9/2018	BUY	125	GILD 01192018 P 75 0.36136 PUT 100 GILEAD SCIENCES INC					
EXP 01-19-18@75.000	OPTION ROOT= GILD -4517 125 0 6 -4648	USD	1/9/					
2018	1/10/2018	Buy	125.00000	Ps of GILD 01192018 P 75 at 0.361360000	N/A	N/A	-464	
8								
1/9/2018	BUY	525	XLI 06152018 C 80 1.898819 CALL 100 SELECT SECTOR S					
PDR TR INDL EXP 06-15-18@80.000	OPTION ROOT= XLI -99688 1575 0 6 -101269	USD						
1/9/2018	1/10/2018	Buy	525.00000	Cs of XLI 06152018 C 80 at 1.898819000	N/A	N/A		
-101269								
1/3/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	24	USD			
1/3/2018	1/3/2018	MONEY FUND REDEMPTION N/A N/A	24					
1/2/2018	0	0	U.S.DOLLARS CURRENCY 0 0 0 0	-29539.80				
USD	1/2/2018	1/2/2018	ACTIVITY WITHIN YOUR ACCT N/A N/A	-29539.80				
1/2/2018	0	0	ZHUZF 0 ZHUZHOU CRRC TIMES ELECTRIC CO LTD SHS -H-					
ISIN#CNE1000004X4	CNE1000004X4	0	0 0 -2	USD	N/A	1/2/		
2018	FOREIGN CUSTODY FEE N/A N/A	-2						
1/2/2018	0	0	WEICF 0 WEICHAI POWER CO LTD SHS H					
CNE1000004L9	0	0	0 -2 USD N/A 1/2/2018	FOREIGN CUST				
ODY FEE N/A N/A	N/A	-2						
1/2/2018	0	0	DNGFF 0 DONGFANG ELECTRIC CORPORATION LTD SHS H					
ISIN#CNE100000304	CNE100000304	0	0 0 -2	USD	N/A	1/2/		

2018	FOREIGN	CUSTODY	FEE	N/A	N/A	-2						
1/2/2018		0	CCCCGF	0	CHINA COMMUNICATIONS CONSTRUCTION COMPANY LTD	SHS H						
ISIN#CNE1000002F5			CNE1000002F5	0	0	0	0	-2	USD	N/A	1/2/2018	
2018	FOREIGN	CUSTODY	FEE	N/A	N/A	-2						
1/2/2018		0	BACHF	0	BANK OF CHINA LTD	BEIJING SHS H						
CNE1000001Z5		0	0	0	0	-2	USD	N/A	1/2/2018			ISIN#CNE1000001Z5
ODY FEE N/A	N/A		-2									FOREIGN CUST
1/2/2018		0	AHCHF	0	ANHUI CONCH CEMENT CO LTD	SHS H						
CNE1000001W2		0	0	0	0	-2	USD	N/A	1/2/2018			ISIN#CNE1000001W2
ODY FEE N/A	N/A		-2									FOREIGN CUST
1/2/2018		0	ZTCOF	0	ZTE CORP	SHS H						
0	0	0	-2	USD	N/A	1/2/2018						ISIN#CNE1000004Y2
												CNE1000004Y2
												0
												N/A
												N/A
1/2/2018		0			U.S.DOLLARS CURRENCY		0	0	0	0	29539.80	
USD	1/2/2018		1/2/2018		ACTIVITY WITHIN YOUR ACCT				N/A	N/A	29539.80	
1/2/2018		0			U.S.DOLLARS CURRENCY		0	0	0	0	-36539.8	
USD	1/2/2018		1/2/2018		MONEY FUND PURCHASE		N/A	N/A			-36539.8	
1/2/2018		0	NAPRF	0	NASPERS LIMITED	LTD						ISIN#ZAE000015889
ZAE000015889		0	0	0	0	-2	USD	N/A	1/2/2018			FOREIGN CUST
ODY FEE N/A	N/A		-2									
1/2/2018		0	NCTKF	0	NABTESCO CORP	TOKYO SHS LTD						ISIN#JP3651210001
JP3651210001		0	0	0	0	-2	USD	N/A	1/2/2018			FOREIGN CUST
ODY FEE N/A	N/A		-2									
1/2/2018		0	NDGPF	0	NINE DRAGONS PAPER HOLDINGS LTD	SHS						ISIN#BMG653181005
BMG653181005		0	0	0	0	-2	USD	N/A	1/2/2018			FOREIGN CUST
ODY FEE N/A	N/A		-2									
1/2/2018		0	LONKF	0	LONKING HOLDINGS LTD	SHS						ISIN#KYG5636C1078
KYG5636C1078		0	0	0	0	-2	USD	N/A	1/2/2018			FOREIGN CUST
ODY FEE N/A	N/A		-2									
1/2/2018		0	HBCYF	0	HSBC HOLDINGS PLC	ORD SHS						ISIN#GB0005405286
GB0005405286		0	0	0	0	-2	USD	N/A	1/2/2018			FOREIGN CUST
ODY FEE N/A	N/A		-2									
USD					SUBTOTAL(9,I7:I152)=18149							SUBTOTAL(9,J7:J152)=19654.15
Total Revenue					SUM(I153:J153)=37803.15							
&"arial unicode ms,Regular"Confidential												

Haze									
Account #	: N4G024943								
Account Short Name:	EPSTEIN								
Base CCY:	USD								
Transaction Type :	All Transactions			Duration :	Year To Date				
DATE	BUY/SELL	QUANTITY	SYMBOL	PRICE	SEC.	DESCRIPTION	ISIN	PRINCIPAL	
COMM.	SALES CREDIT	FEE	NET AMT.	TRADE DATE	STLMNT DATE	ACTIVITY	FX		
(BASE CCY)	TRANS. CCY			TRADE DATE	STLMNT DATE	ACTIVITY	FX		
RATE	LOCAL								
PRICE	NET AMT.								
(TRANS. CCY)									
2/16/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-33945.35	
USD	2/16/2018	2/16/2018	MONEY FUND PURCHASE	N/A	N/A	N/A		-33945.35	
2/15/2018	0	C PRK	0	CITIGROUP INC DEP	SHS REPSTG 1/1000TH	PERPETUAL MATY	PERPETUAL CALL	11/15/23@25.00	US1729673418
33945.35	USD	N/A	2/15/2018	CASH DIVIDEND RECEIVED	N/A	N/A		33945.35	
2/14/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-57387.52	
USD	2/14/2018	2/14/2018	MONEY FUND PURCHASE	N/A	N/A	N/A		-57387.52	
2/13/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	57387.52	
USD	2/13/2018	2/13/2018	FEDERAL FUNDS RECEIVED	N/A	N/A	N/A		57387.52	
2/7/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	1106006.80	
USD	2/7/2018	2/7/2018	MONEY FUND REDEMPTION	N/A	N/A	N/A		1106006.80	
2/6/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	1116166 USD	
2/6/2018	2/6/2018		MONEY FUND REDEMPTION	N/A	N/A	1116166			
2/5/2018	BUY	1000	GOOG	1105.9568		ALPHABET INC CAP	STKCL C		US02079K1079
-1105956.80	50	0	0	-1106006.80	USD	2/5/2018	2/7/2018		Buy
1000.00000 share(s) of GOOG at 1105.956800000	N/A	N/A		-1106006.80					
2/2/2018	BUY	1000	GOOG	1116.116		ALPHABET INC CAP	STKCL C		US02079K1079
-1116116	50	0	0	-1116166	USD	2/2/2018	2/6/2018		Buy
1000.00000 share(s) of GOOG at 1116.116000000	N/A	N/A		-1116166					
2/2/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	8	USD
2/2/2018	2/2/2018		MONEY FUND REDEMPTION	N/A	N/A	8			
2/1/2018	0	PIAIF	0	PING AN INSURANCE COLTD	SHARES SHS H	ISIN#CNE1000003X6			
CNE1000003X6	0	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST
ODY FEE N/A	N/A	-2							
2/1/2018	0	CHPXF	0	CHINA PAC INS GROUP CO LTD	REGISTERED	SHS H			
ISIN#CNE1000009Q7		CNE1000009Q7	0	0	0	-2	USD	N/A	2/1/2018
2018	FOREIGN CUSTODY FEE	N/A	N/A	-2					
2/1/2018	0	CILJF	0	CHINA LIFE	INSURANCE CO H SHS	ISIN#CNE1000002L3			
CNE1000002L3	0	0	0	0	-2	USD	N/A	2/1/2018	FOREIGN CUST
ODY FEE N/A	N/A	-2							
2/1/2018	0	AAIGF	0	AIA GROUP LTD	HONG KONG	SHS			
ISIN#HK0000069689		HK0000069689	0	0	0	-2	USD	N/A	2/1/2018
2018	FOREIGN CUSTODY FEE	N/A	N/A	-2					
1/31/2018	0	0	0	DEUTSCHE GOVERNMENT CASH	INSTITUTIONAL	0	0	0	0
-4395.80	USD	1/31/2018	1/31/2018	MONEY MARKET FUND				REINVESTMENT	N/A
N/A	-4395.80								
1/31/2018	0	0	0	DEUTSCHE GOVERNMENT CASH	INSTITUTIONAL	0	0	0	0
4395.80	USD	1/31/2018	1/31/2018	MONEY MARKET FUND				INCOME RECEIVED	N/A
N/A	4395.80								
1/30/2018	859.714	JPHSX	0	JPMORGAN FLOATING	RATE INCOME FUND	CLASS I US48121L5104			
0	0	0	-8124.30	USD	N/A	1/30/2018			REINVEST CASH INCOME
N/A	N/A	-8124.30							
1/30/2018	0	JPHSX	0	JPMORGAN FLOATING	RATE INCOME FUND	CLASS I US48121L5104			
0	0	0	8124.30	USD	N/A	1/30/2018			CASH DIVIDEND RECEIVED
N/A	8124.30								
1/25/2018	0	0	0	U.S.DOLLARS CURRENCY	0	0	0	2034893.09	
USD	1/25/2018	1/25/2018	MONEY FUND REDEMPTION	N/A	N/A	N/A		2034893.09	
1/23/2018	BUY	40500	2318:HK	97.50	PING AN INSURANCE COLTD	SHARES SHS H			ISIN#CNE1000003X6
003X6	CNE1000003X6	-3948750	5923.12	0	4253.06	-506379.58	HKD	1/23/2018	
1/25/2018	Buy	40500.00000	of 2318:HK	at 97.500000000	N/A	N/A		-3958926.18	
1/23/2018	BUY	95400	2601:HK	41.8453	CHINA PAC INS GROUP CO LTD	REGISTERED	SHS H		
	ISIN#CNE1000009Q7	CNE1000009Q7	-3992041.62	5988.06	0	4300.39	-511931.30		
HKD	1/23/2018	1/25/2018	Buy 95400.00000	of 2601:HK	at 41.845300000	N/A	N/A		
-4002330.07									
1/23/2018	BUY	151000	2628:HK	26.1974	CHINA LIFE	INSURANCE CO H SHS			ISIN#CNE1000002L3
002L3	CNE1000002L3	-3955807.40	5933.71	0	4260.6	-507284.60	HKD	1/23/2018	
1/25/2018	Buy	151000.00000	of 2628:HK	at 26.197400000	N/A	N/A		-3966001.71	
1/23/2018	BUY	59000	1299:HK	67.25	AIA GROUP LTD	HONG KONG	SHS		
	ISIN#HK0000069689	HK0000069689	-3967750	5951.62	0	4273.52	-508816.10		
HKD	1/23/2018	1/25/2018	Buy 59000.00000	of 1299:HK	at 67.250000000	N/A	N/A		
-3977975.14									
1/23/2018	0	0	0	U.S.DOLLARS CURRENCY	0	0	0	-72500	USD
1/23/2018	1/23/2018		MONEY FUND PURCHASE	N/A	N/A	N/A		-72500	
1/23/2018	BUY	1.59052331E7	7.81625	HONG KONG DOLLAR	CURRENCY			-2034893.09	0
0	0	-2034893.09	USD	1/23/2018	1/25/2018			FOREIGN CURRENCY EXCHANGE	
N/A	N/A	-2034893.09							
1/22/2018	0	C PRC	0	CITIGROUP INC DEP	SHS REPSTG 1/1000	PERPETUAL MATY	PERPETUAL CALL	04/22/18@25.00	US1729673665
0	0	0	0	0	0	0	0	0	0

72500 USD N/A 1/22/2018 CASH DIVIDEND RECEIVED N/A N/A 72500
USD SUMIF(\$M\$7:\$M\$31,"USD",\$I\$7:\$I\$31)=100 SUMIF(\$M\$7:\$M\$31,"USD",\$J\$7:\$J\$31)=0
HKD Equivalent SUMIF(\$M\$7:\$M\$31,"HKD",\$I\$7:\$I\$31)/7.8245=3041.2818710460733 SUMIF(\$M\$7:\$M\$31,"HK
D",\$J\$7:\$J\$31)/7.8245=0
Total Revenue SUM(I32:J33)=3141.2818710460733
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STC
Account # : N4G023812
Account Short Name: EPSTEIN
Base CCY: USD
Transaction Type : All Transactions Duration : Year To Date
DATE BUY/SELL QUANTITY SYMBOL PRICE SEC. DESCRIPTION ISIN PRINCIPAL
COMM. SALES CREDIT FEES NET AMT.
(BASE CCY) TRANS. CCY TRADE DATE STLMNT DATE ACTIVITY FX
RATE LOCAL
PRICE NET AMT.
(TRANS. CCY)

1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	0
-3649.44	USD	1/31/2018	1/31/2018	MONEY MARKET FUND			REINVESTMENT	N/A	
N/A	-3649.44								
1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	0
3649.44	USD	1/31/2018	1/31/2018	MONEY MARKET FUND			INCOME RECEIVED	N/A	
N/A	3649.44								
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	1019995.58	
USD	1/29/2018	1/29/2018	MONEY FUND REDEMPTION	N/A	N/A			1019995.58	
1/25/2018	BUY	1000000	BPL4588185	101.875	BUCKEYE PARTNERS L P FIXED TO FLTG RATE NT				
6.375%	01/22/78	B/E DTD	01/22/18	CLB	CLB 01/22/23 @100.000	US118230AS00	-1018750	0	
1250	6	-1019995.58	USD	1/25/2018	1/29/2018	Buy	1000000.00000	parValue	o
f BPL4588185 at	101.875000000	N/A	N/A	-1019995.58					
1/2/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-47181.50	
USD	1/2/2018	1/2/2018	MONEY FUND PURCHASE	N/A	N/A			-47181.50	
USD	SUM(I7:I11)=0	SUM(J7:J11)=1250							
Total Revenue	SUM(I14:J14)=1250								

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JEVIF
Account # : N4G024950
Account Short Name: EPSTEIN
Base CCY: USD
Transaction Type : All Transactions Duration : Year To Date
DATE BUY/SELL QUANTITY SYMBOL PRICE SEC. DESCRIPTION ISIN PRINCIPAL
COMM. SALES CREDIT FEES NET AMT.
(BASE CCY) TRANS. CCY TRADE DATE STLMNT DATE ACTIVITY FX
RATE LOCAL
PRICE NET AMT.
(TRANS. CCY)

2/2/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-2000	USD
2/2/2018	2/2/2018		MONEY FUND PURCHASE	N/A	N/A	-2000			
2/1/2018	0	0	BRISTOL MYERS SQUIBB CO	0	0	0	0	0	0
0	0	2000	USD	N/A	2/1/2018	CASH DIVIDEND RECEIVED	N/A	N/A	2000
1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	0
-55.76	USD	1/31/2018	1/31/2018	MONEY MARKET FUND		REINVESTMENT	N/A	N/A	
-55.76									
1/31/2018	0	0	DEUTSCHE GOVERNMENT CASH INSTITUTIONAL	0	0	0	0	0	0
55.76	USD	1/31/2018	1/31/2018	MONEY MARKET FUND		INCOME RECEIVED			N/A
N/A	55.76								
1/30/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	70000	USD
1/30/2018	1/30/2018		MONEY FUND REDEMPTION	N/A	N/A	70000			
1/30/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-70000	USD
N/A	1/30/2018		FEDERAL FUNDS SENT	N/A	N/A	-70000			
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	115000	USD
1/29/2018	1/29/2018		MONEY FUND REDEMPTION	N/A	N/A	115000			
1/29/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-115000	USD
N/A	1/29/2018		FEDERAL FUNDS SENT	N/A	N/A	-115000			
1/22/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-188701.91	
USD	1/22/2018	1/22/2018	MONEY FUND PURCHASE	N/A	N/A	N/A		-188701.91	
1/19/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	10000	USD
1/19/2018	1/19/2018		MONEY FUND REDEMPTION	N/A	N/A	10000			
1/19/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-10000	USD
N/A	1/19/2018		FEDERAL FUNDS SENT	N/A	N/A	-10000			
1/18/2018	SELL	-815	DIS	110.5631		DISNEY WALT CO	DISNEY COM	US2546871060	
90108.93	50	0	8.09	90050.84		USD	1/18/2018	1/22/2018	Sell
-815.00000	share(s) of DIS at 110.563100000	N/A	N/A	90050.84					
1/18/2018	SELL	-1600	BMY	61.7021		BRISTOL MYERS SQUIBB CO	US1101221083	9872	
3.36	64	0	8.29	98651.07		USD	1/18/2018	1/22/2018	Sell -1600.0
0000	share(s) of BMY at 61.702100000	N/A	N/A	98651.07					
1/12/2018	0	0	U.S.DOLLARS CURRENCY	0	0	0	0	-2100	USD
1/12/2018	1/12/2018		MONEY FUND PURCHASE	N/A	N/A	-2100			
1/11/2018	0	0	DISNEY WALT CO			DISNEY COM	US2546871060	0	0
0	0	2100	USD	N/A	1/11/2018	CASH DIVIDEND RECEIVED	N/A	N/A	2100
USD	SUM(I7:I21)=114	SUM(J7:J21)=0							
Total Revenue	SUM(I22:J22)=114								

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