

Subject: FW: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP  
From: Vijay-A Sawant <[REDACTED]>  
Date: Tue, 31 Jul 2018 02:13:00 -0400  
To: Bradley Gillin <[REDACTED]>, Stewart Oldfield <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>

Hello again,

As per attached confirmation from Morgan, we would no longer require the revised CDD forms as indicated in previous email. Following is the summary of the revised items required –

Southern Trust Company, Inc

- 1) Material Change confirmation required and whether RP's identified are correct or not?
- 2) SoS for acct holder from BVI website

Epstein, Jeffrey E.

- 1) Material change confirmation require and whether RP's identified are correct?
- 2) Any additional SOW companies to include besides Financial Trust Company

Zorro Management LLC

- 1) Material Change confirmation required and whether RP's identified are correct or not?
- 2) SoS for acct holder from BVI website

I will launch case upon your confirmation of the above so your quick response will be highly appreciated, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobile + [REDACTED]

From: Vijay-A Sawant

Sent: Monday, July 30, 2018 2:39 PM

To: Bradley Gillin <[REDACTED]>; Stewart Oldfield

<[REDACTED]>

Cc: Mayur Rathod <[REDACTED]>

Subject: 2018 Periodic Review of High Risked Accounts Southern Trust

Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro

Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP

Importance: High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation 08/31/2018.

Upon review of the docs available in the repositories, I have completed the attached checklist, main RP's also listed below for reference -

EFTA01422081

Acct Holder ----- Southern  
Trust Company, Inc

President, Sole Shareholder & Authorized Signatory - Jeffrey Epstein

Vice President, Secretary & Authorized Signatory ----- Darren K. Indyke

Treasurer ----- Richard Kahn

SOW Company ----- Financial Trust  
Company

Kindly advise whether are above identified RP's are correct or not and  
whether there are any material changes in this account?

We require SoS extracted from British Virgin Islands website for the account  
holding entity. We are unable to extract the same as there is a cost  
involved with the request, so kindly provide the same. Note we already have  
the COE (Certificate of Existence) for this entity. The SoS is required so  
we can evidence that this entity is still registered with the authorities  
and is active.

Regarding CDD form, already provided on this account, (also attached in this  
email for reference), please note that under section c, Jeffrey Epstein's  
legal address is incorrect. It seems they have provided the entity address  
here instead of Jeffrey's personal address. I am attaching Jeffrey's  
driver's license which confirms his personal address. Request you to kindly  
have that amended by the client & re-send revised form accordingly.

Acct Holder – Epstein, Jeffrey E.

SOW Company - Financial Trust Company

Kindly advise if there are any material changes to this account? ID docs  
are in order, please advise in case any SOW company that requires inclusion,  
is missing?

This account will be part of KYC of above entity.

Acct Holder – Zorro Management. LLC

Sole Member & Authorized Signature – Jeffrey Epstein

Authorized Signatories – Darren D. Indyke, [REDACTED] & Brice M Gordon

Upon review, completed checklist attached for reference. Kindly advise if there are any material changes to this account?

We require SoS extracted from US Virgin Islands website for the account holding entity. We are unable to extract the same as there is a cost involved with the request, so kindly provide the same. Note we already have the COE (Certificate of Existence) for this entity. The SoS is required so we can evidence that this entity is still registered with the authorities and is active.

Regarding CDD form, already provided on this account, (also attached in this email for reference), please note that under section c, Jeffrey Epstein's legal address is incorrect. It seems they have provided the entity address here instead of Jeffrey's personal address. I am attaching Jeffrey's driver's license which confirms his personal address. Request you to kindly have that amended by the client & re-send revised form accordingly.

I will launch KYC case for all above accounts upon your confirmation of material changes query above, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

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Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobile + [REDACTED]

[REDACTED]