

Subject: RE: Inquiry regarding A/C 42953467 - PLAN D, LLC, Case 140632 [I]
From: Cynthia Rodriguez <[REDACTED]>
Date: Wed, 12 Apr 2017 16:57:43 -0400
To: Amlcompliance Inquiries <[REDACTED]>, Bradley Gillin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Hello,

The answers are below. Please let us know if you need any further information. Thank you.

3/31/17 - \$22,500,000 Incoming Wire from BV70 LLC

What is the purpose of the Incoming Wire from BV70 LLC? The purpose of the wire was to pay a fee as Mr. Black is a client of Mr. Epstein.

What is the relationship between the client and BV70 LLC? This entity is solely owned by Leon Black, who is a client of Mr. Epstein's (owner of Plan D LLC). This is a business relationship between Mr. Black (current DB client) and Mr. Epstein (current DB client).

· What type of products/services does BV70 LLC provide? The LLC was created for personal investments for Mr. Black. This LLC currently owns Mr. Black's yacht.

We cannot find any information via internet for BV70 LLC. Please provide resources (physical address, website, etc.) for this entity. The address for this entity is 9 W 57th Street New York, NY 10019.

Is activity of this nature expected to continue? Mr. Epstein is expecting \$8-10mn more to come into the account

Kind regards,

Cynthia Rodriguez

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Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
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From: Michelle Gabriel On Behalf Of Amlcompliance Inquiries
Sent: Tuesday, April 11, 2017 3:00 PM
To: Bradley Gillin; Cynthia Rodriguez
Cc: Amlcompliance Inquiries; Stewart Oldfield
Subject: Inquiry regarding A/C 42953467 - PLAN D, LLC, Case 140632 [I]

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Good afternoon Brad & Cynthia:

I am sending the below request to you both, as I see Stewart Oldfield is out on MTA. Any assistance you can provide would be greatly appreciated.

As a part of the PWM anti-money laundering monitoring program, the PRIME system is used to automatically identify transactions that meet certain high risk transaction patterns. When transactions occur which mimic those patterns, the activity must be researched to confirm that it is legitimate.

In a small percentage of these cases, Compliance must contact the Wealth Advisor for additional information about the account in order to conclude the research process. Your response is required for the Bank to comply with federal guidelines for concluding the research of these matters in a timely fashion. Your complete response must be received within 5 business days.

To the best of your ability, please provide details regarding the item(s) below:

3/31/17 - \$22,500,000 Incoming Wire from BV70 LLC

What is the purpose of the Incoming Wire from BV70 LLC?

What is the relationship between the client and BV70 LLC?

What type of products/services does BV70 LLC provide?

We cannot find any information via internet for BV70 LLC. Please provide resources (physical address, website, etc.) for this entity.

Is activity of this nature expected to continue?

Thank you in advance for your prompt attention to this matter.

Kind regards,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836

Fax: [REDACTED]

PLEASE NOTE: YOU ARE BEING CONTACTED BECAUSE YOU ARE LISTED AS THE PRIMARY OFFICER FOR THIS ACCOUNT. IF YOU ARE NOT THE CURRENT PRIMARY OFFICER, PLEASE LET ME KNOW IMMEDIATELY, SO THAT I MAY FORWARD THIS EMAIL TO THE APPROPRIATE PARTY.

Do not forward this e-mail or any attachment directly to the client.