

Subject: FW: SOUTHERN FINANCIAL RELATIONSHIP - KYC Case Comment [I]  
From: Armen Brash <[REDACTED]>  
Date: Tue, 11 Jun 2019 10:00:17 -0400  
To: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Do you know?

Best,  
Armen

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{cid:800B3086-E6B1-464A-83C1-C22D037DB24E@lan}

Armen Brash

Director

Deutsche Bank Wealth Management

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From: Sylvia Escobar  
Sent: Monday, June 10, 2019 9:24 PM  
To: Armen Brash <[REDACTED]>  
Cc: Julie Cataudella <[REDACTED]>; Ana Hunter  
<[REDACTED]>  
Subject: Re: SOUTHERN FINANCIAL RELATIONSHIP - KYC Case Comment

Hi Armen,

Do you when will be off-boarded?

Regards,

Sylvia

Sent from my iPhone

On Jun 10, 2019, at 7:16 PM, Armen Brash <[REDACTED]> wrote:

This client is in the process of being offboarded.

Best,

Armen

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Armen Brash

Director

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY, 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Sent from my iPhone

On Jun 10, 2019, at 5:57 PM, Sylvia Escobar <[REDACTED]> wrote:

Hi Armen,

Our understanding is that the Primary decision maker for the above resides in the US Virgin Islands and not in the EEA.

If correct, could you please work with the KYC team to:

1. Add a case comment to KYC # 1946825 explaining that PDM does not reside in the EEA and

2. Work with ABR/AFC to update EEA indicator to N/A and remove the EEA country

Let me know if you have any questions.

Regards,

Sylvia

<image001.png>

Sylvia Escobar  
Vice President

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