

Subject: Fwd: Butterfly Trust account  
From: Stewart Oldfield <[REDACTED]>  
Date: Wed, 31 Oct 2018 19:58:15 -0400  
To: Bradley Gillin <[REDACTED]>,  
Richard Iarossi <[REDACTED]>

So now we need to get the full remediation done to open the butterfly brokerage. Frustrating, but we are close

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
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345 Park Avenue, New York, NY 10154  
Tel. [REDACTED]  
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Begin forwarded message:

From: Daniel-E Kaiser <[REDACTED]>  
Date: October 31, 2018 at 6:16:43 PM EDT  
To: Stewart Oldfield <[REDACTED]>  
Cc: Andrew Gallivan <[REDACTED]>  
Subject: Re: Butterfly Trust account

Stewart,

Andrew and I agreed that until the current review of this client is completed that we would not open these related accounts.

Regards,

Dan

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Daniel E Kaiser  
Managing Director  
Head of Key Client Partners - America's

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On Oct 31, 2018, at 17:30, Stewart Oldfield <[REDACTED]> wrote:

Dan and Andrew,

Perhaps we've had some miscommunication on this one, or maybe I'm out of the loop since I've been in sales training for three days, but I'm confused about what's creating concern opening a new account for a client that we have recently KYC'd and have other accounts for. I still need to remediate some KYCs for Jeffrey's other accounts, but I don't think this one should be part of that group. If that's the issue, I will have that resolved early next week I think. If there's something else I need to address, let's discuss as soon as possible.

Thanks

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas

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