

Subject: RE: Southern Financial LLC - ISDA amendment [C]
From: Paul Barrett <[REDACTED]>
Date: Fri, 20 Oct 2017 17:09:58 -0400
To: Martin Zeman <[REDACTED]>, Richard Kahn <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>, Xavier Avila <[REDACTED]>

Thanks

Will review.

Paul Barrett

Alpha Group Capital LLC

142 W 57th Street, 11th Floor, New York, NY 10019

[REDACTED] (o) [REDACTED] (c)

From: Martin Zeman [mailto:[REDACTED]]
Sent: Friday, October 20, 2017 3:55 PM
To: Paul Barrett <[REDACTED]>; Richard Kahn <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>; Xavier Avila <[REDACTED]>
Subject: Southern Financial LLC - ISDA amendment [C]

Classification: Confidential

EFTA01423824

Paul, Richard,

Please see attached the ISDA amendment we had been discussing. You will see that the CSA has a ceiling limit of \$100M, but this ceiling can be increased very easily as needed when we get there.

Please let us know if you have any comments or questions.

Martin

{cid:image001.gif@01D259D5.3E2DF0F0}

Martin Zeman
Director | Key Client Partners

Deutsche Bank Wealth Management
DB Securities Inc

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

KCP products and services are intended and available only for persons who are sophisticated institutional investors within the meaning of the FINRA Rule 4512(C)(3), and who are capable of evaluating the strategies, characteristics and investment risks of, and exercising independent judgment in evaluating, the ideas and products discussed herein. Trades and transactions are subject to relevant internal approvals of DBSI or its affiliates prior to execution, and the execution of any transaction or idea discussed herein is conditional on your becoming a client of Deutsche Bank. Key Client Partners (KCP) products, investment ideas and solutions and related matters discussed herein are provided for discussion purposes only, and strictly on a non-advisory basis. The KCP Americas desk does not provide investment advice. The information set forth herein is confidential and personal to you and is being presented for your information and for discussion purposes only. Any reproduction and/or redistribution thereof (in whole or in part) or disclosure of its content without our written consent is strictly forbidden. This communication does not create any legally binding obligation on the part of DBSI or any of its affiliates.

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.