

Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]  
From: Jj Litchford <[REDACTED]>  
Date: Mon, 05 Oct 2015 15:59:27 -0400  
To: Paul Morris <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

I am meeting with Amanda tomorrow, I found a solution working with the middle office. Any accounts we know will be used sporadically as pass-throughs I can move to a special status that will prevent closing.

I am going to attempt to preemptively identify which accounts these are so we don't have to backtrack like this again.

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JJ Litchford  
Associate Banker

Deutsche Bank Trust Company Americas  
Deutsche Asset & Wealth Management  
345 Park Avenue, 24th Floor  
New York, New York 10154  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

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From: Paul Morris  
Sent: Monday, October 05, 2015 3:53 PM  
To: Jj Litchford  
Cc: Stewart Oldfield  
Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

Classification: For internal use only

Thanks JJ, need to come up with better way to track when accounts will close

Paul Morris

Managing Director

Deutsche Bank Private Bank

Office: [REDACTED]

Cell: [REDACTED]

From: Jj Litchford

Sent: Monday, October 05, 2015 3:50 PM

To: Halina Laczny

Cc: Gaurav Bhargava; [REDACTED]; Manish-m Gupta; Daphne Cales; Paul Morris

Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

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Thanks everyone, much appreciated.

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Mobile [REDACTED]  
Email [REDACTED]

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From: Halina Laczny  
Sent: Monday, October 05, 2015 3:49 PM  
To: Jj Litchford  
Cc: Gaurav Bhargava; [REDACTED]; Manish-m Gupta; Daphne Cales  
Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

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JJ,

A/[REDACTED] is Re-opened.

Thank you TAG and AOG team !

Kind regards, Halina

Mort, Inc

[REDACTED] Demand Deposit Account

Action {[https://10.159.69.21/opstopb1/images/menu\\_d\\_sm.gif](https://10.159.69.21/opstopb1/images/menu_d_sm.gif)} Admin  
{[https://10.159.69.21/opstopb1/images/menu\\_d\\_sm.gif](https://10.159.69.21/opstopb1/images/menu_d_sm.gif)} Window {[https://10.159.69.21/opstopb1/images/menu\\_d\\_sm.gif](https://10.159.69.21/opstopb1/images/menu_d_sm.gif)}  
Help {[https://10.159.69.21/opstopb1/images/menu\\_d\\_sm.gif](https://10.159.69.21/opstopb1/images/menu_d_sm.gif)}

2:46:04 PM CDT

Show More

Account Identification {View Additional Identification Information}

Mort, Inc

Home:

000-000-0000

Tax ID:

██████████ F

6100 Red Hook Quarter, B3

Work:

██████████

Last deposit:

210,000.00, 02/09/2015

St. Thomas

Branch:

5 - Core Franchise

Current bal:

0.00

00802

Prim officer:

81385 - Amanda Kirby

United States Virgin Islands

Overview

Account type:

10 - Business Checking

Account short name:

Mort, Inc

Deposit category:

D - Demand Deposit

Opened:

02/06/2015

Tax ID:

██████████

Zero balance:

10/05/2015

Tax ID owner:

1 - Name/address line 1

Entered:

02/06/2015

Birth date:

Reopened:

10/05/2015

Employee secured indicator:

No

Kind regards,

Halina Laczny  
Assistant Vice President  
DB Services New Jersey,  
GTO Research & Investigations  
100 Plaza One - 3rd Floor  
Jersey City, NJ 07311-3901  
MS : JCY03-0343  
Phone [REDACTED]

Securities offered through Deutsche Bank Securities, Inc

From: Gaurav Bhargava  
Sent: Monday, October 05, 2015 3:35 PM  
To: Halina Laczny; [REDACTED]; Manish-m Gupta  
Subject: RE: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

A0G part to provide approval was completed.

Thank you.

EFTA01423837

Kind regards,  
Gaurav Bhargava

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Gaurav Bhargava  
Process Supervisor | PWM US Operations

DBOI Global Services Private Limited  
Global Business Services  
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -  
SEZ, 302029 Jaipur, India  
Tel. [REDACTED]  
Fax + [REDACTED]  
Mobile +91 [REDACTED]  
Email [REDACTED]

{cid:image003.png@01D0D92E.ABE61510}

From: Halina Laczny  
Sent: Tuesday, October 06, 2015 1:04 AM  
To: [REDACTED]; Manish-m Gupta; Gaurav Bhargava  
Subject: FW: URGENT: Account Re-opening - ref. case#1469681- urgent [I]

Classification: For internal use only

To AOG Team:

Case # 1469681 need AOG review. Please check below JJ's e-mail and complete your part.

JJ:

AOG approval should be attached to case#1469681

TAG DBOI:

After above is completed please approve case#1469681 and please ask AOG to enter case/reopen account.

Thank you all,

Kind regards,

Halina Laczny  
Assistant Vice President  
DB Services New Jersey,  
GTO Research & Investigations  
100 Plaza One - 3rd Floor  
Jersey City, NJ 07311-3901  
MS : JCY03-0343  
Phone [REDACTED]

Securities offered through Deutsche Bank Securities, Inc

From: Jj Litchford  
Sent: Monday, October 05, 2015 3:21 PM  
To: Navin-Kumar Pankaj  
Cc: Gwen Hill; [REDACTED]; Prashant Mahendru; Halina Laczny;  
Daphne Cales; Paul Morris  
Subject: URGENT: Account Re-opening [I]  
Importance: High

Classification: For internal use only

The necessary KYC to get this account open has been approved (KYC 01469735). Please approve ASAP, we are aiming to get a transaction done today.

Thanks, let me know if you have any questions.

JJ

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JJ Litchford  
Associate Banker

Deutsche Bank Trust Company Americas  
Deutsche Asset & Wealth Management  
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New York, New York 10154  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

{cid:image002.gif@01D02B3A.F8AC3FC0}

From: Jj Litchford  
Sent: Monday, October 05, 2015 1:35 PM  
To: Navin-Kumar Pankaj  
Cc: Gwen Hill; [REDACTED]; Prashant Mahendru  
Subject: RE: Account Re-opening [I]  
Importance: High

Classification: For internal use only

We have submitted a rush KYC to deal with the below issue. The KYC is 01469735. When it is approved hopefully today, we are requesting a RUSH on this account re-opening. I will follow up as soon as the KYC is approved.

Thanks,

JJ

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Mobile [REDACTED]  
Email [REDACTED]

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From: Navin-Kumar Pankaj  
Sent: Thursday, October 01, 2015 5:22 PM  
To: Jj Litchford  
Cc: Gwen Hill; [REDACTED]; Prashant Mahendru  
Subject: RE: Account Re-opening [I]

Hi Jj,

Since customer "Mort, Inc." is a prospect hence, we require KYC# within one year to approve this re-open request.

KYC #01195147 is more than one year old.

Kind regards,

Navin Kumar Pankaj

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Please send all the PWM AOG- COB mail related Queires to our new Group E-mail ID

[REDACTED]

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Navin Kumar Pankaj

Analyst PWM US Operations

DBOI Global Services Private Limited

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

Tel. + [REDACTED] [REDACTED]

Mobile +91 [REDACTED]

Email [REDACTED]

From: Jj Litchford  
Sent: Friday, October 02, 2015 2:18 AM  
To: [REDACTED]  
Subject: Account Re-opening [I]

Classification: For internal use only

Hi--

Please re-open account [REDACTED] (Mort, Inc.). The client is looking to transfer money into the account (per the attached instruction). It was closed on 5/26/2015 and still appears on FIS Metavante. The client did not send a letter to close, and the account was recently opened on 2/6/2015. The KYC is still valid.

Thanks,

JJ

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Associate Banker

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