

Subject: RE: Neptune\_\$1200 and \$1000 wire [I]  
From: Natalie Barak <[REDACTED]>  
Date: Fri, 10 May 2019 11:56:55 -0400  
To: Kathryn J Stanfield <[REDACTED]>,  
Brigid Macias <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>

Hi Kathryn – the only open item left for this relationship is the \$1200 to Wells. Any update?

(The items for Neptune as well as the \$1000 wire mentioned below have all been resolved).

{logo}

Natalie Barak  
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

From: Kathryn J Stanfield  
Sent: Friday, May 03, 2019 1:32 PM  
To: Natalie Barak <[REDACTED]>; Brigid Macias  
<[REDACTED]>  
Subject: RE: Neptune\_\$1200 and \$1000 wire [I]

Classification: For internal use only

Natalie

The wire for \$1,200 we cannot recall because there is an ofac investigation on it . The wire for \$1,000.00 we may be able to recall because we have not received any information on this one . Just keep in mind that they may come back and ask us

The same questions for the \$1,000.

Kind Regards  
Kathryn

---

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Kathryn J Stanfield  
Associate | WM Operations Americas | Client Service Operations Lead

Deutsche Bank Wealth Management | 60 Wall Street, New York, NY, 10005-2836,  
USA

Tel. [REDACTED] | Email [REDACTED]

<https://mydb.intranet.db.com/groups/wm-operations-us/overview>

From: Natalie Barak  
Sent: Friday, May 03, 2019 1:08 PM  
To: Brigid Macias <[REDACTED]>; Kathryn J Stanfield  
<[REDACTED]>  
Subject: RE: Neptune\_\$1200 and \$1000 wire

Hi Katherine – I spoke to the client and they asked if we could just recall both of these wires. I'm not sure that is possible but can you let me know?

{logo}

Natalie Barak  
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Fax [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

From: Natalie Barak  
Sent: Friday, May 03, 2019 1:01 PM  
To: Brigid Macias <[REDACTED]>; Kathryn J Stanfield  
<[REDACTED]>  
Subject: FW: Neptune\_\$1200 and \$1000 wire

Hi Brigid/Kathryn – looks like there was a second wire (May 2nd) from Neptune to Wells Fargo that also did not reach the beneficiary.

Do we need to address this separately or will the funds be released along with the first wire?

{logo}

Natalie Barak  
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

From: Bella Klein [mailto:[REDACTED]]  
Sent: Friday, May 03, 2019 12:23 PM  
To: Natalie Barak <[REDACTED]>  
Cc: Richard Kahn <[REDACTED]>; Bradley Gillin <[REDACTED]>; Brigid Macias <[REDACTED]>  
Subject: Re: Neptune\_\$1200 and \$1000 wire

Dear Team,

Please recall 2 wires sent from Neptune LLC account No [REDACTED] as vendor did not receive them. Please let me know if anything else is required.

1.04/26/2019

EFTA01423869

998000426160031

OUTGOING MONEY TRANSFER  
TO WELLS FARGO BANK, NA A/C 2000027366275 CKG PAINTING INC

\$1,200.00

2.05/02/2019

998000502111730

OUTGOING MONEY TRANSFER  
TO WELLS FARGO BANK, NA A/C 2000027366275 CKG PAINTING INC

\$1,000.00

Thank you,  
Bella

Tel: [REDACTED]

On May 3, 2019, at 10:21 AM, Natalie Barak <[REDACTED]> wrote:

We submitted an inquiry to Wells Fargo. They are reviewing and will get

back to us shortly.

{logo}

Natalie Barak  
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

From: Bella Klein [mailto:[REDACTED]]  
Sent: Thursday, May 02, 2019 10:37 AM  
To: Natalie Barak <[REDACTED]>  
Cc: Richard Kahn <[REDACTED]>  
Subject: Neptune\_\$1200

Appreciate your help.

Thank you,  
Bella

[REDACTED]  
Tel: [REDACTED]

On May 2, 2019, at 10:34 AM, Natalie Barak <[REDACTED]> wrote:

Hi Bella – our operations team is going to call Wells Fargo and see if they can locate the funds. I'll let you know once I hear back from them.

{logo}

Natalie Barak  
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas  
345 Park Avenue, New York, NY 10154

Tel. [REDACTED]  
Fax [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc

---

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

---

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Please refer to <https://db.com/disclosures> for additional EU corporate and regulatory disclosures.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

