

Subject: Closed account [I]

From: Ian Salters <[REDACTED]>

Date: Tue, 26 Feb 2019 08:34:44 -0500

To: Rosemary Vrablic <[REDACTED]>,
Russell Daulton <[REDACTED]>,
Bradley Gillin <[REDACTED]>,
Sean Jules <[REDACTED]>,
Joshua Shoshan <[REDACTED]>,
Xavier Avila <[REDACTED]>,
Eva Pace <[REDACTED]>,
Brian P Convey <[REDACTED]>,
Douglas Robertson <[REDACTED]>,
Stewart Oldfield <[REDACTED]>

Cc: Ian Salters <[REDACTED]>

Classification: For internal use only

Dear All

As part of a Brexit KYC review, we noticed the below clients may have previously contemplated trading with GM (derivatives), but do not have any KYC in place. My question is whether these account be closed process as part of our clean up exercise. Please let me know your thoughts.

WM Client Name

WM Contact/ RM Updated

509 Madison Avenue Associates LP

Rosemary Vrablic

Cascade Investment LLC

Russell Daulton

CHARLES M VON ARENTSCHILDT TR UAD 04/19/12 CHARLES & MEREDITH TTEES

Bradley Gillin

Heritage Investing Inc

Jimmy Benzaquen

James E Ferrell Revocable Trust Two

Sean Jules (RM) Josh Shoshan (KCP)

JOHN R MILLER ENTERPRISES LLC

Xavier Avila

Mantiqueira Overseas

Eva Pace

Meister, Keith A

Rosemary Vrablic (RM) Josh Shoshan (KCP)

PETER FINE

Brian Convey

Robert L Allbritton

Douglas Robertson

Robert Lewis Allbritton 1996 Trust

Douglas Robertson

SOUTHERN FINANCIAL LLC

Stewart Oldfield

Southern Trust Company Inc

Stewart Oldfield

The Shenandoah 2008 Trust

Rosemary Vrablic (RM) Josh Shoshan (KCP)

Kind regards,
Ian Salters

{<https://brandportal.intranet.db.com/img/modules/logogrey.gif>}

Ian Salters
COO Americas - Institutional Wealth Partners

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Mobile +1 [REDACTED]
Email [REDACTED]

Visit us: <http://deutschewealth.com>

{https://brandportal.intranet.db.com/img/modules/positive_impact_ENG.gif}

IWP products and services are intended for and available only to sophisticated, experienced investors who qualify as "institutional accounts" under FINRA Rule 4512(c)(3), and are capable of evaluating the investment benefits and risks of, and exercising independent judgment in evaluating and determining whether to enter into, sophisticated trading strategies and financial transactions. Transactions are subject to relevant internal approvals of Deutsche Bank or its affiliates prior to execution, and no transaction, idea or opportunity discussed herein may be executed unless you are a client of Deutsche Bank and of the Institutional Wealth Partners group (IWP).

Material herein regarding IWP products, investment ideas and solutions is for discussion purposes only. While brokerage services offered through the IWP Americas desk may include investment recommendations and brokerage advice, IWP does not provide investment management or investment advisory services, and private market opportunities and other products and services

available through IWP are offered only on a non-advisory basis.

This communication is confidential and personal to you, solely for your information and for discussion purposes only, and does not create any legally binding obligation. Reproduction or distribution of any material herein without our written consent is strictly forbidden.