

G&E Counterparts

Gifts / Entertainment Multiple Counterparts Template
(for requests involving more than 10 counterparts)

Note:

Austria - If it is a PWM client, do not disclose name of individual Counterpart. All Users from Austria, please add Client ID to 'Last Name' column

Channel Islands - Do not disclose name of individual Counterpart

Luxembourg, Malaysia, and Switzerland - Do not disclose name of individual Counterpart and organization name of Counterpart

Columns K, L: "Pending Business": Please indicate any upcoming transactions to the best of your knowledge. Pending business is closure of the deal, extension of a business, termination of a contract or other legally binding relationship with the counterpart or the third person.

Last Name First Name Middle Name (Optional) Organization Name Country Organization Type Type of relationship with the counterpart Are you aware of any pending business of DB With Counterpart? Details according pending business Are you aware of any G&E that was exchanged between Deutsche Bank and any of these Counterparts in the last 12 months? Details about offered / received G&E Comment

1	Sahay	Rudy	54	Madison Partners, LLC	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	Frieze NYC/ Billy Joel	Offered Frieze and did not attend.
2	Gabriel	James	55	Madison Partners, LLC	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	145/250	
3	Murzyn	Eric		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	145/250	
4	Wechsler	Brad		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	105.50/155/2500	
5	Black	Leon		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
6	Carpenter	Scott		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	425/2500	
7	Lynch	Gene		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
8	Barry	Michael		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
9	Lindermann	Adam		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
10	Lindermann	George		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
11	Marron	Don		Marron Capital	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	155	
12	Meister	Todd		Meister Company LLC	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	155	
13	Montrone	Katherine		Latona Associates	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250/105.05	
14	Chai	Chuck		Hillspire	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
15	Caska	Amber		Hillspire	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
16	Snyder	Dan		Washington Redskins	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
17	Schreiber	Karl		Washington Redskins	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
18	Gold	Stan		Shamrock Capital	USA	Individual/Retail	prospective/New Client (<3 months)	No	Yes	250	
19	Martin	Greg		Shamrock Capital	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
20	Slome	Ian		Slome Capital	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
21	Epstein	Jeffrey		J. Epstein Virgin Islands Foundation, Inc	USA	Individual/Retail	Existing Client (>3 months)	No	No		
22	Britton	Charles		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	US Open Did not attend US Open/2500/155	
23	Jones	Ken		Third Lake Capital	USA	Individual/Retail	prospective/New Client (<3 months)	No	Yes	Napa 2500/155	
24	Wanek	Ron		Private Investor	USA	Individual/Retail	Existing Client (>3 months)	No	No		
25	Bloom	Barry		Tish Financial Management	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	105.5	
26	Warner	Steve		Private Investor	USA	Individual/Retail	prospective/New Client (<3 months)	No	No		
27	Mitra	Bonnie		Private Investor	USA	Individual/Retail	prospective/New Client (<3 months)	No	No		
28	Kern	Jonathan		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
29	Vaid	Rahul		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	No		
30	Anderson	Frohman		Everwatch Capital	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	
31	Wonble	Matt		Private Investor	USA	Individual/Retail	Prospective/New Client (<3 months)	No	Yes	250	

nt (<3 months)	No	No					
32	Boies	Chris	Private Investor	USA	Individual/Retail	Existing Client (>3	
months) No	No	No					
33	Bills	Michael	Private Investor	USA	Individual/Retail	Prospective/New Clie	
nt (<3 months)	No	No					
34	Merrins	Brian	Bluestern LLC	USA	Individual/Retail	Prospective/New Client (<3 m	
onths) No	Yes	250					
35	Homrich	David	Arthur Blank	USA	Individual/Retail	Prospective/New Client (<3 m	
onths) No	Yes	Napa	2500				
36	Warren	Glen	Yorktown Partners	USA	Individual/Retail	Prospective/New Clie	
nt (<3 months)	No	Yes	Napa	155 or 2500			
37	Campf	Darren	Private Investor	USA	Individual/Retail	Prospective/New Clie	
nt (<3 months)	No	No					
38	Bulloch	Roger	Private Investor	USA	Individual/Retail	Prospective/New Clie	
nt (<3 months)	No	No					
39	Burritt	Jim	Pacific Private Fund Advisors LLC	USA	Individual/Retail	Pros	
pective/New Client (<3 months)	No	No					
40	Ellin	Howard	Private Investor	USA	Individual/Retail	Existing Client (>3	
months) No	No						
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Column H "Type of relationship with the counterpart": "Established clients": Clients where your desk has an established business relationship lasting for more than 3 months.

Afghanistan
Albania
Algeria
Andorra
Angola
Antigua and Barbuda
Argentina
Armenia
Australia
Sensitive Counterpart (Eg. Public Official) Regulatory/Supervisory Agency Austria
Yes Public Officials (US only) Prospective/New Client (<3 months) Azerbaijan
No Corporate Prospective/New Vendor (<3 months) Bahamas
Family Member Existing Client (>3 months) Bahrain
Government Entity Existing Vendor (>3 months) Bangladesh
Government Owned Entity Other Barbados
Institutional NA Belarus
Individual/Retail Interdealer Broker Belgium
Vendor Belize
ERISA related (US only) Benin
Union or Union-related Official (US only) Bhutan
Interdealer Broker Bolivia
Bosnia and Herzegovina
Botswana
Brazil
Brunei
Bulgaria
Burkina Faso
Burundi
Cambodia
Cameroons
Canada
Cape Verde
Cayman Islands
Central African Republic
Chad
Channel Islands
Chile
China
Colombia
Comoros
Congo-Democratic Republic
Congo-Republic
Costa Rica
Croatia
Cuba
Cyprus
Czech Republic
Denmark
Djibouti
Dominica
Dominican Republic
East Timor / Timor-Leste
Ecuador
Egypt
El Salvador
Equatorial Guinea

Eritrea
Estonia
Ethiopia
Fiji
Finland
France
Gabon
Gambia
Georgia
Germany
Ghana
Greece
Grenada
Guatemala
Guinea
Guinea-Bissau
Guyana
Haiti
Honduras
Hong Kong
Hungary
Iceland
India
Indonesia
Iran
Iraq
Ireland
Israel
Italy
Ivory Coast
Jamaica
Japan
Jordan
Kazakhstan
Kenya
Kiribati
Kosovo
Kuwait
Kyrgyzstan
Laos
Latvia
Lebanon
Lesotho
Liberia
Libya
Liechtenstein
Lithuania
Luxembourg
Macedonia
Madagascar
Malawi
Malaysia
Maldives
Mali
Malta
Marshall Islands
Mauritania
Mauritius
Mexico
Micronesia
Moldova
Monaco
Mongolia
Montenegro
Morocco
Mozambique
Myanmar
Namibia
Nauru
Nepal
Netherlands
New Zealand
Nicaragua
Niger
Nigeria
North Korea
Norway
Oman
Pakistan

Palau
Palestine
Panama
Papua New Guinea
Paraguay
Peru
Philippines
Poland
Portugal
Qatar
Romania
Russia
Rwanda
Samoa
San Marino
Sao Tome and Principe
Saudi Arabia
Senegal
Serbia
Seychelles
Sierra Leone
Singapore
Slovakia
Slovenia
Solomon Islands
Somalia
South Africa
South Korea
South Sudan
Spain
Sri Lanka
St. Kitts and Nevis
St. Lucia
St. Vincent and the Grenadines
Sudan
Suriname
Swaziland
Sweden
Switzerland
Syria
Taiwan
Tajikistan
Tanzania
Thailand
Togo
Tonga
Trinidad and Tobago
Tunisia
Turkey
Turkmenistan
Tuvalu
Uganda
UK (United Kingdom)
Ukraine
United Arab Emirates
Uruguay
USA
Uzbekistan
Vanuatu
Vatican city
Venezuela
Vietnam
Yemen
Zambia
Zimbabwe
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Sheet2

Organizational type	Pending business	Relationship type	Category of Gift or Entertai
Government Entity	Yes	Established client	Yes
Institutional	No	Prospective client	No
Public Official	New client	Entertainment	JPY
PWM client	Vendor		
Retail	Family member		
State Owned Entity			
Vendor			
Workers Council Member			
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