

Subject: MD approval for ACUs completed for High Risk Clients [I]  
From: Vaishali-P Mehta <[REDACTED]>  
Date: Thu, 01 Dec 2016 09:21:40 -0500  
To: Andrew Gallivan <[REDACTED]>  
Cc: Douglas Robertson <[REDACTED]>,  
Mark Gonzalez <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Dear Andrew,

Below are the ACUs which were completed for your High Risk customers this month.

All the below ACUs were completed showing no material changes to the client's circumstances.

Annual Client Update: ID

GCIS Customer Number

Customer Owner

MD

Customer Name

Annual Client Update: Created Date

A14.

A15. Client Status Update Description

A16.

A17.

0078846

Douglas Robertson

00000494398

Andrew Gallivan

Robert Lewis Allbritton 1996 Trust

11/10/2016

The trustee, Robert Allbritton, continues to live and work in DC area focusing on small direct investments across industries.

The purpose of the discussion was an annual review of the portfolio, markets, and their ongoing cash needs (flows in / out). There are no material changes with this client.

No

No

0078488

Mark Gonzalez

00000480264

Andrew Gallivan

Bal Harbour 1604N, LLC

10/26/2016

Mr. Forkosh resides in New York and spends time in South Hampton and Miami. His income is derived from his commercial and rental properties.

Nothing has materially changed for this client.

No

No

0078486

Mark Gonzalez

00000479937

Andrew Gallivan

First & 91st LLC

10/26/2016

Alex spends most of the year in NYC and splits the rest of his time between his condo in Bal Harbour and his home in South Hampton.

Nothing has changed materially for the client.

No

No

0078487

Mark Gonzalez

00000479906

Andrew Gallivan

Forkosh, Alexander

10/26/2016

The client resides and works as RE Developer in NYC, spends part of the winter in Florida.

No changes in the client status.

No

No

0078489

Mark Gonzalez

00000482815

Andrew Gallivan

The Forkosh Manor LLC

10/26/2016

The client resides and works as RE Developer in NYC, spends part of the winter in Florida.

There have been no significant changes to this clients status.

No

No

0078460

Stewart Oldfield

00000483289

Andrew Gallivan

Epstein, Jeffrey

10/26/2016

There have been no material changes

There have been no material changes

Yes

No

0078462

Stewart Oldfield

00000487216

Andrew Gallivan

JEGE, LLC

10/26/2016

No material change

No material change

Yes

No

0078461

Stewart Oldfield

00000499733

Andrew Gallivan

LSJE, LLC

10/26/2016

No material change

No material change

Yes

No

Please review the ACU comments, and reply back with your approval.

If this any information is not correct or you are aware of a material change that has not been reflected, please let us know immediately as a KYC update will be required.

Regards,

Vaishali Mehta

Head of Anti-Money Laundering Business Risk  
Deutsche Bank Wealth Management

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