

Subject: RE: Approval Required on \$23mn Wire [I]
From: Matthew Schaefer <[REDACTED]>
Date: Tue, 22 Nov 2016 15:00:17 -0500
To: Cynthia Rodriguez <[REDACTED]>,
[REDACTED],
PWM SPG <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Hi Cynthia,

Please send me the client's signature card.

Kind regards,
Matthew Schaefer

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Matthew Schaefer
Regulatory Analyst | Supervisory Principals Group

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From: Cynthia Rodriguez [mailto:[REDACTED]]
Sent: Tuesday, November 22, 2016 2:27 PM
To: [REDACTED] <[REDACTED]>; PWM SPG
<[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Approval Required on \$23mn Wire
Importance: High

Hello,

Our client, Southern Trust Company, would like to internally transfer \$23mn into their brokerage account under the name of The Haze Trust. Please see attached letter of instruction. The callback was made to the phone number on file, and confirmed by Darren Indyke who is the signatory on the account. Please provide approval to process this wire today. Thank you.

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

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