

Subject: RE: Goldman MM Requests for Stew Oldfield [I]
From: Stewart Oldfield <[REDACTED]>
Date: Fri, 24 Feb 2017 11:03:55 -0500
To: Darlene Zong <[REDACTED]>
Cc: Andrew King <[REDACTED]>

Classification: For internal use only

Will ask him. No major rush either way. Thanks

From: Darlene Zong
Sent: Friday, February 24, 2017 11:03 AM
To: Stewart Oldfield
Cc: Andrew King
Subject: FW: Goldman MM Requests for Stew Oldfield [I]

Classification: For internal use only

Hi Stew,

I'm more than happy to help.. but will have to wait on my access to AIS if I'm the one to initiate the doc request.

Can Josh help on Epstein?

Best,

Darlene

From: Zbynek Kozelsky
Sent: Friday, February 24, 2017 10:57 AM
To: Darlene Zong
Cc: Joseph Dursi; Andrew King
Subject: RE: Goldman MM Requests for Stew Oldfield [I]

Classification: For internal use only

D,

Will follow up on the access.

Epstein is KCP hence KCP should be covered by KCP.

{cid:image003.png@01CFFD0A.6F882F50}

Zbynek "Ziggy" Kozelsky
Director | Branch Administrative Manager

Key Client Partners - Americas
Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
Tel. [REDACTED]
Email [REDACTED]

All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

This email may contain confidential and/or privileged information. If you are not the intended recipient (or have received this email in error), please notify the sender immediately and delete the email from your computer. Any unauthorized copying, disclosure or distribution of the material in this email is prohibited.

From: Darlene Zong
Sent: Friday, February 24, 2017 10:47 AM

EFTA01424329

To: Zbynek Kozelsky
Cc: Joseph Dursi; Andrew King
Subject: RE: Goldman MM Requests for Stew Oldfield [I]

Classification: For internal use only

Hi Ziggy,

I still don't have access to AIS.

And as discussed, since the sales was initiated by ISG on advisory product and it can be booked to ISG should sales complete, can ISG submit the requests in these two cases?

I'm more than happy to help but just don't want to hold up the process.

Best,

Darlene

From: Andrew King
Sent: Friday, February 24, 2017 10:31 AM
To: Darlene Zong
Cc: Joseph Dursi; Zbynek Kozelsky
Subject: Goldman MM Requests for Stew Oldfield [I]

Classification: For internal use only

Darlene,

Stew would like to send Goldman MM docs to Southern Financial and Tisch (TFMG). Given that they are both KCP clients, would you mind asking your team to submit the requests? Please let me know if you need any additional info.

Best regards,
Andrew King

{cid:image001.gif@01D1AA1B.81C7AA00}

Andrew King, CFA
Vice President I Investment Solutions Group

Deutsche Bank Securities Inc.
Deutsche Bank Wealth Management
345 Park Avenue, New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Mobi [REDACTED]
Email [REDACTED]

{cid:image002.gif@01D1AA1B.81C7AA00}