

Subject: RE: Southern Financial [I]  
From: Jj Litchford <[REDACTED]>  
Date: Tue, 27 Oct 2015 15:30:59 -0400  
To: Ariane Dwyer <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>,  
Paul Morris <[REDACTED]>,  
Vahe Stepanian <[REDACTED]>,  
Daniel Sabba <[REDACTED]>

Classification: For internal use only

Thanks Ari, will circle back if we have any questions

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JJ Litchford  
Associate Banker

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Deutsche Asset & Wealth Management  
345 Park Avenue, 24th Floor  
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From: Ariane Dwyer  
Sent: Tuesday, October 27, 2015 3:12 PM  
To: Jj Litchford  
Cc: Stewart Oldfield; Paul Morris; Vahe Stepanian; Daniel Sabba  
Subject: RE: Southern Financial [I]

Classification: For internal use only

Hi JJ,

Here are the large wires YTD.

Best,

Ari

From: Paul Morris  
Sent: Thursday, October 22, 2015 5:06 PM  
To: Jj Litchford; Ariane Dwyer; Vahe Stepanian  
Cc: Stewart Oldfield  
Subject: RE: Southern Financial [I]

Thanks for the help, we need to make sure going forward that we are cc'd on any significant wires going out of brokerage. Thanks

-----Original Message-----

From: Jj Litchford  
Sent: Thursday, October 22, 2015 04:46 PM Eastern Standard Time  
To: Ariane Dwyer; Vahe Stepanian  
Cc: Paul Morris; Stewart Oldfield  
Subject: Southern Financial [I]

Classification: For internal use only

Hi Ari/ Vahe--

Thanks for your help today, we need to expand on the questions we had earlier.

1) We need to know the account balances for each of the Southern Financial Relationship brokerage accounts on 12/31/2014 as well as the current balances. Unfortunately, we don't have system visibility into brokerage accounts anymore.

2) For outflows, we need a better understanding of where large (\$1MM+) wires were and are going. Is there a way to pull all wires over \$1MM and where they were sent? More concerned with anything leaving the DB umbrella.

Thanks in advance. I realize #2 will likely take some leg work... we are most concerned presently with #1.

JJ

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