

Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC 20190305 [C]  
From: Richard Kahn <[REDACTED]>  
Date: Fri, 08 Mar 2019 10:47:12 -0500  
To: Liam Osullivan <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>

thank you  
Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Mar 8, 2019, at 10:46 AM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

The cash balance is \$190,415.39

From: Liam Osullivan  
Sent: Friday, March 08, 2019 10:02 AM  
To: 'Richard Kahn' <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: RE: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

Classification: Confidential

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Friday, March 08, 2019 9:57 AM  
To: Liam Osullivan <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

lets do that delivery from you  
please call me to review since they are a bit confused..

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Mar 8, 2019, at 9:55 AM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential  
Rich,

IB did not send back the corrected request. The latest request was rejected. They will need to re-submit with the correct tax id.

We could also process a free delivery and wire with signed instructions.

Thank you,

Liam

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<image001.gif>

Liam O'Sullivan  
Investments & Trading | Institutional Wealth Partners

DB Securities Inc

Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154-0004, USA  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Liam Osullivan  
Sent: Thursday, March 07, 2019 2:57 PM  
To: 'Richard Kahn' <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>  
Subject: RE: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

Classification: Confidential

We are waiting for IB to send back a corrected request. Our transfer department reached out to IB with what they needed. It may help if you push the people at IB.

From: Richard Kahn [mailto: [REDACTED]]  
Sent: Thursday, March 07, 2019 2:18 PM  
To: Liam Osullivan < [REDACTED] >  
Cc: Stewart Oldfield < [REDACTED] >  
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

any updates

please advise

thanks

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Mar 6, 2019, at 6:00 PM, Liam Osullivan < [REDACTED] > wrote:

Classification: Confidential

Rich,

It looks like the transfer request came in today but will be rejected again for Tax ID mismatch. I will know more tomorrow.

Thanks

Liam

From: Richard Kahn [mailto: [REDACTED]]  
Sent: Wednesday, March 06, 2019 9:22 AM  
To: Liam Osullivan < [REDACTED] >  
Cc: Stewart Oldfield < [REDACTED] >  
Subject: Re: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305 [C]

ok

thank you

Richard Kahn  
HBRK Associates Inc.  
575 Lexington Avenue 4th Floor  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]

cell [REDACTED]

On Mar 6, 2019, at 9:19 AM, Liam Osullivan <[REDACTED]> wrote:

Classification: Confidential

Good morning,

We will work on have the margin statements turned off.

We have not received the request from IB yet.

Thank you,

Liam

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<image001.gif>

Liam O'Sullivan  
Investments & Trading | Institutional Wealth Partners

DB Securities Inc  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154-0004, USA  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

Visit us: <http://deutschewealth.com>.

<image002.gif>

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From: Richard Kahn [mailto:████████████████████]  
Sent: Wednesday, March 06, 2019 5:41 AM  
To: Liam Osullivan <████████████████████>; Stewart Oldfield  
<████████████████████>  
Subject: Fwd: Auto Generated Margin Statement from DEUTSCHE BANK AG to  
SOUTHERN FINANCIAL, LLC\_20190305

can you please look into why we are still receiving these emails..

also any update from IB pulling apple stock?

please advise

thank you

Richard Kahn

HBRK Associates Inc.

575 Lexington Avenue, 4th Floor

New York, NY 10022

tel



fax



cell



Begin forwarded message:

From: [REDACTED]

Subject: Auto Generated Margin Statement from DEUTSCHE BANK AG to SOUTHERN FINANCIAL, LLC\_20190305

Date: March 6, 2019 at 3:16:59 AM EST

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

To: [REDACTED]

Cc: [REDACTED]

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