Subject: RE: Butterfly Trust - new brokerage account docs
From: Stewart Oldfield <[redacted]>
Date: Tue, 25 Sep 2018 12:46:53 -0400
To: Richard Kahn <[redacted]>
Cc: Bradley Gillin <[redacted]>,
Liam Osullivan <[redacted]>
Bcc: [redacted],
[redacted]

Sending link to OCC site for the options disclosure doc. That one is 17MB for some reason.

https://www.theocc.com/about/publications/publication-listing.jsp

https://www.theocc.com/about/publications/character-risks.jsp

-----Original Message-----
From: Stewart Oldfield
Sent: Tuesday, September 25, 2018 12:39 PM
To: Richard Kahn <[redacted]>
Cc: Bradley Gillin <[redacted]>; Liam Osullivan <[redacted]>
Subject: Butterfly Trust - new brokerage account docs

Rich,

Please see brokerage account docs attached and an outline of what needs to be done below. We've also included an options agreement for JE's personal account since the one we have expires at the end of next month.

Please reach out with any questions. Thanks
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-Account Agreement
- o 9th Page – Sign and Date
- o 16th Page – This page only needs to be completed if the account will have margin. We will need the original account agreement for margin.

-Trust Authorization – Sign, date
-Electronic Delivery Consent – Enter email address, sign, date and print name & title -Free Credit Balances – Money Market Sweep – Check one of the boxes, sign, date and print name
-W-9 – sign and date
-Limited Trading Authorization - This will need to be completed by both the agent and the Trustee. The legal address of the agent must be listed in the form.
-Options Agreement – we will need the original form.
- o Each section must be completed (Client's Financial

Information, Investment Experience, Option Objectives, Strategy Requests)
o 2nd Page - sign, date and print name
o 4th Page - Initial
- Uncovered Call Writers – Sign and date - we will need the original form as well as margin (see Account Agreement)

For informational purposes:
- CIP Notice
- Options Disclosure Document (ODD)
- 2012 Nov ODD Supplement

JEFFREY EPSTEIN - INDIVIDAUL ACCOUNT -Options Agreements Expire 10/31/2018.
-Options Agreement – we will need the original form.
o Each section must be reviewed / corrected (Client's Financial Information, Investment Experience, Option Objectives, Strategy Requests)
o 2nd Page - sign, date and print name
o 4th Page - Initial
- Uncovered Call Writers – Sign and date - we will need the original form as well as margin

Thank you,

Liam

Liam O'Sullivan
AVP | Key Client Partners - US

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