

Subject: [C]  
From: Tazia Smith <[REDACTED]>  
Date: Wed, 29 Oct 2014 08:39:55 -0400  
To: jeffrey E. <jeevacation@gmail.com>  
Cc: Daniel Sabba <[REDACTED]>,  
Paul Morris <[REDACTED]>,  
Richard Kahn <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>,  
Vahe Stepanian <[REDACTED]>

Classification: Confidential

Good Morning Jeffrey - Re-enter order?  
Use limit and/or participate % volume?

Thanks,  
Tazia

From: Tazia Smith/db/-  
dbcom

To: "jeffrey E."  
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn  
<[REDACTED]>, Stewart  
Oldfield/db/dbcom@DBAMERICAS, Vahe Stepanian/db/-  
dbcom@DBAMERICAS

Date: 10/28/2014 04:14  
PM

Subject: Trade Report 10/28/14  
[C]

Classification: Confidential

Jeffrey -

Today you executed the following:

B 49,033 Citi 5.8% pfd at \$24.0205

This leaves 150,967 shares to buy. Re-enter tomorrow?

Your other Citi pfd is held in Haze. Would you like this booked there as well?

Thank You,  
Tazia

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Tazia Smith  
Director | Key Client Partners - US

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345 Park Avenue, 10154-0004 New York, NY, USA  
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(Embedded image moved to file: pic27651.gif)

From: Tazia Smith/db/-  
dbcom

To: "jeffrey E."  
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EFTA01425527

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn  
<[REDACTED]>, Stewart  
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dbcom@DBAMERICAS

Date: 10/28/2014 02:24  
PM

Subject: Re:  
[C]

Classification: Confidential

Jeffrey - Thus far done on 36,300 Citi 5.8% pfd at \$23.9964. Participating  
25% of the volume now. Please let us know if you would like us to be more  
aggressive. Not enough volume to get done on 200k in one day.

Tazia

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VWAP from time of Order Entry: \$24.0117  
(Embedded image moved to file: pic24974.gif)

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(Embedded image moved to file: pic16989.gif)

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(Embedded image moved to file: pic13673.gif)

From: Tazia Smith/db/-  
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To: "jeffrey E."  
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn  
<[REDACTED]>, Stewart  
Oldfield/db/dbcom@DBAMERICAS, Vahe Stepanian/db/-  
dbcom@DBAMERICAS

Date: 10/28/2014 01:11  
PM

Subject: Re:  
[C]

Classification: Confidential

Jeffrey - thus far done on 35,100 Citi 5.8% pfd at \$23.9945 working at 24.05 so to continue to participate. given low avg daily volume, unlikely we would get it all 200k done in one day, so working not to push price. please come back to me if you want to be more aggressive.

Thanks!  
Tazia

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From: Tazia Smith/db/-  
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To: "jeffrey E."  
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn  
<[REDACTED]>, Vahe  
Stepanian/db/dbcom@DBAMERICAS, Stewart Oldfield/db/-  
dbcom@DBAMERICAS

Date: 10/28/2014 11:36  
AM

Subject: Re:  
[C]

Classification: Confidential

Jeffrey - Thus far you have purchased 34,900 shares of Citi 5.8% pfd at \$23.9945; the pfd is presently \$24, we're working aggressively at that level. Please let us know if you want us to bid higher.

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From: Tazia Smith/db/-  
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To: "jeffrey E."  
<jeevacation@gmail.com>,

Cc: Daniel Sabba/db/dbcom@DBAMERICAS, Paul Morris, Richard Kahn  
<[REDACTED]>, Vahe  
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dbcom@DBAMERICAS

Date: 10/28/2014 11:06  
AM

Subject: Re:  
[C]

Classification: Confidential

Jeffrey - How aggressive do you want us to be? Buying 200k shares, Citi 5.8% pfd has traded 15.6k shares this morning, 28k dailyavg. Do you want to give us a limit?

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(Embedded image moved to file: pic07322.gif)

From: Tazia Smith/db/-  
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Cc: Richard Kahn <[REDACTED]>, Daniel Sabba/db/-  
dbcom@DBAMERICAS, Vahe  
Stepanian/db/dbcom@DBAmericas, Paul  
Morris

Date: 10/28/2014 10:57  
AM

Subject: Re:  
[C]

Classification: Confidential

Citi 5.8% Perpetual Preferred (cusip: 172967366) , trading ~23.92, buying  
\$5mm  
worth. got it.

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(Embedded image moved to file: pic07276.gif)

From: "jeffrey E."  
<jeevacation@gmail.com>

To: Tazia Smith/db/dbcom@DBAMERICAS, Richard Kahn  
<[REDACTED]> ,

Date: 10/28/2014 10:54  
AM

Subject:

buy \$5MM of this issue.

Citi 5.80% PFD

EFTA01425533

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please note

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