

Subject: FW: Butterfly Trust [C]
From: Stewart Oldfield <[REDACTED]>
Date: Thu, 11 Oct 2018 16:16:09 -0400
To: Kimberly Hart <[REDACTED]>

Kim,

I'd like to keep coverage of this account with the KCP brokerage team since they understand how the client likes to trade. The account will most likely trade cash equities and bonds, and it will be straightforward to ensure that they do not engage in any non-suitable products. Please let me know how to get this to the right place. We have new account docs back from the client and are ready to do the onboarding pending resolution here.

Thanks

From: Liam Osullivan
Sent: Thursday, October 11, 2018 3:31 PM
To: Stewart Oldfield <[REDACTED]>
Cc: Martin Zeman <[REDACTED]>; Zbynek Kozelsky <[REDACTED]>
Ian Salters <[REDACTED]>
Subject: Butterfly Trust [C]

Classification: Confidential

I discussed the below with Ziggy, Ian and Martin.

We propose that the Butterfly Trust is opened with the KCP team. We will open the account under the N4G prefix. We will request a new CA number for Martin Zeman that will be used to separate Butterfly Trust from accounts that have signed KCP packages on file. Martin will sign a quarterly attestation that the account is not being offered KCP products.

Kind regards,
Liam O'Sullivan

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Liam O'Sullivan
AVP | Key Client Partners - US

DB Securities Inc
Deutsche Bank Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. + [REDACTED]
Email [REDACTED]

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