

Subject: RE: Hyperion Air LLC - KYC [I]  
From: Bradley Gillin <[REDACTED]>  
Date: Mon, 05 Nov 2018 09:03:35 -0500  
To: Alka Babu <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>,  
Vijay-A Sawant <[REDACTED]>,  
Vinita Advani <[REDACTED]>,  
Stewart Oldfield <[REDACTED]>,  
Richard Iarossi <[REDACTED]>

Classification: For internal use only

Both were previously answered.

Lawrence is not a signer (as per my email below), he is a legal rep.  
We spoke to the family office on Nov 1 to confirm no material changes.

Regards,  
Brad

From: Alka Babu  
Sent: Monday, November 05, 2018 7:43 AM  
To: Bradley Gillin <[REDACTED]>  
Cc: Mayur Rathod <[REDACTED]>; Vijay-A Sawant <[REDACTED]>  
<[REDACTED]>; Vinita Advani <[REDACTED]>  
Subject: RE: Hyperion Air LLC - KYC [I]

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Hi Brad,

Thank you for the attachment (ID for Lawrence Visoski) you forwarded.

Now the only remaining ones for this case are as below. Please refer to the

font in black as that is the rejection points :

- Please provide evidence of or a case comment regarding confirmation from the client that the information on the KYC is still accurate (i.e. ownership structure, signatories, etc. have not changed). The comment must include the name of the person spoken with and date this conversation occurred. (The attached internal email does not meet this requirement.) – No material confirmation email was attached to the KYC but will not suffice as per AFC. They need evidence of client (person) & date +confirming no material changes.

- Please attach evidence of signing authority (Corporate authorization) for the brokerage account of J. Epstein Virgin Islands Foundation In., granting signing auth for Erika Kellerhals. – Will need DB Corporate Resolution for this brokerage account# [REDACTED]

Kind regards,

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{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Alka Babu

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063, India  
Tel. [REDACTED]  
Mobile [REDACTED]  
[REDACTED]

From: Vijay-A Sawant  
Sent: Monday, November 05, 2018 11:25 AM  
To: Alka Babu <[REDACTED]>  
Subject: FW: Hyperion Air LLC - KYC [I]

EFTA01426010

Importance: High

From: Bradley Gillin  
Sent: Friday, November 02, 2018 10:43 PM  
To: Vijay-A Sawant <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>; Richard Iarossi  
<[REDACTED]>  
Subject: Hyperion Air LLC - KYC [I]  
Importance: High

Classification: For internal use only

Vijay,

See below.

(4) Operating Agreement for Hyperion Air LLC indicates the company is manager-managed and the initial manager was Lawrence Visoski. Is he still manager? Please provide evidence of the current management for this entity. – Please provide evidence confirming if Lawrence Visoski is still the initial manager at Hyperion Air LLC. We have re-checked the repositories and didn't find anything for this.

o Add as RP (legal rep):

§ See Attached Passport

§ SSN: [REDACTED]

Regards,  
Brad

EFTA01426011

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Bradley Gillin  
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