

Subject: RE: Urgent Request [SC]
From: Stewart Oldfield <[REDACTED]>
Date: Wed, 17 Jul 2019 09:03:37 -0400
To: Donna Kerrigan <[REDACTED]>
Cc: Kimberly Hart <[REDACTED]>
Bcc: [REDACTED],
[REDACTED]

Classification: Strictly Confidential

This has the same issue as the other data. Brokerage stops feeding in July '18. I got brokerage info from Liam, so the numbers I gave Patrick last night should be ok

There also seems to be a residual \$34 somewhere. I thought everything was \$0 balance, so we should track that down as well.

Thanks

From: Donna Kerrigan
Sent: Wednesday, July 17, 2019 8:58 AM
To: Stewart Oldfield <[REDACTED]>
Subject: FW: Urgent Request [C]

Classification: Confidential

From: Leslie Roth
Sent: Tuesday, July 16, 2019 6:55 PM
To: Donna Kerrigan <[REDACTED]>
Cc: Robert Frohlich <[REDACTED]>
Subject: RE: Urgent Request [C]

Classification: Confidential

Classification: For internal use only

Hi Donna,

Attached is the available data for Southern Financial from 2018-2019 in GMIS.

I will request the Pershing data from Brian Heidelberg which will include all CBV by month.

Leslie Roth

Deutsche Bank AG, Filiale New York

Wealth Management

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. +

Mobile

Email

From: Donna Kerrigan

Sent: Tuesday, 16 July, 2019 3:25 PM

To: Leslie Roth <>

Cc: Robert Frohlich <>; Stewart Oldfield

<>

Subject: FW: Urgent Request [C]

Classification: Confidential

Leslie – Please can you address this request as a matter of urgency and also confirm if this account was impacted by missing Brokerage assets last year.

Thanks

From: Stewart Oldfield
Sent: Tuesday, July 16, 2019 3:12 PM
To: Robert Frohlich [REDACTED]; Donna Kerrigan
[REDACTED]
Subject: RE: Urgent Request [C]

Classification: Confidential

Rob,

Could you break out brokerage vs. non-brokerage assets? I don't think we had such a substantial volume drop in July '18, and wonder if that's a brokerage data issue.

Thanks

From: Robert Frohlich
Sent: Tuesday, July 16, 2019 2:45 PM
To: Donna Kerrigan [REDACTED]
Cc: Stewart Oldfield [REDACTED]
Subject: RE: Urgent Request [C]

Classification: Confidential

Here you go – also attaching the underlying data from GMIS which includes the product type and client names as well as USD.

Sum of EUR

Categorie

relationship reference*

YearMonth

Revenues

Volume

SOUTHERN FINANCIAL

201801

118,719

177,123,487

201802

92,880

192,177,294

201803

101,339

183,554,497

201804

97,754

184,758,913

201805

116,122

198,312,507

201806

95,070

187,089,834

201807

66,734

57,351,765

201808

66,126

54,371,862

201809

107,091

45,601,540

201810

49,525

35,139,451

201811

48,090

32,853,375

201812

44,107

29,557,911

201901

53,541

33,911,566

201902

111,403

42,167,771

201903

48,774

8,262,610

201904

9,379

2,004,182

201905

799

30

201906

-

4

30

Kind regards,
Rob Frohlich

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Robert Frohlich

Deutsche Bank AG, Filiale New York

Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

From: Donna Kerrigan
Sent: 16 July 2019 13:34
To: Robert Frohlich <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: Urgent Request [C]

Classification: Confidential

Hi Rob - Please can you pull Southern Financial Revenues and CBV by Product and Month beginning January 2018.

Thanks,

Donna