

Subject: RE: Vijay, please call me when you can [I]  
From: Vijay-A Sawant <[REDACTED]>  
Date: Mon, 17 Dec 2018 06:57:50 -0500  
To: Stewart Oldfield <[REDACTED]>  
Cc: Vinita Advani <[REDACTED]>, Bradley Gillin <[REDACTED]>

Classification: For internal use only

Many thanks for the confirmation, Stewart!!

I have marked the Caterpillar account accordingly, on our tracker. I confirm that this is the only account which was due for remediation in 2019 from this relationship, thanks.

Kind Regards,

{cid:1\_\_=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India

T [REDACTED]  
[REDACTED]

[REDACTED]

From: Stewart Oldfield  
Sent: Monday, December 17, 2018 5:05 PM  
To: Vijay-A Sawant <[REDACTED]>  
Cc: Vinita Advani <[REDACTED]>; Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>  
Subject: Re: Vijay, please call me when you can [I]

Thanks very much. No need to continue any remediation.

Stewart Oldfield, CFA, CAIA

Director

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Dec 17, 2018, at 4:50 AM, Vijay-A Sawant <[REDACTED]> wrote:

Classification: For internal use only

Hi Stewart,

Following is the info you are seeking for the SOUTHERN FINANCIAL

RELATIONSHIP –

Butterfly Trust

GCIS#486426

JEGE, Inc

GCIS#487244

Plan D

GCIS#487219

Authorized Signer

Harry Beller

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Erika Kellerhals

Authorized Signer

Harry Beller

Authorized Signer

Harry Beller

Authorized Signer

Darren K. Indyke

Authorized Signer

Darren K. Indyke

Darren L. Indyke PLLC

GCIS#487220

Authorized Signer

Darren K. Indyke

JEGE, LLC

GCIS#487216

Prytanee, LLC

GCIS#550868

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jeffrey E. Epstein

Epstein, Jeffrey E.

GCIS#483289

Authorized Signer

Harry Beller

Authorized Signer

Darren K. Indyke

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Darren K. Indyke

Authorized Signer

Caroline Sophie Camille Lang

Authorized Signer

Harry Beller

Authorized Signer

Etienne Pierre Jean Binant

Authorized Signer

Darren K. Indyke

JSC Interiors LLC

GCIS#496075

Authorized Signer

Richard Kahn

Southern Financial LLC

GCIS#483882

Gratitude America Ltd

GCIS#499760

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jeffrey E. Epstein

[REDACTED]

GCIS#489505

Authorized Signer

Harry Beller

Authorized Signer

Darren K. Indyke

Authorized Signer

[REDACTED]

Authorized Signer

Darren K. Indyke

Authorized Signer

Karyna Shuliak

Authorized Signer

Jean Anne Brennan Wiebracht

HBRK Associates Inc

GCIS#487223

Authorized Signer

Richard D. Kahn

LSJE, LLC

GCIS#499733

Southern Trust Company, Inc

GCIS#483883

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jeffrey E. Epstein

Hyprerion Air, LLC

GCIS#487225

Authorized Signer

Darren K. Indyke

Authorized Signer

Darren K. Indyke

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jean Anne Brennan Wiebracht

Authorized Signer

Harry Beller

Mort, Inc

GCIS#487266

Authorized Signer

Darren K. Indyke

Authorized Signer

Jeffrey E. Epstein

The 2007 Jeffrey E. Epstein Insurance Trust #3 GCIS#487199

Authorized Signer

Darren K. Indyke

Authorized Signer

Darren K. Indyke

J. Epstein Virgin Islands Foundation, Inc GCIS#486427

Authorized Signer

Richard D. Kahn

Authorized Signer

Jeffrey E. Epstein

Neptune, LLC

GCIS#487264

Authorized Signer

Darren K. Indyke

Authorized Signer

Jeffrey E. Epstein

The 2017 Caterpillar Trust

GCIS#575969

Authorized Signer

Harry Beller

Authorized Signer

Daphne Wallace

Jeepers, Inc

GCIS#486423

Authorized Signer

Darren K. Indyke

Authorized Signer

Lesley Groff

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Harry Beller

NES, LLC

GCIS#487265

The Haze Trust

GCIS#486428

Authorized Signer

Darren K. Indyke

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Jean Anne Brennan Wiebracht

Authorized Signer

Harry Beller

Authorized Signer

Darren K. Indyke

Authorized Signer

Darren K. Indyke

Authorized Signer

Jean Anne Brennan Wiebracht

Authorized Signer

Harry Beller

Zorro Management, LLC

GCIS#550331

Authorized Signer

Jeffrey E. Epstein

Authorized Signer

Darren K. Indyke

Authorized Signer

[REDACTED]

Authorized Signer

Brice M. Gordon

All the authorized signers are derived from last approved respective KYC cases. Please note that the next account due for remediation as per our tracker is The 2017 Caterpillar Trust GCIS#575969.

Kindly confirm whether we should continue with the review or not, in light of recent developments in this relationship? We will proceed accordingly, thanks.

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobile + [REDACTED]

[REDACTED]

From: Stewart Oldfield  
Sent: Thursday, December 13, 2018 10:47 PM  
To: Vijay-A Sawant <[REDACTED]>  
Cc: Vinita Advani <[REDACTED]>; Mayur Rathod  
<[REDACTED]>; Bradley Gillin <[REDACTED]>  
Subject: RE: Vijay, please call me when you can [I]

Classification: For internal use only

I think we need all of them anyway. There are accounts that we have opened and linked internally to the relationship but may not be directly affiliated.

Thanks

From: Vijay-A Sawant  
Sent: Thursday, December 13, 2018 12:07 PM  
To: Stewart Oldfield <[REDACTED]>  
Cc: Vinita Advani <[REDACTED]>; Mayur Rathod <[REDACTED]>  
Subject: RE: Vijay, please call me when you can [I]  
Importance: High

Classification: For internal use only

Hi Stewart,

As per our call earlier today, I will prepare list of all Legal Representatives/Authorized Signors for all active accounts from the SOUTHERN FINANCIAL RELATIONSHIP.

During my initial review, I came across attached letter which indicates that Darren Indyke to be contacted for ALL accounts from this relationship.

Could you advise if this doc alone, is satisfies your request or would you still need us to find out respective LR's on each active account from this relationship?

We will proceed accordingly, thanks.

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative  
Deutsche CIB Centre Private Limited - Deutsche Bank Group  
Wealth Management  
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway  
Goregaon East, Mumbai - 400063 , India  
Tel. [REDACTED]  
Mobile + [REDACTED]  
[REDACTED]

From: Stewart Oldfield  
Sent: Thursday, December 13, 2018 8:19 PM  
To: Vijay-A Sawant <[REDACTED]>  
Subject: Vijay, please call me when you can [I]

Classification: For internal use only

<image002.png>

Stewart Oldfield, CFA, CAIA  
Director

Deutsche Bank Trust Company Americas  
Deutsche Bank Wealth Management  
345 Park Avenue, New York, NY 10154  
Tel. [REDACTED]  
Mobile [REDACTED]  
Email [REDACTED]

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