

Subject: self certification form
From: Stewart Oldfield <[REDACTED]>
Date: Wed, 25 May 2016 17:09:26 -0400
To: Richard Kahn <[REDACTED]>
Bcc: [REDACTED],
[REDACTED],
[REDACTED]

Rich,

Good to catch up this morning, thanks again for your time. I'm looking forward to working more closely together.

I have the attached self certification form that I have for JE's personal account, but I don't have the other ones you sent for the trading entities. I can probably dig them out of Paul's email somehow, but please resend if you have them handy. Will let you know if I get hold of them in the meantime.

Also as discussed, the deposit account below was flagged as being dormant for the last 24 months. I will get the form in the next day or so that you can sign to take it out of dormant status.

Date

Account Number

Client

Dormant / Inactive

Banking Officer

Primary Officer

Response Date

5/23/2016

4...763

SOUTHERN TRUST COMPANY, INC

dormant

Stewart Oldfield

Paul Morris

6/3/2016

Let me know if there's anything else I can do to be helpful. Once Brad, Cynthia and Anna on my team have access to your accounts in our systems, I will introduce you to them via email so you know who else can help you with any account issues in my absence.

And whenever it makes sense, I'm looking forward to getting together with Jeffrey in NY.

Thanks again,

Stew

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