

Subject: RE: Assistance Required in Resolving Rejection Points - KYC
Case#01977698 [I]
From: Bradley Gillin [REDACTED]
Date: Mon, 17 Sep 2018 10:26:36 -0400
To: Vijay-A Sawant [REDACTED]
Stewart Oldfield [REDACTED]
Richard Iarossi [REDACTED]
Cc: Mayur Rathod [REDACTED]

Classification: For internal use only

See below. These are simple deposit accounts used for operational/expense purposes.

[REDACTED] & Karyna Shuliak-[REDACTED] - DDA - Checking account for the daily use of [REDACTED] and Karyna.

· This is a checking account for Individuals. They use the funds for daily expenses.

Butterfly Trust - 44130552 - MMBA - Deposit account to hold funds for the trust.

· This is a money market deposit account to pay all expenses/- disbursements related to the trust. Expenses are taxes, trust fee, etc.

Neptune LLC - 42953424 - DDA - Checking account used for the daily needs of running Jeffrey Epstein's home in NYC.

· This is a checking account to pay for all expenses (i.e. electric, maintenance, cable, etc.) on Epstein's NYC home.

Regards,
Brad

{cid:image001.gif@01D44E70.E3E757C0}

Bradley Gillin
Vice President
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl
New York, NY 10154
[REDACTED]

EFTA01426464

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant

Sent: Monday, September 17, 2018 9:56 AM

To: Stewart Oldfield [REDACTED]; Richard Iarossi

Cc: Bradley Gillin [REDACTED] Mayur Rathod

Subject: RE: Assistance Required in Resolving Rejection Points - KYC
Case#01977698

Importance: High

Hello Stewart/Brad,

A kind reminder of the below query, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India

From: Vijay-A Sawant

EFTA01426465

Sent: Monday, September 10, 2018 8:12 PM

To: Stewart Oldfield [REDACTED]; Richard Iarossi

Cc: Bradley Gillin [REDACTED] Mayur Rathod

Subject: Assistance Required in Resolving Rejection Points - KYC
Case#01977698

Importance: High

Hello Stewart,

We require your assistance in resolving rejection point 8, highlighted in yellow below? We require this info for the following accounts, please see existing comments in case below –

[REDACTED] & Karyna Shuliak- [REDACTED] - DDA - Checking account for the daily use of [REDACTED] and Karyna.

Butterfly Trust - 44130552 - MMBA - Deposit account to hold funds for the trust.

Neptune LLC - 42953424 - DDA - Checking account used for the daily needs of running Jeffrey Epstein's home in NYC.

Your KYC has been rejected.

Case #: 01977698

Relationship: SOUTHERN FINANCIAL RELATIONSHIP Customer Name: [REDACTED]
[REDACTED]

Reason for Rejection:1) Please provide ID for [REDACTED]

2) Please provide full signing authority document for Neptune LLC.

3) Please re-run research for Jeffrey Epstein & Erike Kellerhals with the country as Virgin Islands, US.

EFTA01426466

- 4) The google search for Darren Indyke has a few negative articles regarding Jeffrey Epstein's foundation and also has court cases against Jeffrey Epstein. Please address them under section 4D "Please summarize any negative results from the database searches indicated above:"
- 5) Please indicate where we can locate the IDs for Darren Indyke and Richard D Kahn.
- 6) Please provide the FinCEN CDD Form.
- 7) Due to recent DB Force enhancements, all structured country fields (i.e., those converted to drop downs and multi pick lists) must be populated in the KYC Wizard. Please ensure these fields are completed for all KYC parties.
- 8) Please explain in detail the purpose of each account. You can add this in the "What is the purpose of the account."
- 9) Please provide SOW verification.

Let me know if any queries, thanks.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Corona East, Mumbai - 400062, India

