

G&E Counterparts

Gifts / Entertainment Multiple Counterparts Template
(for requests involving more than 10 counterparts)

Note:

Austria - If it is a PWM client, do not disclose name of individual Counterpart. All Users from Austria, please add Client ID to 'Last Name' column

Channel Islands - Do not disclose name of individual Counterpart

Luxembourg, Malaysia, and Switzerland - Do not disclose name of individual Counterpart and organization name of Counterpart

Columns K, L: "Pending Business": Please indicate any upcoming transactions to the best of your knowledge. Pending business is closure of the deal, extension of a business, termination of a contract or other legally binding relationship with the counterpart or the third person.

Last Name First Name Middle Name (Optional) Organization Name Country Organization Type Type of relationship with the counterpart Are you aware of any pending business of DB With Counterpart? Details according pending business Are you aware of any G&E that was exchanged between Deutsche Bank and any of these Counterparts in the last 12 months? Details about offered / received G&E Comment

1	Sahay Rudy	54	Madison Partners, LLC		USA	Individual/Retail	Prospective/New Client (<3 months)	No	No	
2	Gabriel James	55	Madison Partners, LLC		USA	Individual/Retail	Prospective/New Client (<3 months)	No	No	
3	Murzyn Eric		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
4	Wechsler Brad		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
5	Black Leon		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
6	Carpenter Scott		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
7	Lynch Gene		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
8	Barry Michael		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
9	Lindermann Adam		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
10	Lindermann George		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
11	Marron Don		Marron Capital	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
12	Meister Todd		Meister Company LLC	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
13	Montrone Katherine		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
14	Chai Chuck		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
15	Caska Amber		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
16	Snyder Dan		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
17	Schreiber Karl		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
18	Gold Stan		Private Investor	USA		Individual/Retail	prospective/New Client (<3 months)	No	No	
19	Martin Greg		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
20	Slome Ian		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
21	Epstein Jeffrey		J. Epstein Virgin Islands Foundation, Inc	USA		Individual/Retail	Existing Client (>3 months)	No	No	
22	Britton Charles		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
23	Jones Ken		Private Investor	USA		Individual/Retail	prospective/New Client (<3 months)	No	No	
24	Wanek Ron		Private Investor	USA		Individual/Retail	Existing Client (>3 months)	No	No	
25	Bloom Barry		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
26	Warner Steve		Private Investor	USA		Individual/Retail	prospective/New Client (<3 months)	No	No	
27	Mitra Bonnie		Private Investor	USA		Individual/Retail	prospective/New Client (<3 months)	No	No	
28	Kern Jonathan		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
29	Vaid Rahul		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
30	Anderson Frohman		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	
31	Wonble Matt		Private Investor	USA		Individual/Retail	Prospective/New Client (<3 months)	No	No	

32	nt (<3 months)	No	No					
33	months) No	Boies	Chris	Private Investor	USA	Individual/Retail	Existing Client (>3	
34	nt (<3 months)	No	No					
35	nt (<3 months)	Bills	Michael	Private Investor	USA	Individual/Retail	Prospective/New Clie	
36	nt (<3 months)	No	No					
37	nt (<3 months)	Merrins	Brian	Private Investor	USA	Individual/Retail	Prospective/New Clie	
38	nt (<3 months)	No	No					
39	nt (<3 months)	Homrich	David	Private Investor	USA	Individual/Retail	Prospective/New Clie	
40	nt (<3 months)	No	No					
41	nt (<3 months)	Warren	Glen	Private Investor	USA	Individual/Retail	Prospective/New Clie	
42	nt (<3 months)	No	No					
43	nt (<3 months)	Campf	Darren	Private Investor	USA	Individual/Retail	Prospective/New Clie	
44	nt (<3 months)	No	No					
45	nt (<3 months)	Bulloch	Roger	Private Investor	USA	Individual/Retail	Prospective/New Clie	
46	nt (<3 months)	No	No					
47	nt (<3 months)	Burritt	Jim	Pacific Private Fund Advisors LLC	USA	Individual/Retail	Pros	
48	nt (<3 months)	No	No					
49	nt (<3 months)	Ellin	Howard	Private Investor	USA	Individual/Retail	Existing Client (>3	
50	months) No	No						
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Column H "Type of relationship with the counterpart": "Established clients": Clients where your desk has an established business relationship lasting for more than 3 months.

Afghanistan
Albania
Algeria
Andorra
Angola
Antigua and Barbuda
Argentina
Armenia
Australia
Sensitive Counterpart (Eg. Public Official) Regulatory/Supervisory Agency Austria
Yes Public Officials (US only) Prospective/New Client (<3 months) Azerbaijan
No Corporate Prospective/New Vendor (<3 months) Bahamas
Family Member Existing Client (>3 months) Bahrain
Government Entity Existing Vendor (>3 months) Bangladesh
Government Owned Entity Other Barbados
Institutional NA Belarus
Individual/Retail Interdealer Broker Belgium
Vendor Belize
ERISA related (US only) Benin
Union or Union-related Official (US only) Bhutan
Interdealer Broker Bolivia
Bosnia and Herzegovina
Botswana
Brazil
Brunei
Bulgaria
Burkina Faso
Burundi
Cambodia
Cameroons
Canada
Cape Verde
Cayman Islands
Central African Republic
Chad
Channel Islands
Chile
China
Colombia
Comoros
Congo-Democratic Republic
Congo-Republic
Costa Rica
Croatia
Cuba
Cyprus
Czech Republic
Denmark
Djibouti
Dominica
Dominican Republic
East Timor / Timor-Leste
Ecuador
Egypt
El Salvador
Equatorial Guinea

Eritrea
Estonia
Ethiopia
Fiji
Finland
France
Gabon
Gambia
Georgia
Germany
Ghana
Greece
Grenada
Guatemala
Guinea
Guinea-Bissau
Guyana
Haiti
Honduras
Hong Kong
Hungary
Iceland
India
Indonesia
Iran
Iraq
Ireland
Israel
Italy
Ivory Coast
Jamaica
Japan
Jordan
Kazakhstan
Kenya
Kiribati
Kosovo
Kuwait
Kyrgyzstan
Laos
Latvia
Lebanon
Lesotho
Liberia
Libya
Liechtenstein
Lithuania
Luxembourg
Macedonia
Madagascar
Malawi
Malaysia
Maldives
Mali
Malta
Marshall Islands
Mauritania
Mauritius
Mexico
Micronesia
Moldova
Monaco
Mongolia
Montenegro
Morocco
Mozambique
Myanmar
Namibia
Nauru
Nepal
Netherlands
New Zealand
Nicaragua
Niger
Nigeria
North Korea
Norway
Oman
Pakistan

Palau
Palestine
Panama
Papua New Guinea
Paraguay
Peru
Philippines
Poland
Portugal
Qatar
Romania
Russia
Rwanda
Samoa
San Marino
Sao Tome and Principe
Saudi Arabia
Senegal
Serbia
Seychelles
Sierra Leone
Singapore
Slovakia
Slovenia
Solomon Islands
Somalia
South Africa
South Korea
South Sudan
Spain
Sri Lanka
St. Kitts and Nevis
St. Lucia
St. Vincent and the Grenadines
Sudan
Suriname
Swaziland
Sweden
Switzerland
Syria
Taiwan
Tajikistan
Tanzania
Thailand
Togo
Tonga
Trinidad and Tobago
Tunisia
Turkey
Turkmenistan
Tuvalu
Uganda
UK (United Kingdom)
Ukraine
United Arab Emirates
Uruguay
USA
Uzbekistan
Vanuatu
Vatican city
Venezuela
Vietnam
Yemen
Zambia
Zimbabwe
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Sheet2

Organizational type	Pending business	Relationship type	Category of Gift or Entertai
Government Entity	Yes	Established client	Yes
Institutional	No	Prospective client	No
Public Official	New client	Entertainment	JPY
PWM client	Vendor		
Retail	Family member		
State Owned Entity			
Vendor			
Workers Council Member			
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