

Subject: RE: KYC remediation project | HR overdues
From: Corinna-A Reibchen <corinna-a.reibchen@db.com>
Date: Tue, 18 Sep 2018 16:40:53 -0400
To: Stewart Oldfield <>
Cc: Emily Craig <>
Dian Stoianov <>
Bradley Gill <>
Richard Iaro <>

Dear Stewart,

I hope you're doing well. We just wanted to send you a short note to see if we could schedule a follow-up with you and your team regarding the outstanding HR cases. I've prepared an updated document for your review.

We are happy to schedule at your convenience for this week or next week. I look forward to hearing back from you.

Kind Regards,

Corinna

Corinna Reibchen

KYC remediation project

+4915294280986

From: Stewart Oldfield
Sent: Tuesday, August 28, 2018 11:15 PM
To: Corinna-A Reibchen <corinna-a.reibchen@db.com>
Cc: Emily Craig <emily.craig@db.com>; Dian Stoianov <dian.stoianov@db.com>;

Bradley Gillin <bradley.gillin@db.com>; Richard Iarossi
<richard.iarossi@db.com>

Subject: Re: KYC remediation project | HR overdues

Sounds good. Thanks

Stewart Oldfield, CFA, CAIA

Director

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. 212 454-2881

Mobile 917 209-0686

Email 

Securities offered through Deutsche Bank Securities Inc.

On Aug 28, 2018, at 4:56 PM, Corinna-A Reibchen <corinna-a.reibchen@db.com>
wrote:

Dear Stewart,

Thanks for your prompt response. How about 11am?

Best,

Corinna

Corinna Reibchen

KYC remediation project

+4915294280986

From: Stewart Oldfield

Sent: Tuesday, August 28, 2018 4:49 PM

To: Corinna-A Reibchen <corinna-a.reibchen@db.com>

Cc: Emily Craig <emily.craig@db.com>; Dian Stoianov <dian.stoianov@db.com>;

Bradley Gillin <bradley.gillin@db.com>; Richard Iarossi
<richard.iarossi@db.com>

Subject: Re: KYC remediation project | HR overdues

Happy to chat tmw to understand the full scope. It's my first day back from MTA, and Brad is on his this week and next. Rich just joined our team as well. So not sure we will have all answers tmw, but let's chat so I know what you need. Anytime after 10am NY time should be fine. Thanks

Stewart Oldfield, CFA, CAIA

Director

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. 212 454-2881

Mobile 917 209-0686

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Aug 28, 2018, at 4:10 PM, Corinna-A Reibchen <corinna-a.reibchen@db.com> wrote:

Dear Stewart,

We are working with Emily Craig and Thomas Klemm on the KYC Remediation project / HR overdue task force.

It would be great if we can discuss with you the status around your HR overdues as well as the upcoming HR cases due by the end of the year (please see attached Excel file with your HR cases).

Also please let us know if/where you need help.

We know you have a lot of topics on your plate but it would be great to have a short catch up call tomorrow or next week. Please let us know what time is best for you.

We are completely flexible regarding timing.

Thanks a lot and looking forward talking to you and your team.

Best,

Corinna

Corinna Reibchen

KYC remediation project

+4915294280986

<Oldfield_Stewart_HR KYC.xlsx>