

Subject: RE: [REDACTED]

From: Vijay-A Sawant [REDACTED]

Date: Tue, 16 Apr 2019 08:43:38 -0400

To: Stewart Oldfield [REDACTED]

Cc: Mayur Rathod <[REDACTED]>

Bradley Gillin [REDACTED]

Natalie Barak [REDACTED]

Vinita Advani [REDACTED]

Classification: For internal use only

Many thanks for the confirmation, Stewart.

We will update records accordingly.

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

Tel. + [REDACTED]

Mobile [REDACTED]

[REDACTED]

From: Stewart Oldfield

Sent: Tuesday, April 16, 2019 5:56 PM

To: Vijay-A Sawant [REDACTED]

Cc: Mayur Rathod [REDACTED];

Bradley Gillin [REDACTED];

Natalie Barak [REDACTED];

Vinita Advani [REDACTED]

Subject: Re: [REDACTED]

All closing. Thanks.

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Apr 16, 2019, at 5:56 AM, Vijay-A Sawant [REDACTED] > wrote:

Classification: For internal use only

Hello Stewart,

Thank you for the confirmation. Just for our records, could you confirm that

the following accounts (due for remediation later this year) from the SOUTHERN FINANCIAL RELATIONSHIP are closing as well? We will update our records accordingly, thanks in advance again.

GCIS Customer Number

KYC ID

Full Legal Name



Epstein, Jeffrey E.



Southern Financial LLC



Southern Trust Company, Inc.



Jeepers, Inc



Butterfly Trust



J. Epstein Virgin Islands Foundation, Inc



The Haze Trust



JEGE, LLC



Plan D, LLC



Hyperion Air, LLC



Neptune, LLC



NES, LLC



[REDACTED]

LSJE, LLC

[REDACTED]

Zorro Management, LLC

[REDACTED]

Prytanee, LLC

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, [REDACTED] Nirlon Knowledge Park, Western Express Highway

Goregaon East - 400063, India

Tel. - [REDACTED]

Mobile - [REDACTED]

vijay-a.sawant@db.com

From: Stewart Oldfield

Sent: Monday, April 15, 2019 8:58 PM

To: Mayur Rathod <[REDACTED]>

Cc: Vijay-A Sawant <[REDACTED]>; Bradley Gillin

[REDACTED]; Natalie Barak [REDACTED]; Vinita Advani

Subject: Re: [REDACTED] Neptune LLC & Butterfly Trust - GCIS #
489505, 487264 & 486426, KYC # 2075620 [I]

These accounts (and all accounts under the southern financial relationship)
are being exited. No need to do any of this work. Thanks.

Stewart Oldfield, CFA, CAIA

Deutsche Bank Trust Company Americas

Deutsche Bank Wealth Management

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Mobile [REDACTED]

Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Apr 15, 2019, at 9:46 AM, Mayur Rathod [REDACTED] wrote:

Classification: For internal use only

EFTA01427065

Hi Stew,

Good Morning!!

I am completing the 2019 HR Periodic Review for the subject client.

*** After reviewing all the available documents & previous KYC below is the drill down for all the three account holders. Please confirm if it is correct.


Joint Account Holder & Auth Signor

Karyna Shuliak

Joint Account Holder & Auth Signor

Neptune LLC - 
Account Holder

Jeffrey E. Epstein

UBO, Sole Member of Neptune LLC & Auth Signor

Harry Beller

Auth Signor

Darren K. Indyke

Auth Signor

Richard D. Kahn

Manager of Neptune LLC

Butterfly Trust



Account Holder

Jeffrey E. Epstein

Grantor of Butterfly Trust

Harry Beller

Trustee & Auth Signor



Trustee & Auth Signor







Darren K. Indyke

Beneficiary of Butterfly Trust

Karyna Shuliak

Beneficiary of Butterfly Trust

Richard D. Kahn

Beneficiary of Butterfly Trust



*** Below are the details required to complete the 2019 Periodic Review :-

· Please confirm if there are any material changes for all the three account holders KYC – will need client person name you confirmed this with and date.

· Could you please provide SOW verification (SOW description) for Karyna Shuliak. Also please confirm if she has dual citizenship – She has US Driver's License (attached) and we found an old Employment Authorization

Card (also attached) which shows her Country of Birth as Belarus.

· Primary Decision Maker for all the three account holders.

I've already launched the case (2075620) and will raise research once we get the no material changes confirmation.

Please note depending on your responses, we may require additional details/-documents.

Also would like to inform you that in coming days I'll be sending review email for other SOUTHERN FINANCIAL RELATIONSHIP accounts.

Kindly assist with the above.

Thanks in advance.

Kind Regards,

<image001.gif>

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management

Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai
400063, India
Tel.: [REDACTED]
Email: [REDACTED]

<Karyna Shuliak ID.pdf>

<Karyna Shuliak_Latest ID.pdf>