

Subject: RE: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC
42953432 [I]

From: Brigid Macias <brigid.macias@db.com>

Date: Fri, 10 May 2019 13:50:03 -0400

To: Rishabh Sharma <rishabh.sharma@db.com>,
Stewart Oldfield <[REDACTED]>,
Bradley Gillin <bradley.gillin@db.com>,
Monica Gilkinson <monica.gilkinson@db.com>,
Rachel Wachs <rachel.wachs@db.com>

Cc: Natalie Barak <natalie.barak@db.com>,
gtopwmbankingexceptioncheckprocessing@list.db.com,
Oversight Control <oversight.control@db.com>,
Vikas Dave <vikas.dave@db.com>,
Armen Brash <armen.brash@db.com>,
Daphne Cales <daphne.cales@db.com>

Can you please call me regarding this?

Kind regards,
Brigid Macias

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154
Tel. +1(212)454-2260
Fax +1(646)736-0670
Email brigid.macias@db.com

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Friday, May 10, 2019 1:49 PM

To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
<bradley.gillin@db.com>; Monica Gilkinson <monica.gilkinson@db.com>; Rachel
Wachs <rachel.wachs@db.com>; Brigid Macias <brigid.macias@db.com>
Cc: Natalie Barak <natalie.barak@db.com>;
'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>; Vikas Dave <vikas.dave@db.com>; Armen Brash
<armen.brash@db.com>; Daphne Cales <daphne.cales@db.com>
Subject: ****REVISED**** RE: Non Post Transactions 05-09-2019 For AC
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Classification: For internal use only

Hello,

Please provide update on below before our cutoff of 2:30 PM EST.

We cannot hold both these Debit and Credit breaks in our Non post GL, hence
would need your decision today.

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

COO Wealth Management Operations

Global Business Services

A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -

SEZ, 302029 Jaipur, India

Tel. 44207-3382685

Mobile +91 9610222223

Email : rishabh.sharma@db.com

Please send all the Banking related Queries to our new Group E-mail ID
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Naveen Bagaria [mailto:naveen.bagaria@db.com]
Sent: Friday, May 10, 2019 11:42 AM
To: Brigid Macias <brigid.macias@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]

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Absolutely not, Brigid.

This account # 42953432 doesn't even have a penny at this moment. Both the credit and debit transaction for 100K got stuck in Non Post as the account is closed. In order to pass both these transactions using this account – it needs to be open & active.

And most importantly if you wish to close the account again, you need to make sure that happens the next day. Please let me know if this makes sense?

Regards

Naveen Bagaria

C00 - Wealth Management Operations

DBOI Global Services Private Limited

Tel: +1(212)469-2366 | VOIP: +44(207) 5419141

Fax: +1(212)669-3854 | Cell: +91-9950020020

Please send all Banking related queries to our new Group E-mail ID -
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Brigid Macias [mailto:brigid.macias@db.com]

Sent: Friday, May 10, 2019 11:33 AM

To: Ankit-Kumar Sharma <ankit-kumar.sharma@db.com>; Bhavesh Chandani
<bhavesh.chandani@db.com>

Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>

Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]

This account 42953432.

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154
Tel. +1(212)454-2260
Fax +1(646)736-0670

Email brigid.macias@db.com

Securities offered through Deutsche Bank Securities Inc.

From: Ankit-Kumar Sharma
Sent: Friday, May 10, 2019 11:32 AM
To: Brigid Macias <brigid.macias@db.com>; Bhavesh Chandani <bhavesh.chandani@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak <natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com' <gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control <oversight.control@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]

Hi Brigid,

Which account?

Kind regards ?

{cid:image001.png@01D1D7AA.4A648990}

Ankit Kumar Sharma

Analyst I PWM-US Ops – Banking

C00 - Wealth Management Operations

DBOI Global Services Private Limited
A-1 Tower , Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Tel: +44(207)3383887
Mobile +91 7760538339

Email ankit-kumar.sharma@db.com

Please send all Banking related queries to our new Group E-mail ID -
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Brigid Macias [mailto:brigid.macias@db.com]
Sent: Friday, May 10, 2019 11:27 AM
To: Bhavesh Chandani <bhavesh.chandani@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]
Importance: High

Can we post to this account? Will the account be overdrawn?

Kind regards,
Brigid Macias

{cid:image001.png@01D50725.12063A00}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

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New York, NY 10154
Tel. +1(212)454-2260
Fax +1(646)736-0670
Email brigid.macias@db.com

Securities offered through Deutsche Bank Securities Inc.

EFTA01427092

From: Bhavesh Chandani
Sent: Friday, May 10, 2019 11:24 AM
To: Brigid Macias <brigid.macias@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Natalie Barak
<natalie.barak@db.com>; 'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>; Rishabh Shah <rishabh.shah@db.com>; Rishabh
Sharma <rishabh.sharma@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]

Classification: For internal use only

Hi Brigid,

For the below account client submitted a wire yesterday.

As yesterday you also submitted a case to close the account, so when the night batch ran it got account closed so the entry was bounced back to non-post.

Please let me know if I can call you regarding the same.

Kind Regards?

Bhavesh Chandani

{cid:image002.png@01D1AB5D.AD617A90}

Bhavesh Chandani

Process Supervisor | PWM US Operations
DBOI Global Services Private Limited
COO Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India
Mobile +91 9649714442

VOIP 44207-5419137

telephone: +1(212)-469-2362

Email bhavesh.chandani@db.com

{cid:image003.png@01D424E9.BC333740}

Integrity | Sustainable Performance | Client Centricity | Innovation |
Discipline | Partnership

Please send all the Banking related Queries to our new Group E-mail ID
gtopwmbankingexceptioncheckprocessing@list.db.com

From: Rishabh Shah [mailto:rishabh.shah@db.com]
Sent: Friday, May 10, 2019 10:57 AM
To: Rishabh Sharma <rishabh.sharma@db.com>
Cc: Stewart Oldfield <[REDACTED]>; Brigid Macias
<brigid.macias@db.com>; Natalie Barak <natalie.barak@db.com>;
'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Oversight Control
<oversight.control@db.com>
Subject: RE: Non Post Transactions 05-09-2019 For AC 42953432 [I]

Please advise Brigid on below.

Regards,

{https://brandportal.intranet.db.com/img/modules/-
DAWM_logo_metallic_46x46.gif}

RISHABH SHAH

Wealth Management

(PWM US OPS - BANKING)

DBOI Global Services Pvt Ltd.

Deutsche Bank Group

A-1 & A-2, Evolve - Mahindra Technology Park,

Inside Mahindra World City - SEZ, 302029 Jaipur, India

From: Brigid Macias

Sent: Friday, May 10, 2019 9:49 AM

To: Rishabh Shah <rishabh.shah@db.com>

Cc: Oversight Control <oversight.control@db.com>; Natalie Barak
<natalie.barak@db.com>; Stewart Oldfield <[REDACTED]>

Subject: FW: Non Post Transactions 05-09-2019 For AC 42953432 [I]

Importance: High

Good Morning,

Can you please advise what this means? The client put up case 02087161 on 5/9 so the funds should have been deducted yesterday from the account.

Kind regards,
Brigid Macias

{cid:image004.png@01D50722.B987E0B0}

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
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24th Floor
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Email brigid.macias@db.com

Securities offered through Deutsche Bank Securities Inc.

From: Rishabh Sharma
Sent: Friday, May 10, 2019 9:06 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
<bradley.gillin@db.com>; Monica Gilkinson <monica.gilkinson@db.com>; Rachel
Wachs <rachel.wachs@db.com>
Cc: 'gtopwmbankingexceptioncheckprocessing@list.db.com'
<gtopwmbankingexceptioncheckprocessing@list.db.com>; Debra Jasper
<debra.jasper@db.com>; Halina Laczny <halina.laczny@db.com>; Oversight
Control <oversight.control@db.com>
Subject: Non Post Transactions 05-09-2019 For AC 42953432 [I]

Classification: For internal use only

Hi Team,

Please advise, if the below transaction(s) are okay to post or not:-

Please note A/C is closed : 05/09/2019

5 42-953-432 HYPERION AIR, L 286 100,000.00 ACCOUNT CLOSED 997000509092719
524 N

REF 1290927L FUNDS TRANSFER FRM DEP 35269691

EFTA01427096

FROM

5 42-953-432 HYPERION AIR, L 822 100,000.00 ACCOUNT CLOSED 998000509110307
521 N

TO MANUFACTURERS AND TRADERS TRUST C O A/C 9852497537 I

INTERNATIONAL JET INTERIORS, INC.

If any of the transactions are deemed fraudulent, you must immediately
notify your business manager and report the fraud to PBFraudReporting.
Please follow the steps detailed in the fraud procedures.

[https://awm.wm.intranet.db.com/forms/private-bank-forms-account-agreements/-
fraud](https://awm.wm.intranet.db.com/forms/private-bank-forms-account-agreements/-
fraud)

Regards,

Rishabh Sharma

{cid:image003.png@01D20F46.0F9EFA10}

DBOI Global Services Private Limited

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Global Business Services

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Email : rishabh.sharma@db.com

Please send all the Banking related Queries to our new Group E-mail ID

gtopwmbankingexceptioncheckprocessing@list.db.com

EFTA01427097

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