

Subject: Re: [C]  
From: Richard Kahn <[REDACTED]>  
Date: Tue, 18 Oct 2016 10:32:36 -0400  
To: Darlene Zong <[REDACTED]>  
Cc: Stewart Oldfield <[REDACTED]>, Daniel Sabba <[REDACTED]>

please refresh on any partial fills and current bid asksi see FTR trading 107+  
thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
New York, NY 10022  
tel [REDACTED]  
fax [REDACTED]  
cell [REDACTED]

On Oct 18, 2016, at 9:47 AM, Darlene Zong <[REDACTED]> wrote:

Classification: Confidential

As discussed and confirmed with Darren, we are working to sell \$3mm face value BAC 8.125 pfd @ 102.75 or better in the Haze Trust, and sell \$3mm face FTR 8.5 2020 @ 106.50 or better in Southern Financial LLC. We have the order till the end of the today.

Best,

Darlene

From: Darlene Zong  
Sent: Tuesday, October 18, 2016 8:50 AM  
To: 'Richard Kahn'  
Cc: Stewart Oldfield; Daniel Sabba  
Subject: RE: [C]

Classification: Confidential

We should be able to work within yesterday's context.

Once we have a firm order, we can work to get potentially better.

From: Richard Kahn [mailto:████████████████████]  
Sent: Monday, October 17, 2016 5:35 PM  
To: Darlene Zong  
Cc: Stewart Oldfield; Daniel Sabba  
Subject: Re: [C]

thank you

can you please refresh tomorrow am and we will work some orders

thank you

Richard Kahn  
HBRK Associates Inc.

████████████████████  
New York, NY 10022

tel ██████████

fax ██████████

cell ██████████

On Oct 17, 2016, at 10:54 AM, Darlene Zong <[REDACTED]> wrote:

Classification: Confidential

Morning Rich,

Hope you had a great weekend. Please find below the two way quotes this morning –

Haze Trust

Bank of America 8.125% Pfd 12/29/2049

05/10/11

3,000,000

CUSIP: 060505DT8

102.25 x 103.75

Southern Financial LLC

Frontier Communications 8.5% 4/15/2020

7/6/15

3,000,000

CUSIP: 35906AAH1

105.875 x 107.375

Best,

<image001.gif>

Darlene Zong, CFA

Key Client Partners | Deutsche Bank Securities, Inc

[REDACTED] | [REDACTED] | [REDACTED]

[REDACTED], New York, NY, 10154

From: Richard Kahn [mailto:[REDACTED]]  
Sent: Friday, October 14, 2016 3:19 PM  
To: Darlene Zong  
Cc: Stewart Oldfield; Daniel Sabba; Vahe Stepanian  
Subject: Re: [C]

ok

lets revisit monday

thanks

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
New York, NY 10022

tel [REDACTED]  
fax [REDACTED]

cell [REDACTED]

On Oct 14, 2016, at 2:57 PM, Darlene Zong <[REDACTED]> wrote:

Classification: Confidential

Spreads are wide for Friday afternoon. Indicative levels below –

Haze Trust

Bank of America 8.125% Pfd 12/29/2049

05/10/11

3,000,000

CUSIP: 060505DT8

102 x 103.75

Southern Financial LLC

Frontier Communications 8.5% 4/15/2020

7/6/15

3,000,000

CUSIP: 35906AAH1

105.75 x 107.50

Best,

Darlene

From: Richard Kahn [mailto: [REDACTED]]  
Sent: Friday, October 14, 2016 2:19 PM  
To: Vahe Stepanian  
Cc: Stewart Oldfield; Darlene Zong; Daniel Sabba  
Subject: Re: [C]

can you please refresh quote

thank you

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
New York, NY 10022

tel [REDACTED]

fax [REDACTED]

cell [REDACTED]

On Oct 11, 2016, at 9:09 AM, Vahe Stepanian <[REDACTED]> wrote:

Classification: Confidential

Rich – two way markets are included below:

Haze Trust

Bank of America 8.125% Pfd 12/29/2049

05/10/11

3,000,000

CUSIP: 060505DT8

102 x 103.25

Southern Financial LLC

Frontier Communications 8.5% 4/15/2020

7/6/15

3,000,000

CUSIP: 35906AAH1

107 x 108.1

Thank you,

Vahe

From: Richard Kahn [mailto: ]  
Sent: Friday, October 07, 2016 3:30 PM  
To: Vahe Stepanian; Daniel Sabba  
Cc: Stewart Oldfield  
Subject:

can you please obtain bid ask to sell these bonds tuesday morning  
thank you and have a nice weekend

Haze Trust

Bank of America 8.125% Pfd 12/29/2049

05/10/11

3,000,000

Southern Financial LLC

Frontier Communications 8.5% 4/15/2020

7/6/15

3,000,000

Richard Kahn  
HBRK Associates Inc.

[REDACTED]  
New York, NY 10022

tel [REDACTED]

fax [REDACTED]

cell [REDACTED]

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