

Subject: RE: MD approval for ACUs completed for High Risk Clients [I]
From: Andrew Gallivan [REDACTED]
Date: Thu, 01 Dec 2016 09:23:52 -0500
To: Vaishali-P Mehta [REDACTED]
Cc: Douglas Robertson [REDACTED]
Mark Gonzalez [REDACTED]
Stewart Oldfield <[REDACTED]>

Classification: For internal use only

Approved

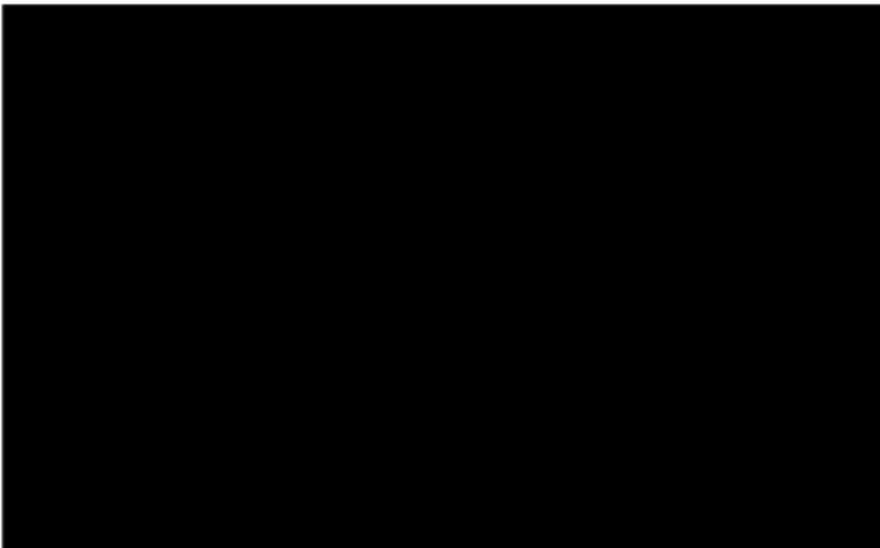
From: Vaishali-P Mehta
Sent: Thursday, December 01, 2016 9:22 AM
To: Andrew Gallivan
Cc: Douglas Robertson; Mark Gonzalez; Stewart Oldfield
Subject: MD approval for ACUs completed for High Risk Clients [I]

Classification: For internal use only

Dear Andrew,

Below are the ACUs which were completed for your High Risk customers this month.

All the below ACUs were completed showing no material changes to the client's circumstances.



[REDACTED]

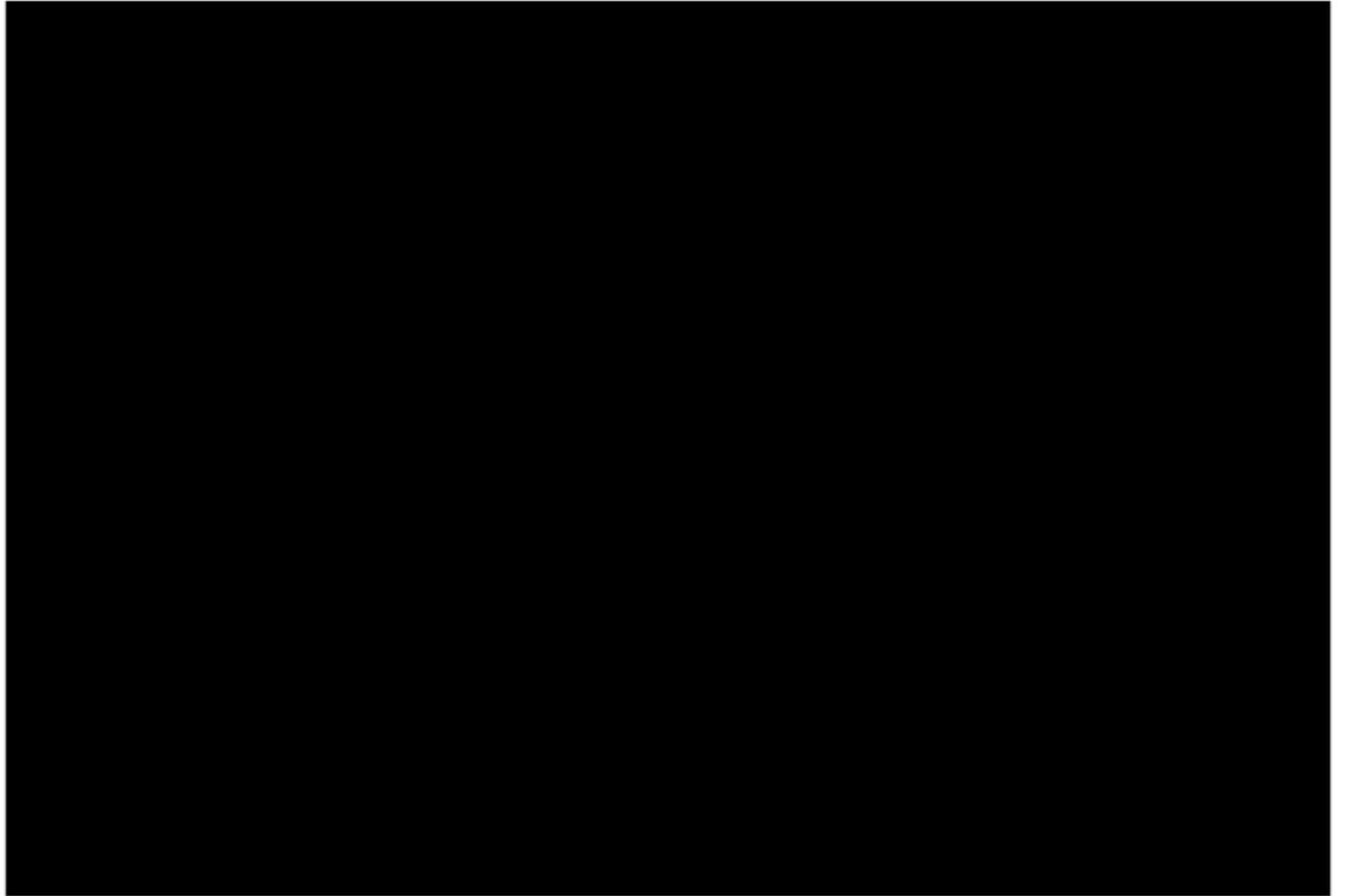
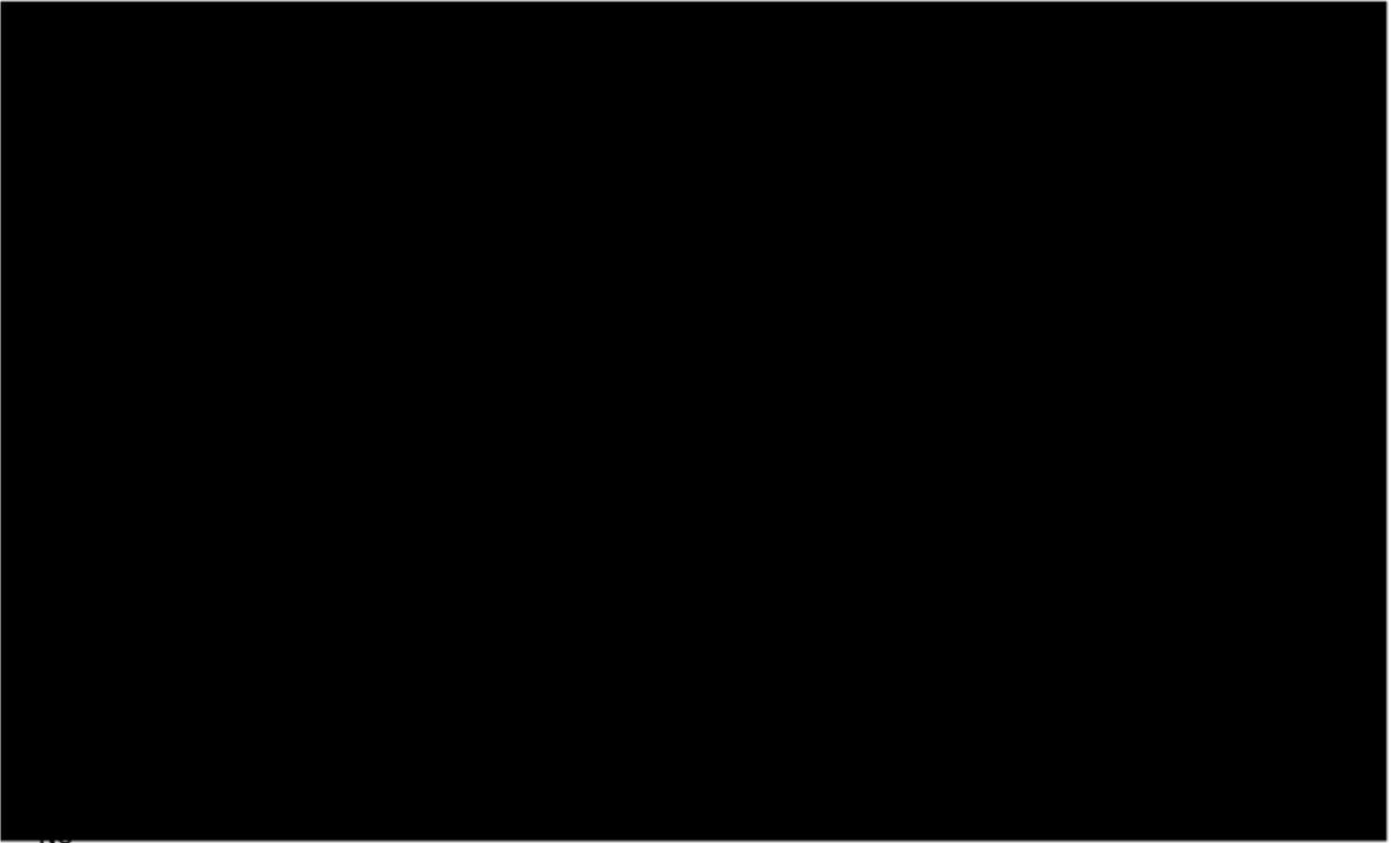
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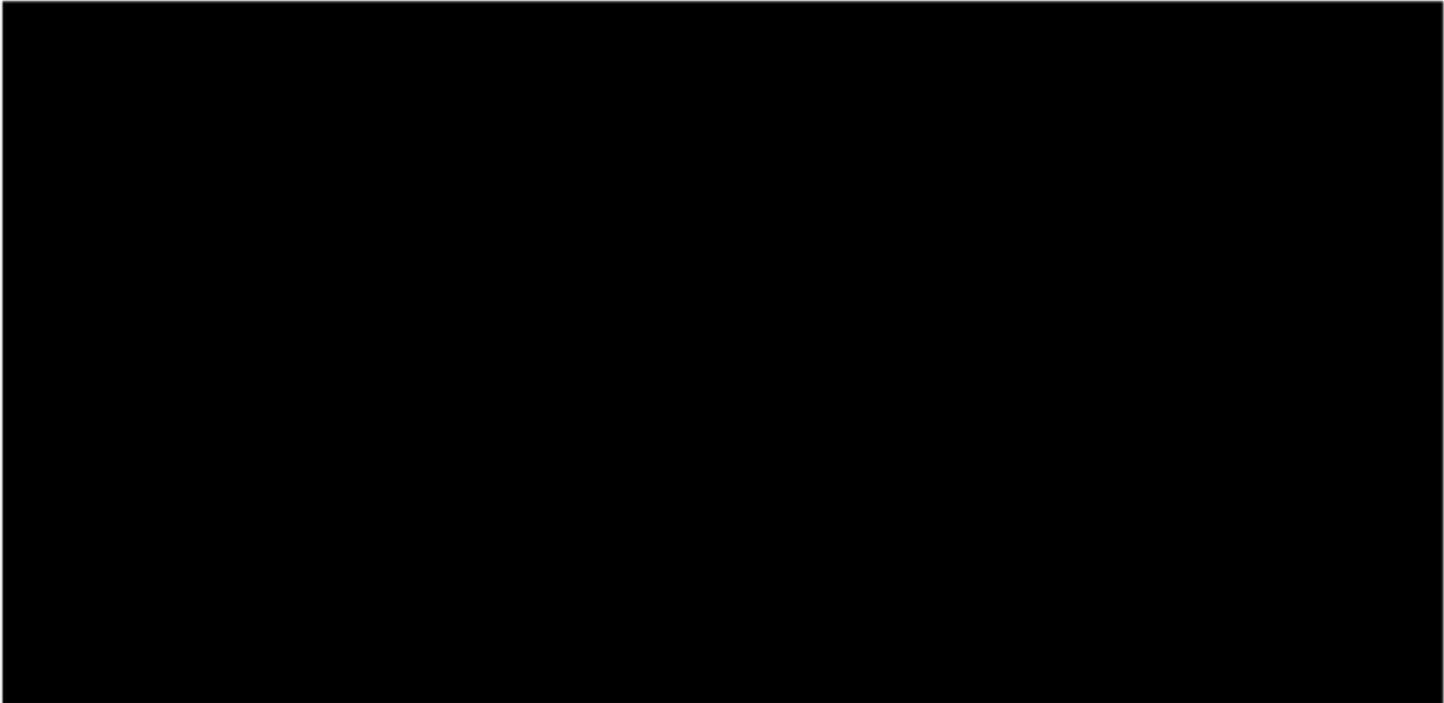
[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]





Stewart Oldfield

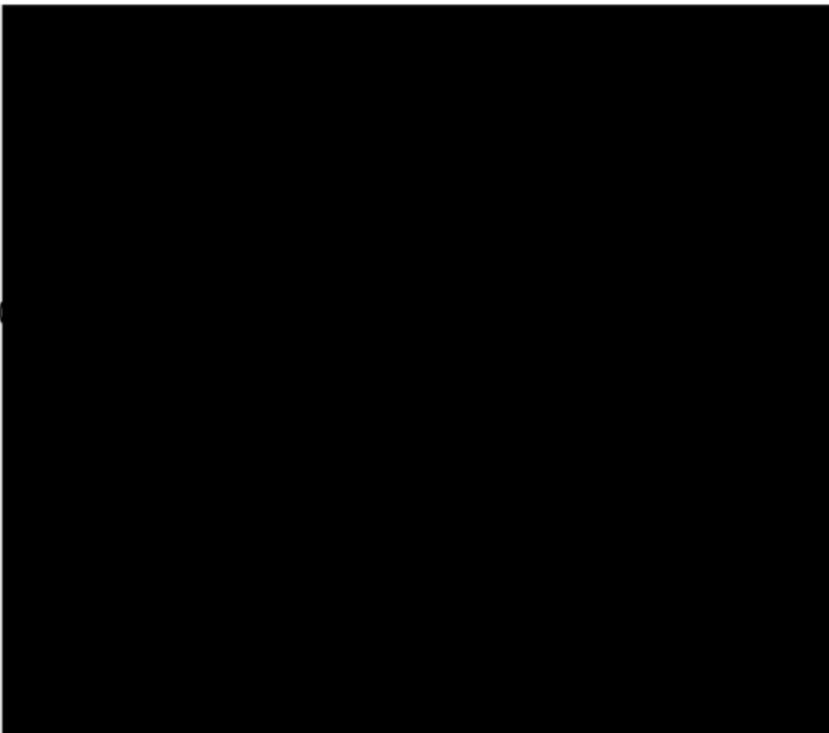


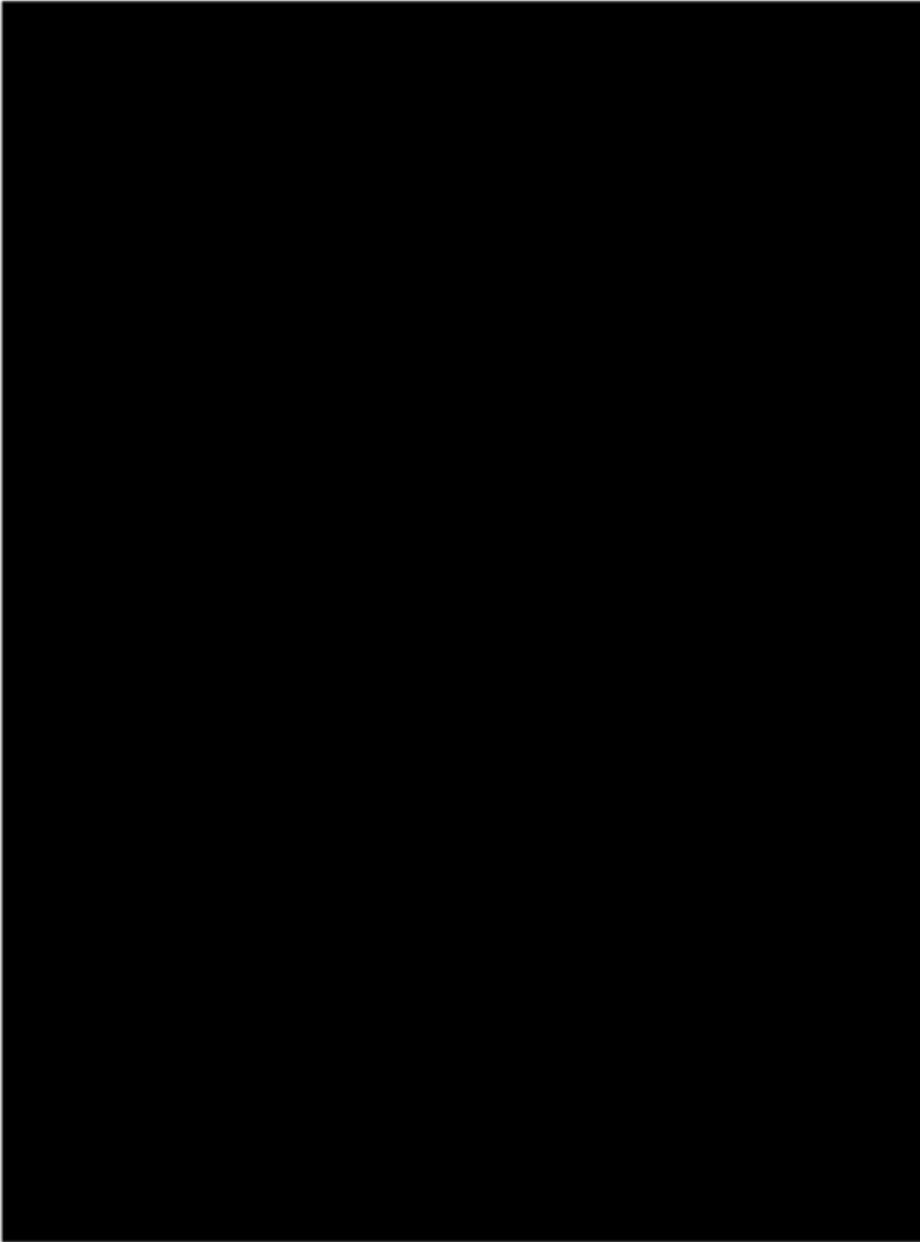
Andrew Gallivan

Epstein, Jeffrey

10/26/2016

There have been no material changes





Please review the ACU comments, and reply back with your approval.

If this any information is not correct or you are aware of a material change that has not been reflected, please let us know immediately as a KYC update will be required.

Regards,

Vaishali Mehta

Head of Anti-Money Laundering Business Risk
Deutsche Bank Wealth Management

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